



## **BIDDING DOCUMENTS**

# **Consulting Service for the Formulation of DTI Data Management Governance Framework and Enterprise Solution Architecture**



**Bidding No. 23-023  
11 August 2023**

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## *Section I. Request for Expression of Interest*

### **Consulting Service for the Formulation of DTI Data Management Governance Framework and Enterprise Solution Architecture**

1. The Department of Trade and Industry, through the General Appropriation Act for CY 2023 and/or continuing appropriations intends to apply the sum of **Four Million Five Hundred Thousand Pesos (PhP4,500,000.00)** being the Approved Budget for the Contract (ABC) to payments under the contract for the **Consulting Service for the Formulation of DTI Data Management Governance Framework and Enterprise Solution Architecture (Bidding No. 23-023)**. Bids received in excess of the ABC shall be automatically rejected at the opening of the financial proposals.
2. The Department of Trade and Industry now calls for the submission of eligibility documents for the **Consulting Service for the Formulation of DTI Data Management Governance Framework and Enterprise Solution Architecture (Bidding No. 23-023)**. Eligibility documents of interested consultants must be duly received by the BAC Secretariat on or before **9 AM of 22 August 2023** at the Procurement Management Division, Human Resource and Administrative Service (PMD-HRAS), G/F Trade & Industry Building, 361 Sen. Gil Puyat Avenue, Makati City or email it at **[BACSecretariat@dti.gov.ph](mailto:BACSecretariat@dti.gov.ph)**. Applications for eligibility will be evaluated based on a non-discretionary “pass/fail” criterion.
3. Interested bidders may obtain further information from PMD-HRAS and inspect the Bidding Documents at the address given below during office hours from 8 AM to 5 PM, Monday to Friday.
4. A complete set of Bidding Documents may be acquired by interested Bidders beginning on **14 August 2023** from the address below and upon payment of the applicable fee for the Bidding Documents, pursuant to the latest Guidelines issued by the Government Procurement Policy Board (GPPB), in the amount of **Five Thousand Pesos (PhP5,000.00)**.

It may also be downloaded free of charge from the website of the Philippine Government Electronic Procurement System (PhilGEPS) and the website of the Procuring Entity, provided that Bidders shall pay the applicable fee for the Bidding Documents not later than the submission of their bids.

5. The BAC shall draw up the short list of consultants from those who have submitted Expression of Interest, including the eligibility documents, and have been determined as eligible in accordance with the provisions of Republic Act 9184 (RA 9184), otherwise known as the “Government Procurement Reform Act”, and its Implementing Rules and Regulations (IRR). The short list shall consist of three (3) prospective bidders who will be entitled to submit bids. The criteria and rating system for short listing are:
  - a. Applicable experience of the consultant, considering both the overall experiences of the firm, or in case of new firms, the individual experiences of the principal and key staff, including the times when employed by other consultants (50 points);

- b. Qualification of personnel who may be assigned to the job vis-à-vis extent and complexity of the undertaking (45 points); and
  - c. Current workload relative to capacity (5 points).
6. Bidding will be conducted through open competitive bidding procedures using non-discretionary “pass/fail” criterion as specified in the IRR of RA 9184.

Bidding is restricted to Filipino citizens/sole proprietorships, cooperatives, and partnerships or organizations with at least sixty percent (60%) interest or outstanding capital stock belonging to citizens of the Philippines.

7. The Procuring Entity shall evaluate bids using the Quality-Cost Based Evaluation/Selection (QCBE/QCBS) procedure. The Procuring Entity shall indicate the weights to be allocated for the Technical and Financial Proposals. The criteria and rating system for the evaluation of bids shall be provided in the Instructions to Bidders.
8. The contract shall be completed within **three (3) months**
9. The Department of Trade and Industry reserves the right to reject any and all bids, declare a failure of bidding, or not award the contract at any time prior to contract award in accordance with Section 41 of RA 9184 and its IRR, without thereby incurring any liability to the affected bidder or bidders.
10. For further information, please refer to:

**Mary Ann D. Yulas**  
Officer-in-Charge, Procurement Management Division  
Human Resource and Administrative Service  
Department of Trade and Industry  
G/F, Trade and Industry Building  
361 Sen. Gil Puyat Avenue, Makati City  
Tel. No: +63 (2) 7791-3363/3367  
Email: [MaryAnnYulas@dti.gov.ph](mailto:MaryAnnYulas@dti.gov.ph)  
Website: [www.dti.gov.ph](http://www.dti.gov.ph)

*SGD.*  
**ATTY. AGATON TEODORO O. UVERO**  
*Assistant Secretary*  
Chairperson  
DTI-Bids and Awards Committee

## ***Section II. Eligibility Documents***

### **1. Eligibility Criteria**

- 1.1. The following persons/entities shall be allowed to participate in the bidding for Consulting Services:
  - (a) Duly licensed Filipino citizens/sole proprietorships;
  - (b) Partnerships duly organized under the laws of the Philippines and of which at least sixty percent (60%) of the interest belongs to citizens of the Philippines;
  - (c) Corporations duly organized under the laws of the Philippines and of which at least sixty percent (60%) of the outstanding capital stock belongs to citizens of the Philippines;
  - (d) Cooperatives duly organized under the laws of the Philippines; or
  - (e) Persons/entities forming themselves into a joint venture, *i.e.*, a group of two (2) or more persons/entities that intend to be jointly and severally responsible or liable for a particular contract: Provided, however, That Filipino ownership or interest thereof shall be at least sixty percent (60%). For this purpose, Filipino ownership or interest shall be based on the contributions of each of the members of the joint venture as specified in their JVA.
- 1.2. When the types and fields of Consulting Services involve the practice of professions regulated by law, those who will actually perform the services shall be Filipino citizens and registered professionals authorized by the appropriate regulatory body to practice those professions and allied professions specified in the **EDS**.
- 1.3. If the Request for Expression of Interest allows participation of foreign consultants, prospective foreign bidders may be eligible subject to the conditions stated in the **EDS**.
- 1.4. Government owned or –controlled corporations (GOCCs) may be eligible to participate only if they can establish that they (a) are legally and financially autonomous, (b) operate under commercial law, and (c) are not attached agencies of the Procuring Entity.

### **2. Eligibility Requirements**

- 2.1. The following eligibility requirements, together with the Eligibility Documents Submission Form, shall be submitted on or before the date of the eligibility

check specified in the Request for Expression of Interest and Clause 5 for purposes of determining eligibility of prospective bidders:

(a) Class “A” Documents –

Legal Documents

- (i) PhilGEPS Certificate of Registration and Membership in accordance with Section 8.5.2 of the IRR, except for foreign bidders participating in the procurement by a Philippine Foreign Service Office or Post, which shall submit their eligibility documents under Section 24.1 of the IRR, provided, that the winning Consultant shall register with PhilGEPS in accordance with Section 37.1.4 of the IRR;

Technical Documents

- (ii) Statement of the prospective bidder of all its ongoing and completed government and private contracts, including contracts awarded but not yet started, if any, whether similar or not similar in nature and complexity to the contract to be bid, within the relevant period provided in the **EDS**. The statement shall include, for each contract, the following:
  - (ii.1) the name and location of the contract;
  - (ii.2) date of award of the contract;
  - (ii.3) type and brief description of consulting services;
  - (ii.4) consultant’s role (whether main consultant, subconsultant, or partner in a JV)
  - (ii.5) amount of contract;
  - (ii.6) contract duration; and
  - (ii.7) certificate of satisfactory completion or equivalent document specified in the **EDS** issued by the client, in the case of a completed contract;
- (iii) Statement of the consultant specifying its nationality and confirming that those who will actually perform the service are registered professionals authorized by the appropriate regulatory body to practice those professions and allied professions in accordance with Clause 1.2, including their respective curriculum vitae.

(b) Class “B” Document –

If applicable, the Joint Venture Agreement (JVA) in case the joint venture is already in existence, or duly notarized statements from all the potential joint venture partners in accordance with Section 24.1(b) of the IRR of RA 9184.

- 2.2. The eligibility requirements or statements, the bids, and all other documents to be submitted to the BAC must be in English. If the eligibility requirements or statements, the bids, and all other documents submitted to the BAC are in foreign language other than English, it must be accompanied by a translation of the documents in English. The documents shall be translated by the relevant foreign government agency, the foreign government agency authorized to translate documents, or a registered translator in the foreign bidder's country; and shall be authenticated by the appropriate Philippine foreign service establishment/post or the equivalent office having jurisdiction over the foreign bidder's affairs in the Philippines. The English translation shall govern, for purposes of interpretation of the bid.
- 2.3. Prospective bidders may obtain a full range of expertise by associating with individual consultant(s) and/or other consultants or entities through a JV or subcontracting arrangements, as appropriate. However, subconsultants may only participate in the bid of one short listed consultant. Foreign Consultants shall seek the participation of Filipino Consultants by entering into a JV with, or subcontracting part of the project to, Filipino Consultants.

### **3. Format and Signing of Eligibility Documents**

- 3.1. Prospective bidders shall submit their eligibility documents through their duly authorized representative on or before the deadline specified in Clause 5.
- 3.2. Prospective bidders shall prepare an original and copies of the eligibility documents. In the event of any discrepancy between the original and the copies, the original shall prevail.
- 3.3. The Eligibility Documents Submission Form shall be signed by the duly authorized representative/s of the Bidder. Failure to do so shall be a ground for the rejection of the eligibility documents.
- 3.4. Any interlineations, erasures, or overwriting shall be valid only if they are signed or initialed by the duly authorized representative/s of the prospective bidder.

#### **4. Sealing and Marking of Eligibility Documents**

- 4.1. Prospective bidders shall enclose their original eligibility documents described in Clause 2.1, in a sealed envelope marked “ORIGINAL – ELIGIBILITY DOCUMENTS”. Each copy thereof shall be similarly sealed duly marking the envelopes as “COPY NO. \_\_\_\_ - ELIGIBILITY DOCUMENTS”. These envelopes containing the original and the copies shall then be enclosed in one single envelope.
- 4.2. The original and the number of copies of the eligibility documents as indicated in the **EDS** shall be typed or written in ink and shall be signed by the prospective bidder or its duly authorized representative/s.
- 4.3. All envelopes shall:
  - (a) contain the name of the contract to be bid in capital letters;
  - (b) bear the name and address of the prospective bidder in capital letters;
  - (c) be addressed to the Procuring Entity’s BAC specified in the **EDS**;
  - (d) bear the specific identification of this Project indicated in the **EDS**; and
  - (e) bear a warning “DO NOT OPEN BEFORE...” the date and time for the opening of eligibility documents, in accordance with Clause 5.
- 4.4. Eligibility documents that are not properly sealed and marked, as required in the bidding documents, shall not be rejected, but the bidder or its duly authorized representative shall acknowledge such condition of the documents as submitted. The BAC shall assume no responsibility for the misplacement of the contents of the improperly sealed or marked eligibility documents, or for its premature opening.

#### **5. Deadline for Submission of Eligibility Documents**

Eligibility documents must be received by the Procuring Entity’s BAC at the address and on or before the date and time indicated in the Request for Expression of Interest and the **EDS**.

#### **6. Late Submission of Eligibility Documents**

Any eligibility documents submitted after the deadline for submission and receipt prescribed in Clause 5 shall be declared “Late” and shall not be accepted by the Procuring Entity. The BAC shall record in the minutes of submission and opening of eligibility documents, the Bidder’s name, its representative and the time the eligibility documents were submitted late.

#### **7. Modification and Withdrawal of Eligibility Documents**



- 7.1. The prospective bidder may modify its eligibility documents after it has been submitted; provided that the modification is received by the Procuring Entity prior to the deadline specified in Clause 5. The prospective bidder shall not be allowed to retrieve its original eligibility documents, but shall be allowed to submit another set equally sealed, properly identified, linked to its original bid marked as “ELIGIBILITY MODIFICATION” and stamped “received” by the BAC. Modifications received after the applicable deadline shall not be considered and shall be returned to the prospective bidder unopened.
- 7.2. A prospective bidder may, through a letter of withdrawal, withdraw its eligibility documents after it has been submitted, for valid and justifiable reason; provided that the letter of withdrawal is received by the Procuring Entity prior to the deadline prescribed for submission and receipt of eligibility documents.
- 7.3. Eligibility documents requested to be withdrawn in accordance with this Clause shall be returned unopened to the prospective bidder concerned. A prospective bidder that withdraws its eligibility documents shall not be permitted to submit another set, directly or indirectly, for the same project. A prospective bidder that acquired the eligibility documents may also express its intention not to participate in the bidding through a letter which should reach and be stamped by the BAC before the deadline for submission and receipt of eligibility documents.

## **8. Opening and Preliminary Examination of Eligibility Documents**

- 8.1. The BAC will open the envelopes containing the eligibility documents in the presence of the prospective bidders’ representatives who choose to attend, at the time, on the date, and at the place specified in the **EDS**. The prospective bidders’ representatives who are present shall sign a register evidencing their attendance.

In case the submitted eligibility envelopes cannot be opened as scheduled due to justifiable reasons, the BAC shall take custody of the said envelopes and reschedule the opening on the next working day or at the soonest possible time through the issuance of a Notice of Postponement to be posted in the PhilGEPS website and the website of the Procuring Entity concerned.

- 8.2. Letters of withdrawal shall be read out and recorded during the opening of eligibility documents and the envelope containing the corresponding withdrawn eligibility documents shall be returned unopened to the withdrawing prospective bidder.
- 8.3. The eligibility documents envelopes and modifications, if any, shall be opened one at a time, and the following read out and recorded:
  - (a) the name of the prospective bidder;
  - (b) whether there is a modification or substitution; and

- (c) the presence or absence of each document comprising the eligibility documents vis-à-vis a checklist of the required documents.

8.4. The eligibility of each prospective bidder shall be determined by examining each bidder's eligibility requirements or statements against a checklist of requirements, using non-discretionary "pass/fail" criterion, as stated in the Request for Expression of Interest, and shall be determined as either "eligible" or "ineligible." If a prospective bidder submits the specific eligibility document required, he shall be rated "passed" for that particular requirement. In this regard, failure to submit a requirement, or an incomplete or patently insufficient submission, shall be considered "failed" for the particular eligibility requirement concerned. If a prospective bidder is rated "passed" for all the eligibility requirements, he shall be considered eligible to participate in the bidding, and the BAC shall mark the set of eligibility documents of the prospective bidder concerned as "eligible." If a prospective bidder is rated "failed" in any of the eligibility requirements, he shall be considered ineligible to participate in the bidding, and the BAC shall mark the set of eligibility documents of the prospective bidder concerned as "ineligible." In either case, the BAC chairperson or his duly designated authority shall countersign the markings.

## 9. Short Listing of Consultants

- 9.1. Only prospective bidders whose submitted contracts are similar in nature and complexity to the contract to be bid as provided in the **EDS** shall be considered for short listing.
- 9.2. The BAC shall draw up the short list of prospective bidders from those declared eligible using the detailed set of criteria and rating system to be used specified in the **EDS**.
- 9.3. Short listed consultants shall be invited to participate in the bidding for this project through a Notice of Eligibility and Short Listing issued by the BAC.

## 10. Protest Mechanism

Decision of the Procuring Entity at any stage of the procurement process may be questioned in accordance with Section 55 of the IRR of RA 9184.

## *Section III. Eligibility Data Sheet*

# Eligibility Data Sheet

Eligibility Documents	
1.2	The type of consulting service is Other Technical Services.
1.3	No further instructions.
2.1(a)(i)	<p>Submission of updated PhilGEPS Certificate (Platinum Membership) with updated/valid “Annex A” (Eligibility Documents) is required pursuant to <b>GPPB Resolution No. 15-2021, dated 14 October 2021:</b></p> <p><b><u><a href="https://www.gppb.gov.ph/issuances/Resolutions/GPPB%20Resolution%20No.%2015.%202021.pdf">https://www.gppb.gov.ph/issuances/Resolutions/GPPB%20Resolution%20No.%2015.%202021.pdf</a></u></b></p> <p><i>PhilGEPS Certificate (Platinum Membership)</i></p> <p>x x x For the purpose of updating the Certificate of Registration and Membership, <b>all Class “A” eligibility documents mentioned in this section supporting the veracity, authenticity and validity of the Certificate shall remain current and updated.</b> The failure by the prospective bidder to update its Certificate with the current and updated Class “A” eligibility documents shall result in the automatic suspension of the validity of its Certificate until such time that all of the expired Class “A” eligibility documents has been updated.</p>
2.1(a)(ii)	The statement of all ongoing government and private contracts shall include all such contracts within <b>five (5) years</b> prior to the deadline for the submission and receipt of eligibility documents.
2.1(a)(ii.7)	Certificate of Satisfactory Completion.
4.2	<p>Each prospective bidder shall submit <b>one (1)</b> original and <b>three (3)</b> copies of its eligibility documents.</p> <p><b>The bidders may submit their Eligibility Documents in any of the following form:</b></p> <p style="text-align: center;"><b>1. Physical submission of the documents on the address stated above; or</b></p>

	<p><b>2. Electronic submission of Eligibility Documents with the following guidelines:</b></p> <ul style="list-style-type: none"> <li>• <b>A. The Bidder shall submit three (3) set of files of the same documents in PDF format, NOT EDITABLE, with different individual password before the set deadline. The Encryption Key and Password shall be submitted during the opening of the bids of the concerned bidder.</b></li> <li>• <b>B. The Bidder shall have the full responsibility on securing the files submitted are not corrupted. The DTI-BAC shall have three (3) attempts to open the submitted files.</b> <ul style="list-style-type: none"> <li>• <b>If the first file was successfully opened, the two (2) remaining files shall be disregarded.</b></li> <li>• <b>If the first file was corrupted, the DTI-BAC shall open the second file. If the second file was successfully opened, the first and third file shall be disregarded.</b></li> <li>• <b>If the first and second file were corrupted, the third file shall be opened.</b></li> <li>• <b>If the third file was corrupted, the bidder shall be automatically disqualified.</b></li> </ul> </li> </ul>
4.3(a)	<b>CONSULTING SERVICE FOR THE FORMULATION OF DTI DATA MANAGEMENT GOVERNANCE FRAMEWORK AND ENTERPRISE SOLUTION ARCHITECTURE (BIDDING NO. 23-023).</b>
4.3(e)	<p>DTI Bids and Awards Committee Department of Trade and Industry Trade &amp; Industry Building 361 Sen. Gil Puyat Avenue, Makati City</p> <p><b>Mary Ann D. Yulas</b> Officer-in-Charge, Procurement Management Division Tel. No: +63 (2) 7791-3363/3367/3202 Email: <a href="mailto:MaryAnnYulas@dti.gov.ph">MaryAnnYulas@dti.gov.ph</a> Website: <a href="http://www.dti.gov.ph">www.dti.gov.ph</a></p>
5	<p>The address for submission of eligibility documents is</p> <p><b>Procurement Management Division (for Physical Submission)</b> Human Resource and Administrative Service Department of Trade and Industry G/F Trade &amp; Industry Building 361 Sen. Gil Puyat Avenue, Makati City</p>

	<p>Or</p> <p><b><u>BACSecretariat@dti.gov.ph</u></b> (for Electronic Submission)</p> <p>The deadline for submission of eligibility documents is <b>22 August 2023, 9 A.M.</b></p>										
8.1	<p>The place of opening of eligibility documents is</p> <p>Center Conference Room 6/F Trade &amp; Industry Building 361 Sen. Gil Puyat Avenue, Makati City</p> <p>The date and time of opening of eligibility documents <b>22 August 2023, 9:30 A.M.</b></p> <p><b>The opening of eligibility documents can be attended via Zoom Platform, if not physically present but submitted the document via physical submission or electronic mail. Below is the link:</b></p> <p><b>Zoom Meeting:</b> <b><u><a href="https://zoom.us/j/98501111741?pwd=eUxLOGtyNjU0cTA2d3VJL0lYNHVUUT09">https://zoom.us/j/98501111741?pwd=eUxLOGtyNjU0cTA2d3VJL0lYNHVUUT09</a></u></b></p> <p>Meeting ID: 985 0111 1741 Passcode: BAC2023</p>										
9.1	Similar contracts shall refer to <b>Data Management and Governance Framework projects and Enterprise Solutions Architecture Design.</b>										
9.2	<table border="1"> <thead> <tr> <th><i>Criteria</i></th><th><i>Score</i></th></tr> </thead> <tbody> <tr> <td>Applicable experience of the consultant</td><td>50</td></tr> <tr> <td>Qualification of personnel who will be assigned to the job vis-à-vis extent and complexity of the undertaking</td><td>45</td></tr> <tr> <td>Current workload relative to capacity</td><td>5</td></tr> <tr> <td><b>TOTAL SCORE</b></td><td><b>100%</b></td></tr> </tbody> </table> <p>The cut-off score for inclusion in the short list is <b>seventy percent (70%)</b>.</p>	<i>Criteria</i>	<i>Score</i>	Applicable experience of the consultant	50	Qualification of personnel who will be assigned to the job vis-à-vis extent and complexity of the undertaking	45	Current workload relative to capacity	5	<b>TOTAL SCORE</b>	<b>100%</b>
<i>Criteria</i>	<i>Score</i>										
Applicable experience of the consultant	50										
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Current workload relative to capacity	5										
<b>TOTAL SCORE</b>	<b>100%</b>										

## ***Section I. Notice of Eligibility and Short Listing***

*[Insert Date]*

*[Name and Address of Short-Listed Consultant]*

Dear *[Addressee]*:

1. The *[insert name of Procuring Entity]* (hereinafter called “Procuring Entity” has received financing (hereinafter called “funds”) from *[insert name of Funding Source]* (hereinafter called the “Funding Source”) toward the cost of *[insert name of project]*. The Procuring Entity intends to apply a portion of the funds in the amount of *[insert amount of ABC]* to eligible payments under the contract for *[insert name of contract]* for which the Bidding Documents is issued.
2. The Procuring Entity now invites bids to provide the following Consulting Services: *[insert short description of objectives and scope of the project]*. More details on the services are provided in the Terms of Reference (TOR) for the project.
3. The Consultant shall be selected and employed in accordance with *[insert evaluation procedure]* procedures as described in the Bidding Documents.
4. This notice has been addressed to the following short-listed consultants:  
*[Insert list of short-listed consultants]*
5. It is not permissible for you to transfer this invitation to any other consultant.
6. The Bidding Documents may be acquired at *[indicate address]* during *[insert office hours, e.g. 8:00 a.m. to 5:00 p.m.]* {Insert if necessary: upon payment of an applicable fee for the Bidding Documents, pursuant to the latest Guidelines issued by the GPPB, in the amount of *[insert amount in Pesos]*.}
7. The *[insert name of the Procuring Entity]* will hold a Pre-Bid Conference on *[insert time and date]* at *[insert address for Pre-Bid Conference, if applicable]*, which shall be open to all short-listed consultants.<sup>1</sup>

Yours sincerely,

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*[Insert signature, name, and title of the  
Procuring Entity’s Representative]*

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<sup>1</sup> May be deleted in case the ABC is less than One Million Pesos (PhP1,000,000) where the Procuring Entity may not hold a pre-bid conference.

# **Bidding Documents**

## **Republic of the Philippines**

### **Consulting Service for the Formulation of DTI Data Management Governance Framework and Enterprise Solution Architecture**

**(Bidding No. 23-023)**



## ***Section II. Instructions to Bidders***

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## A. General

### 1. Introduction

- 1.1. The Procuring Entity named in the Bid Data Sheet (**BDS**) shall select an individual, sole proprietorship, cooperative, partnership, corporation, or a joint venture (JV) (hereinafter referred to as “Consultant”) from among those short listed, in accordance with the evaluation procedure specified in the **BDS**.
- 1.2. The Procuring Entity has received financing (hereinafter called “funds”) from the source indicated in the **BDS** (hereinafter called the “Funding Source”) toward the cost of the Project named in the **BDS**. The Procuring Entity intends to apply a portion or the whole of the funds to payments for this Project.
- 1.3. Consultants are invited to submit bids composed of a technical proposal and a financial proposal for Consulting Services required for this Project described in the **BDS**. Bids shall be the basis for contract negotiations and ultimately for a signed contract with the selected Consultant.
- 1.4. If the **BDS** indicates that the Project will be completed in phases, each phase must be completed to the Procuring Entity’s satisfaction prior to the commencement of the next phase.
- 1.5. Consultants must familiarize themselves with local conditions and take them into account in preparing their bids. To obtain firsthand information on the project and on the local conditions, Consultants are encouraged to visit the Procuring Entity before submitting a bid and to attend the pre-bid conference specified in **ITB** Clause 7.
- 1.6. The Consultants’ costs of preparing their bids and negotiating the contract, including a visit to the Procuring Entity, are not reimbursable as a direct cost of the project.
- 1.7. Consultants shall not be under a declaration of ineligibility for corrupt, fraudulent, collusive, coercive or obstructive practices issued by the Funding Source or the Procuring Entity in accordance with **ITB** Clause 3.1.

### 2. Conflict of Interest

- 2.1. The Funding Source’s policy requires that Consultants provide professional, objective, and impartial advice and at all times hold the Procuring Entity’s interests paramount, without any consideration for future work, and strictly avoid situations where a conflict of interest shall arise with their other projects or their own interests. Consultants shall not be hired for any project that would be in conflict with their prior or current obligations to other entities, or that may place them in a position of not being able to carry out the Project in the best interest of the Procuring Entity. Without limitation on the generality of this rule, Consultants shall not be hired under the circumstances set forth below:

- (a) If a Consultant combines the function of consulting with those of contracting and/or supply of equipment for the same Project;
  - (b) If a Consultant is associated with, affiliated to, or owned by a contractor or a manufacturing firm with departments or design offices offering services as consultants unless such Consultant includes relevant information on such relationships along with a statement in the Technical Proposal cover letter to the effect that the Consultant shall limit its role to that of a consultant and disqualify itself and its associates from work in any other capacity that may emerge from the Project (including bidding for any part of the future project). The contract with the Consultant selected to undertake the Project shall contain an appropriate provision to such effect; or
  - (c) If there is a conflict among consulting projects, the Consultant (including its personnel and subconsultants) and any subsidiaries or entities controlled by such Consultant shall not be recruited for the relevant project. The duties of the Consultant depend on the circumstances of each case. While continuity of consulting services may be appropriate in particular situations where no conflict exists, a Consultant cannot be recruited to carry out a project that, by its nature, shall result in conflict with a prior or current project of such Consultant. Examples of the situations mentioned are when a Consultant engaged to prepare engineering design for an infrastructure project shall not be recruited to prepare an independent environmental assessment for the same project; similarly, a Consultant assisting a Procuring Entity in privatization of public assets shall not purchase, nor advise purchasers, of such assets; or a Consultant hired to prepare Terms of Reference (TOR) for a project shall not be recruited for the project in question.
- 2.2. Consultants shall not be related to the Head of the Procuring Entity (HoPE), members of the BAC, the TWG, and the BAC Secretariat, the head of the PMO or the end-user unit, and the project consultants, by consanguinity or affinity up to the third civil degree. The prohibition shall apply as follows:
- (a) If the Consultant is an individual or sole proprietorship, then to himself;
  - (b) If the Consultant is a partnership, then to all its officers and members;
  - (c) If the Consultant is a corporation, then to all its officers, directors and controlling stockholders;
  - (d) If the Consultant is a cooperative, to all its officers, directors, and controlling shareholders or members; or
  - (e) If the Consultant is a JV, the provisions of items (a), (b), (c), or (d) of this Section shall correspondingly apply to each of the members of the said joint venture, as may be appropriate.

Relationship of the nature described above or a failure to comply with the provisions of this clause will result in the rejection of the Consultant's bid.

- 2.3. Subject to the provisions of **ITB** Clause 2, any previous or ongoing participation by the Consultant, its professional staff, or its affiliates or associates under a contract with the Funding Source or the Procuring Entity in relation to this Project may result in the rejection of its bid. Consultants should clarify their situation in that respect with the Procuring Entity before preparing its bid.
- 2.4. Failure by a Consultant to fully disclose potential conflict of interest at the time of Bid submission, or at a later date in the event that the potential conflict arises after such date, shall result in the Procuring Entity and/or the Funding Source seeking the imposition of the maximum administrative, civil and criminal penalties up to and including imprisonment.
- 2.5. Consultants are discouraged to include officials and employees of the Government of the Philippines (GoP) as part of its personnel. Participation of officials and employees of the GoP in the Project shall be subject to existing rules and regulations of the Civil Service Commission.
- 2.6. Fairness and transparency in the selection process require that Consultants do not derive unfair competitive advantage from having provided consulting services related to the Project in question. To this end, the Procuring Entity shall make available to all the short-listed consultants together with the Bidding Documents all information that would in that respect give each Consultant a competitive advantage.

### **3. Corrupt, Fraudulent, Collusive, Coercive, and Obstructive Practices**

- 3.1. The Procuring Entity as well as the Consultants shall observe the highest standard of ethics during the procurement and execution of the contract. In pursuance of this policy, the Procuring Entity:
  - (a) defines, for purposes of this provision, the terms set forth below as follows:
    - (i) "corrupt practice" means behavior on the part of officials in the public or private sectors by which they improperly and unlawfully enrich themselves, others, or induce others to do so, by misusing the position in which they are placed, and includes the offering, giving, receiving, or soliciting of anything of value to influence the action of any such official in the procurement process or in contract execution; entering, on behalf of the GoP, into any contract or transaction manifestly and grossly disadvantageous to the same, whether or not the public officer profited or will profit thereby, and similar acts as provided in Republic Act 3019.
    - (ii) "fraudulent practice" means a misrepresentation of facts in order to influence a procurement process or the execution of a contract

to the detriment of the Procuring Entity, and includes collusive practices among Bidders (prior to or after bid submission) designed to establish bid prices at artificial, non-competitive levels and to deprive the Procuring Entity of the benefits of free and open competition.

(iii) “collusive practices” means a scheme or arrangement between two or more Bidders, with or without the knowledge of the Procuring Entity, designed to establish bid prices at artificial, non-competitive levels.

(iv) “coercive practices” means harming or threatening to harm, directly or indirectly, persons, or their property to influence their participation in a procurement process, or affect the execution of a contract;

(v) “obstructive practice” is

(aa) deliberately destroying, falsifying, altering or concealing of evidence material to an administrative proceedings or investigation or making false statements to investigators in order to materially impede an administrative proceedings or investigation of the Procuring Entity or any foreign government/foreign or international financing institution into allegations of a corrupt, fraudulent, coercive or collusive practice; and/or threatening, harassing or intimidating any party to prevent it from disclosing its knowledge of matters relevant to the administrative proceedings or investigation or from pursuing such proceedings or investigation; or

(bb) acts intended to materially impede the exercise of the inspection and audit rights of the Procuring Entity or any foreign government/foreign or international financing institution herein.

(b) will reject a proposal for award if it determines that the Bidder recommended for award has engaged in any of the practices mentioned in this Clause for purposes of competing for the contract.

3.2. Further, the Procuring Entity will seek to impose the maximum civil, administrative, and/or criminal penalties available under applicable laws on individuals and organizations deemed to be involved in any of the practices mentioned in **ITB** Clause 3.1(a).

3.3. Furthermore, the Funding Source and the Procuring Entity reserve the right to inspect and audit records and accounts of a Consultant in the bidding for and performance of a contract themselves or through independent auditors as reflected in the **GCC** Clause 51.

#### **4. Consultant's Responsibilities**

- 4.1. The Consultant or its duly authorized representative shall submit a sworn statement in the form prescribed in Section VII Bidding Forms as required in **ITB** Clause 10.2(d).
- 4.2. The Consultant is responsible for the following:
- (a) Having taken steps to carefully examine all of the Bidding Documents;
  - (b) Having acknowledged all conditions, local or otherwise, affecting the implementation of the contract;
  - (c) Having made an estimate of the facilities available and needed for this Project, if any;
  - (d) Having complied with its responsibility to inquire or secure Supplemental/Bid Bulletin/s as provided under **ITB** Clause 8.4.
  - (e) Ensuring that it is not “blacklisted” or barred from bidding by the GoP or any of its agencies, offices, corporations, or LGUs, including foreign government/foreign or international financing institution whose blacklisting rules have been recognized by the GPPB;
  - (f) Ensuring that each of the documents submitted in satisfaction of the bidding requirements is an authentic copy of the original, complete, and all statements and information provided therein are true and correct;
  - (g) Authorizing the Head of the Procuring Entity or its duly authorized representative/s to verify all the documents submitted;
  - (h) Ensuring that the signatory is the duly authorized representative of the Bidder, and granted full power and authority to do, execute and perform any and all acts necessary to participate, submit the bid, and to sign and execute the ensuing contract, accompanied by the duly notarized Special Power of Attorney, Board/Partnership Resolution, or Secretary's Certificate, whichever is applicable;
  - (i) Complying with the disclosure provision under Section 47 of RA 9184 and its IRR in relation to other provisions of Republic Act 3019;
  - (j) Complying with existing labor laws and standards, in the case of procurement of services. Moreover, bidder undertakes to:
    - (i) Ensure the entitlement of workers to wages, hours of work, safety and health and other prevailing conditions of work as established by national laws, rules and regulations; or collective bargaining agreement; or arbitration award, if and when applicable.

In case there is a finding by the Procuring Entity or the DOLE of underpayment or non-payment of workers' wage and wage-related benefits, bidder agrees that the performance security or portion of the contract amount shall be withheld in favor of the complaining workers pursuant to appropriate provisions of Republic Act No. 9184 without prejudice to the institution of appropriate actions under the Labor Code, as amended, and other social legislations.

- (ii) Comply with occupational safety and health standards and to correct deficiencies, if any.

In case of imminent danger, injury or death of the worker, bidder undertakes to suspend contract implementation pending clearance to proceed from the DOLE Regional Office and to comply with Work Stoppage Order; and

- (iii) Inform the workers of their conditions of work, labor clauses under the contract specifying wages, hours of work and other benefits under prevailing national laws, rules and regulations; or collective bargaining agreement; or arbitration award, if and when applicable, through posting in two (2) conspicuous places in the establishment's premises; and
- (k) Ensuring that it did not give or pay, directly or indirectly, any commission, amount, fee, or any form of compensation, pecuniary or otherwise, to any person or official, personnel or representative of the government in relation to any procurement project or activity.

Failure to observe any of the above responsibilities shall be at the risk of the Consultant concerned.

- 4.3. It shall be the sole responsibility of the prospective bidder to determine and to satisfy itself by such means as it considers necessary or desirable as to all matters pertaining to this Project, including: (a) the location and the nature of the contract, project, or work; (b) climatic conditions; (c) transportation facilities; (c) nature and condition of the terrain, geological conditions at the site communication facilities, requirements, location and availability of construction aggregates and other materials, labor, water, electric power and access roads; and (d) other factors that may affect the cost, duration and execution or implementation of the contract, project, or work.
- 4.4. The Procuring Entity shall not assume any responsibility regarding erroneous interpretations or conclusions by the Consultant out of the data furnished by the Procuring Entity. However, the Procuring Entity shall ensure that all information in the Bidding Documents, including supplemental/bid bulletins issued are correct and consistent.



- 4.5. Before submitting their bids, the Consultants are deemed to have become familiar with all existing laws, decrees, ordinances, acts and regulations of the GoP which may affect the contract in any way.
- 4.6. The Consultant shall bear all costs associated with the preparation and submission of his bid, and the Procuring Entity will in no case be responsible or liable for those costs, regardless of the conduct or outcome of the bidding process.
- 4.7. Consultants should note that the Procuring Entity will only accept bids from those that have paid the applicable fee for the Bidding Documents at the office indicated in the Request for Expression of Interest.

## **5. Origin of Associated Goods**

Unless otherwise indicated in the **BDS**, there is no restriction on the origin of Goods other than those prohibited by a decision of the United Nations Security Council taken under Chapter VII of the Charter of the United Nations.

## **6. Subcontracts**

- 6.1. Unless otherwise specified in the **BDS**, the Consultant may subcontract portions of the Consulting Services to an extent as may be approved by the Procuring Entity and stated in the **BDS**. However, subcontracting of any portion shall not relieve the Consultant from any liability or obligation that may arise from the contract for this Project.
- 6.2. Subconsultant must comply with the eligibility criteria and the documentary requirements specified in the **BDS**. In the event that any subconsultant is found by the Procuring Entity to be ineligible, the subcontracting of such portion of the Consulting Services shall be disallowed.
- 6.3. The Consultant may identify the subconsultant to whom a portion of the Consulting Services will be subcontracted at any stage of the bidding process or during contract implementation. If the Consultant opts to disclose the name of the subconsultant during bid submission, the Consultant shall include the required documents as part of the technical component of its bid. A subconsultant that is identified by the Consultant during contract implementation must comply with the eligibility criteria and documentary requirements and secure approval of the Procuring Entity.

## **B. Contents of Bidding Documents**

### **7. Pre-Bid Conference**

- 7.1. If so specified in the **BDS**, a pre-bid conference shall be held at the venue and on the date indicated therein, to clarify and address the Consultants' questions on the technical and financial components of this Project.



- 7.2. The pre-bid conference shall be held at least twelve (12) calendar days before the deadline for the submission and receipt of bids, but not earlier than seven (7) calendar days from the determination of the shortlisted consultants. If the Procuring Entity determines that, by reason of the method, nature, or complexity of the contract to be bid, or when international participation will be more advantageous to the GoP, a longer period for the preparation of bids is necessary, the pre-bid conference shall be held at least thirty (30) calendar days before the deadline for the submission and receipt of bids.
- 7.3. Consultants are encouraged to attend the pre-bid conference to ensure that they fully understand the Procuring Entity's requirements. Non-attendance of the Consultant will in no way prejudice its bid; however, the Consultant is expected to know the changes and/or amendments to the Bidding Documents as recorded in the minutes of the pre-bid conference and the Supplemental/Bid Bulletin. The minutes of the pre-bid conference shall be recorded and prepared not later than five (5) calendar days after the pre-bid conference. The minutes shall be made available to prospective bidders not later than five (5) days upon written request.
- 7.4. Decisions of the BAC amending any provision of the bidding documents shall be issued in writing through a Supplemental/Bid Bulletin at least seven (7) calendar days before the deadline for the submission and receipt of bids.

## **8. Clarifications and Amendments to Bidding Documents**

- 8.1. Shortlisted consultants may request for clarification(s) on and/or an interpretation of any part of the Bidding Documents. Such a request must be in writing and submitted to the Procuring Entity at the address indicated in the **BDS** at least ten (10) calendar days before the deadline set for the submission and receipt of bids.
- 8.2. The BAC shall respond to the said request by issuing a Supplemental/Bid Bulletin to be made available to all those who have properly secured the Bidding Documents at least seven (7) calendar days before the deadline for the submission and receipt of Bids.
- 8.3. Supplemental/Bid Bulletins may also be issued upon the Procuring Entity's initiative for purposes of clarifying or modifying any provision of the Bidding Documents not later than seven (7) calendar days before the deadline for the submission and receipt of bids. Any modification to the Bidding Documents shall be identified as an amendment.
- 8.4. Any Supplemental/Bid Bulletin issued by the BAC shall also be posted in the PhilGEPS and the website of the Procuring Entity concerned, if available and at any conspicuous place in the premises of the Procuring Entity concerned. It shall be the responsibility of all Consultants who have properly secured the Bidding Documents to inquire and secure Supplemental/Bid Bulletins that may be issued by the BAC. However, Consultants who have submitted bids before the issuance of the Supplemental/Bid Bulletin must be informed and allowed to modify or withdraw their bids in accordance with **ITB** Clause 20.

## C. Preparation of Bids

### 9. Language of Bids

The eligibility requirements or statements, the bids, and all other documents to be submitted to the BAC must be in English. If the eligibility requirements or statements, the bids, and all other documents submitted to the BAC are in foreign language other than English, it must be accompanied by a translation of the documents in English. The documents shall be translated by the relevant foreign government agency, the foreign government agency authorized to translate documents, or a registered translator in the foreign bidder's country; and shall be authenticated by the appropriate Philippine foreign service establishment/post or the equivalent office having jurisdiction over the foreign bidder's affairs in the Philippines. The English translation shall govern, for purposes of interpretation of the bid.

### 10. Documents Comprising the Bid: Technical Proposal

10.1. While preparing the Technical Proposal, Consultants must give particular attention to the following:

- (a) The Technical Proposal shall not include any financial information. Any Technical Proposal containing financial information shall be declared non-responsive.
- (b) For projects on a staff-time basis, the estimated number of professional staff-months specified in the **BDS** shall be complied with. Bids shall, however, be based on the number of professional staff-months estimated by the Consultant.
- (c) Proposed professional staff must, at a minimum, have the experience indicated in the **BDS**, preferably working under conditions similar to those prevailing in the Republic of the Philippines.
- (d) No alternative professional staff shall be proposed, and only one Curriculum Vitae (CV) may be submitted for each position.

10.2. The Technical Proposal shall contain the following information/documents:

- (a) Technical Proposal Submission Form shall be the cover letter of the Technical Proposal, using the form prescribed in Section VII. Bidding Forms (TPF 1).
- (b) Bid security as prescribed in **ITB** Clause 15. If the bidder opts to submit the bid security in the form of:
  - (i) a bank draft/guarantee or an irrevocable Letter of Credit issued by a foreign bank, it shall be accompanied by a confirmation from a Universal or Commercial Bank; or

- (ii) a surety bond accompanied by a certification coming from the Insurance Commission that the surety or insurance company is authorized to issue such instrument.
- (c) Information indicated in the paragraphs below must be provided by the Consultant and each partner and/or subconsultant, if any, following the formats described in the Technical Proposal Forms:
  - (i) A brief description of the organization and outline of recent experience of the Consultant and each partner and/or subconsultant on projects of a similar and related nature as required in form TPF 2. Consultant's References. For each project, the outline should indicate *inter alia*, the project, contract amount and the Consultant's involvement. Information should be provided only for those projects for which the Consultant was legally contracted by itself or as one of the major participating consultants within an association. Whenever applicable, the experience of individual experts from projects completed independently or when associated with consultants other than the one with whom the individual is currently associated with cannot be claimed as the experience of the current consultant or any one of its partners and/or subconsultants, but can be claimed by the individuals themselves in their CVs. Consultants should be prepared to substantiate the claimed experience if so requested by the Procuring Entity.
  - (ii) Comments, if any, on the TOR (TPF 3. Comments and Suggestions of Consultant on the Terms of Reference and on Data, Services, and Facilities to be Provided by the Procuring Entity) to improve performance in carrying out the Project. Innovativeness shall be appreciated, including workable suggestions that could improve the quality/effectiveness of the Project. In this regard, unless the Consultant clearly states otherwise, it shall be assumed by the Procuring Entity that work required to implement any such improvements, are included in the inputs shown on the Consultant's Staffing Schedule. It shall include a list of facilities requested by the Consultant to be provided by the Procuring Entity, if any, in addition to those shown on the Data Sheet that may include support facilities such as: counterpart staff, office space, local transportation, equipment, domestic administrative support, etc. that would be needed to carry out the project.
  - (iii) A concise, complete, and logical description of how the Consultant's team shall carry out the services to meet all requirements of the TOR using TPF 4. Description of the Methodology and Work Plan for Performing the Project.

- (iv) An organization chart of the key and support staff indicating their tasks and relationships amongst the Consultant and any partner and/or subconsultant, the Procuring Entity, the Funding Source and the GoP, and other parties or stakeholders, if any, involved in the project using TPF 5. Team Composition and Task.
  - (v) The name, age, nationality, background employment record, and professional experience of each nominated expert including ongoing projects, with particular reference to the type of experience required for the tasks assigned should be presented in the CV format shown in TPF 6. Format of Curriculum Vitae (CV) for Proposed Professional Staff. Only one duly notarized CV for each consultant involved in the Project may be submitted for each position.
  - (vi) The Procuring Entity requires that each expert confirm that the content of his/her CV is correct and the experts themselves should sign the certification of the CV. In addition, the expert should submit a signed written commitment stating that the expert shall work for the Project once awarded the contract. A zero rating shall be given to a nominated expert if the expert:
    - (vi.1) is proposed for a domestic position but is not a Filipino citizen;
    - (vi.2) failed to state nationality on the CV; or
    - (vi.3) the CV is not signed in accordance with paragraph (v) above.
  - (vii) A Time Schedule (TPF 7. Time Schedule for Professional Personnel) indicating clearly the estimated duration in terms of person-months (shown separately for work in the field and in the home office) and the proposed timing of each input for each nominated expert, including domestic experts, if required, using the format shown. The schedule shall also indicate when experts are working in the project office and when they are working at locations away from the project office.
  - (viii) A work plan showing in graphical format (bar chart) the timing of major activities, anticipated coordination meetings, and deliverables such as reports required under the TOR using TPF 8. Activity (Work) Schedule.
- (d) Sworn statement in accordance with Section 25.3 of the IRR of RA 9184 and using the form prescribed in Section VII Bidding Forms.

## **11. Documents Comprising the Bid: Financial Proposal**

- 11.1. All information provided in a Consultant's Financial Proposal shall be treated as confidential. The Financial Proposal must be submitted in hard copy using the format shown in Financial Proposal Forms.
- 11.2. The Financial Proposal requires completion of six (6) forms, particularly, FPF 1, FPF 2, FPF 3, FPF 4, FPF 5, and FPF 6. FPF 1. Financial Proposal Submission Form should form the covering letter of the Financial Proposal. Form FPF 2. Summary of Costs FPF 3. Breakdown of Price per Activity, FPF 4. Breakdown of Remuneration per Activity, FPF 5. Reimbursable per Activity, and FPF 6. Miscellaneous Expenses, relate to the costs of consulting services under two distinct categories, namely: (a) Remuneration; and (b) Reimbursable Expenditures.
- 11.3. Remuneration is divided into billing rate estimates for international and domestic consultants. Reimbursable Expenditures are divided into per diem rates for international and domestic consultants and costs for other reimbursable expenditure items required to perform the consulting services.
- 11.4. The list of experts, and their respective inputs, identified in Financial Proposal Forms, must match the list of experts and their respective inputs shown in Technical Proposal Forms.
- 11.5. The Consultant shall be subject to Philippine taxes on amounts payable by the Procuring Entity under the contract through mandated withholding by local tax authorities of specified percentages of such amounts or otherwise. The **BDS** details the taxes payable.
- 11.6. The Financial Proposal should clearly estimate, as a separate amount, the local taxes (including social security), duties, fees, levies, and other charges imposed under the applicable law, on the Consultants, the subconsultants, and its personnel (other than Philippine Nationals or permanent residents of the Philippines).
- 11.7. Unless otherwise provided in the **BDS**, total calculated bid prices, as evaluated and corrected for minor arithmetical corrections, such as computational errors, which exceed the approved budget for the contract (ABC) shall not be considered.

## **12. Alternative Bids**

Consultants participating in more than one bid or associating with any other entity other than those already provided in its eligibility documents and allowed by the Procuring Entity shall be disqualified.

## **13. Bid Currencies**

- 13.1. All bid prices shall be quoted in Philippine Pesos unless otherwise provided in the **BDS**. However, for purposes of bid evaluation, bids denominated in foreign currencies shall be converted to Philippine currency based on the exchange rate prevailing on the day of the bid opening.

- 13.2. If so allowed in accordance with **ITB** Clause 13.1, the Procuring Entity for purposes of bid evaluation and comparing the bid prices will convert the amounts in various currencies in which the bid price is expressed to Philippine Pesos at the exchange rate as published in the *Bangko Sentral ng Pilipinas* (BSP) reference rate bulletin on the day of the bid opening.
- 13.3. Unless otherwise specified in the **BDS**, payment of the contract price shall be made in Philippine Pesos.

## 14. Bid Validity

- 14.1. Bids shall remain valid for the period specified in the **BDS** which shall not exceed one hundred twenty (120) calendar days from the date of the opening of bids.
- 14.2. In exceptional circumstances, prior to the expiration of the bid validity period, the Procuring Entity may request Consultants to extend the period of validity of their bids. The request and the responses shall be made in writing. The bid security described in **ITB** Clause 15 should also be extended corresponding to the extension of the bid validity period at the least. A Consultant may refuse the request without forfeiting its bid security, but his bid shall no longer be considered for further evaluation and award. A Consultant granting the request shall not be required or permitted to modify its bid.

## 15. Bid Security

- 15.1. The Consultant shall submit a Bid Securing Declaration or any form of Bid Security in an amount stated in the **BDS**, which shall be not less than the percentage of the ABC in accordance with the following schedule:

Form of Bid Security	Amount of Bid Security (Not less than the Percentage of the ABC)
a) Cash or cashier's/manager's check issued by a Universal or Commercial Bank.	Two percent (2%)
b) Bank draft/guarantee or irrevocable letter of credit issued by a Universal or Commercial Bank: Provided, however, that it shall be confirmed or authenticated by a Universal or Commercial Bank, if issued by a foreign bank.	
c) Surety bond callable upon demand issued by a surety or insurance company duly certified	Five percent (5%)



by the Insurance Commission as authorized to issue such security.	
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The Bid Securing Declaration mentioned above is an undertaking which states, among others, that the bidder shall enter into contract with the Procuring Entity and furnish the performance security required under ITB Clause 31, within ten (10) calendar days from receipt of the Notice of Award, and commits to pay the corresponding amount as fine, and be suspended for a period of time from being qualified to participate in any government procurement activity in the event it violates any of the conditions stated therein as provided in the guidelines issued by the GPPB.

- 15.2. The bid security should be valid for the period specified in the **BDS**. Any bid not accompanied by an acceptable bid security shall be rejected by the Procuring Entity as non-responsive.
- 15.3. No bid securities shall be returned to the Consultants after the opening of bids and before contract signing, except to those that failed or declared as post-disqualified, upon submission of a written waiver of their right to file a request for reconsideration and/or protest or lapse of the reglementary period without having filed a request for reconsideration or protest. Without prejudice on its forfeiture, bid securities shall be returned only after the bidder with the Highest Rated Responsive Bid (HRRB) has signed the contract and furnished the performance security, but in no case later than the expiration of the bid security validity period indicated in **ITB** Clause 15.2.
- 15.4. Upon signing and execution of the contract pursuant to **ITB** Clause 31, and the posting of the performance security pursuant to **ITB** Clause 32, the Consultant's bid security will be discharged, but in no case later than the bid security validity period as indicated in **ITB** Clause 15.2.
- 15.5. The bid security may be forfeited:
  - (a) if a Consultant:
    - (i) withdraws its bid during the period of bid validity specified in **ITB** Clause 15.2;
    - (ii) does not accept the correction of errors pursuant to **ITB** Clause 11.7;
    - (iii) has a finding against the veracity of the required documents submitted in accordance with **ITB** Clause 27.2;
    - (v) submission of eligibility requirements containing false information or falsified documents;
    - (vi) any submission of bids that contain false information or falsified documents, or the concealment of such information in the bids

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- in order to influence the outcome of eligibility screening or any other stage of the public bidding;
- (vii) allowing the use of one's name, or using the name of another for purposes of public bidding;
  - (viii) withdrawal of a bid, or refusal to accept an award, or enter into contract with the Government without justifiable cause, after the Bidder had been adjudged as having submitted the LCRB;
  - (ix) refusal or failure to post the required performance security within the prescribed time;
  - (x) refusal to clarify or validate in writing its bid during post-qualification within a period of seven (7) calendar days from receipt of the request for clarification;
  - (xi) any documented attempt by a Bidder to unduly influence the outcome of the bidding in his favor;
  - (xii) failure of the potential joint venture partners to enter into the joint venture after the bid is declared successful; or
  - (xiii) all other acts that tend to defeat the purpose of the competitive bidding, such as habitually withdrawing from bidding, submitting late Bids or patently insufficient bid, for at least three (3) times within a year, except for valid reasons.
- (b) if the successful Consultant:
- (i) fails to sign the contract in accordance with **ITB** Clause 31;
  - (ii) fails to furnish performance security in accordance with **ITB** Clause 32; or
  - (iii) any other reason stated in the **BDS**.

## 16. Format and Signing of Bids

- 16.1. Consultants shall submit their bids through their duly authorized representative using the appropriate forms provided in Section VII Bidding Forms on or before the deadline specified in the **ITB** Clause 18 in two (2) separate sealed bid envelopes, and which shall be submitted simultaneously. The first shall contain the technical proposal and the second shall contain the financial proposal.
- 16.2. Forms as mentioned in **ITB** Clause 16.1 must be completed without any alterations to their format, and no substitute form shall be accepted. All blank spaces shall be filled in with the information requested.
- 16.3. The Consultant shall prepare an original of the first and second envelopes as described in **ITB** Clauses 0 and 11. In addition, the Consultant shall submit



copies of the first and second envelopes. In the event of any discrepancy between the original and the copies, the original shall prevail.

- 16.4. Each and every page of the Technical Proposal Submission Form and the Financial Proposal Submission Form under Section VII shall be signed by the duly authorized representative/s of the Consultant. Failure to do so shall be a ground for the rejection of the bid.
- 16.5. Any interlineations, erasures, or overwriting shall be valid only if they are signed or initialed by the duly authorized representative/s of the Consultant.

## 17. Sealing and Marking of Bids

- 17.1. Unless otherwise indicated in the **BDS**, Consultants shall enclose their original technical proposal described in **ITB** Clause 0, in one sealed envelope marked “ORIGINAL - TECHNICAL PROPOSAL”, and the original of their financial proposal in another sealed envelope marked “ORIGINAL - FINANCIAL PROPOSAL”, sealing them all in an outer envelope marked “ORIGINAL BID”.
- 17.2. Each copy of the first and second envelopes shall be similarly sealed duly marking the inner envelopes as “COPY NO. \_\_\_\_ - TECHNICAL PROPOSAL” and “COPY NO. \_\_\_\_ – FINANCIAL PROPOSAL” and the outer envelope as “COPY NO. \_\_\_\_”, respectively. These envelopes containing the original and the copies shall then be enclosed in one single envelope.
- 17.3. The original and the number of copies of the bid as indicated in the **BDS** shall be typed or written in ink and shall be signed by the bidder or its duly authorized representative/s.
- 17.4. All envelopes shall:
  - (a) contain the name of the contract to be bid in capital letters;
  - (b) bear the name and address of the Consultant in capital letters;
  - (c) be addressed to the Procuring Entity’s BAC in accordance with **ITB** Clause 18.1;
  - (d) bear the specific identification of this bidding process indicated in the **ITB** Clause 1.2; and
  - (e) bear a warning “DO NOT OPEN BEFORE...” the date and time for the opening of bids, in accordance with **ITB** Clause 18.
- 17.5. Bid envelopes that are not properly sealed and marked, as required in the bidding documents, shall not be rejected, but the bidder or its duly authorized representative shall acknowledge such condition of the Bid as submitted. The BAC or the Procuring Entity shall assume no responsibility for the misplacement of the contents of the improperly sealed or marked Bid, or for its premature opening.

## **D. Submission and Opening of Bids**

### **18. Deadline for Submission of Bids**

Bids must be received by the Procuring Entity's BAC at the address and on or before the date and time indicated in the **BDS**.

### **19. Late Bids**

Any bid submitted after the deadline for submission and receipt of bids prescribed by the Procuring Entity, pursuant to **ITB** Clause 18, shall be declared "Late" and shall not be accepted by the Procuring Entity. The BAC shall record in the minutes of Bid submission and opening, the Consultant's name, its representative and the time the late bid was submitted.

### **20. Modification and Withdrawal of Bids**

- 20.1. The Consultant may modify its bid after it has been submitted; provided that the modification is received by the Procuring Entity prior to the deadline prescribed for submission and receipt of bids. The Consultant shall not be allowed to retrieve its original bid, but shall be allowed to submit another bid equally sealed, properly identified in accordance with **ITB** Clause 17.4, linked to its original bid marked as "TECHNICAL MODIFICATION" or "FINANCIAL MODIFICATION" and stamped "received" by the BAC. Bid modifications received after the applicable deadline shall not be considered and shall be returned to the Consultant unopened.
- 20.2. A Consultant may, through a letter of withdrawal, withdraw its bid after it has been submitted, for valid and justifiable reason; provided that the letter of withdrawal is received by the Procuring Entity prior to the deadline prescribed for submission and receipt of bids. The letter of withdrawal must be executed by the authorized representative of the Bidder identified in the Omnibus Sworn Statement, a copy of which should be attached to the letter.
- 20.3. Bids requested to be withdrawn in accordance with **ITB** Clause 20.1 shall be returned unopened to the Bidders. A Consultant, who has acquired the bidding documents, may also express its intention not to participate in the bidding through a letter which should reach and be stamped by the BAC before the deadline for submission and receipt of bids. A Consultant that withdraws its bid shall not be permitted to submit another bid, directly or indirectly, for the same contract.
- 20.4. No bid may be modified after the deadline for submission of bids. No bid may be withdrawn in the interval between the deadline for submission of bids and the expiration of the period of bid validity specified by the Consultant on the Financial Bid Form. Withdrawal of a bid during this interval shall result in the forfeiture of the Consultant's bid security, pursuant to **ITB** Clause 15.5, and the imposition of administrative, civil, and criminal sanctions as prescribed by R.A. 9184 and its IRR.

## **E. Evaluation and Comparison of Bids**

### **21. Opening and Preliminary Examination of Bids**

- 21.1 Only bids from short listed bidders shall be opened and considered for award of contract. These short-listed bidders, whether single entities or JVs, should confirm in their Technical Proposal Submission Form that the information contained in the submitted eligibility documents remains correct as of the date of bid submission.
- 21.2 The BAC shall open the bids immediately after the deadline for the submission and receipt of bids in public, as specified in the **BDS**. In case the bids cannot be opened as scheduled due to justifiable reasons, the BAC shall take custody of the bids submitted and reschedule the opening on the next working day or at the soonest possible time through the issuance of a Bulletin to be posted at the PhilGEPS website and the website of the Procuring Entity concerned.
- 21.3 To determine each bidder's compliance with the documents prescribed in **ITB** Clause 10, the BAC shall open the first envelope (Technical Proposal) and check the submitted documents of each bidder in accordance with ITB Clause 10.2 to ascertain if they are all present, using a non-discretionary "pass/fail" criterion. If a bidder submits the required document, it shall be rated "passed" for that particular requirement. In this regard, bids that fail to include any requirement or are incomplete or patently insufficient shall be considered as "failed". Otherwise, the BAC shall rate the said first bid envelope as "passed".
- 21.4 Letters of withdrawal shall be read out and recorded during bid opening, and the envelope containing the corresponding withdrawn bid shall be returned to the Consultant unopened
- 21.5 All members of the BAC who are present during bid opening shall initial every page of the original copies of all bids received and opened.
- 21.6 All technical envelopes shall be resealed. Those rated "passed" shall be secured for the detailed technical bid evaluation, while those rated "failed" will be secured for purposes of possible filing of a request for reconsideration unless the bidder waives its right to file a request for reconsideration, in which case, the envelope shall be returned to the bidder immediately.
- 21.7 The Procuring Entity shall prepare the minutes of the proceedings of the bid opening that shall include, as a minimum: (a) names of Bidders, their bid price (per lot, if applicable, and/or including discount, if any), bid security, findings of preliminary examination, and whether there is a withdrawal or modification; and (b) attendance sheet. The BAC members shall sign the abstract of bids as read.

### **22. Process to be Confidential**

- 22.1 Members of the BAC, including its staff and personnel, as well as its Secretariat and TWG, are prohibited from making or accepting any kind of communication with any Consultant regarding the evaluation of their bids until the approval by the HoPE of the ranking of shortlisted Consultants, unless otherwise allowed in the **BDS** or in the case of **ITB** Clause 23.
- 22.2 Any effort by a bidder to influence the Procuring Entity in the Procuring Entity's decision in respect of bid evaluation, bid comparison or contract award will result in the rejection of the Consultant's bid.

## **23. Clarification of Bids**

To assist in the evaluation, comparison and post-qualification of the bids, the Procuring Entity may ask in writing any Consultant for a clarification of its bid. All responses to requests for clarification shall be in writing. Any clarification submitted by a Consultant in respect to its bid and that is not in response to a request by the Procuring Entity shall not be considered.

## **24. Bid Evaluation**

- 24.1 For the evaluation of bids, numerical ratings shall be used. In order to eliminate bias in evaluating the Bids, it is recommended that the highest and lowest scores for each Consultant for each criterion shall not be considered in determining the average scores of the Consultants, except when the evaluation is conducted in a collegial manner.
- 24.2 For complex or unique undertakings, such as those involving new concepts/technology or financial advisory services, participating short listed consultants may be required, at the option of the Procuring Entity concerned, to make an oral presentation to be presented by each Consultant, or its nominated Project Manager or head, in case of consulting firms, within fifteen (15) calendar days after the deadline for submission of Technical Proposals.
- 24.3 The entire evaluation process, including the submission of the results thereof to the HoPE for approval, shall be completed in not more than twenty-one (21) calendar days after the deadline for receipt of bids. The bid with the highest rank shall be identified as the Highest Rated Bid. The HoPE shall approve or disapprove the recommendations of the BAC within two (2) calendar days after receipt of the results of the evaluation from the BAC.
- 24.4 All participating short-listed consultants shall be furnished the results (ranking and total scores only) of the evaluation after the approval by the HoPE of the ranking. Said results shall also be posted in the PhilGEPS and the website of the Procuring Entity, if available, for a period of not less than seven (7) calendar days.

## **25 Evaluation of Technical Proposals**

- 25.1 The BAC shall then conduct a detailed evaluation of technical bids following the procedures specified in the **BDS** depending on the evaluation procedure identified in the Request for Expression of Interest and **ITB** Clause 1.1.
- 25.2 The BAC evaluates the Technical Proposals on the basis of their compliance with the requirements under **ITB** Clause 0 and responsiveness to the TOR using the following criteria:
- (a) Quality of personnel to be assigned to the Project which covers suitability of key staff to perform the duties for the Project and general qualifications and competence including education and training of the key staff;
  - (b) Experience and capability of the Consultant which include records of previous engagement and quality of performance in similar and in other projects; relationship with previous and current clients; and, overall work commitments, geographical distribution of current/impending projects and attention to be given by the consultant. The suitability of the Consultant to the Project shall consider both the overall experiences of the firm and the individual experiences of the principal and key staff including the times when employed by other consultants; and
  - (c) Plan of approach and methodology with emphasis on the clarity, feasibility, innovativeness and comprehensiveness of the plan approach, and the quality of interpretation of project problems, risks, and suggested solutions.
- 25.3 The BAC shall assign numerical weights and the minimum required technical score to each of the above criteria which shall be indicated in the **BDS**. A Bid shall be rejected at this stage if it does not respond to important aspects of the TOR or if it fails to achieve the minimum Technical Score (St) indicated in the **BDS**.
- 25.4 Technical Proposals shall not be considered for evaluation in any of the following cases:
- (a) late submission, *i.e.*, after the deadline set in the **ITB** Clause 18;
  - (b) failure to submit any of the technical requirements provided under this ITB and TOR;
  - (c) the Consultant that submitted a Bid or any of its partner and/or subconsultant belongs to one of the conflict of interest cases as described in **ITB** Clauses 2.1(a) to (c) and failed to make a proper statement to that effect in the cover letter; or
  - (d) the Technical Proposal included any cost of the services.

## 26 Opening and Evaluation of Financial Proposals

- 26.1 Financial Proposals shall be opened on the date indicated in the **BDS**.

- 26.2 The Financial Proposals opened shall be evaluated based on the evaluation procedure indicated in **ITB** Clause 1.1 using the corresponding procedure provided in the **BDS**.

## **27 Negotiations**

- 27.1 Negotiations with the Consultant that submitted the Highest Rated Bid shall be held at the address indicated in the **BDS**. The aim is to reach agreement on all points.
- 27.2 Negotiations shall cover the following:
- (a) Discussion and clarification of the TOR and Scope of Services;
  - (b) Discussion and finalization of the methodology and work program proposed by the Consultant;
  - (c) Consideration of appropriateness of qualifications and pertinent compensation, number of man-months and the personnel to be assigned to the job, taking note of over-qualified personnel to be commensurate with the compensation of personnel with the appropriate qualifications, number of man-months and schedule of activities (manning schedule);
  - (d) Discussion on the services, facilities and data, if any, to be provided by Procuring Entity concerned;
  - (e) Unless otherwise indicated in the **BDS**, discussion on the Financial Proposal submitted by the Consultant; and
  - (f) Provisions of the contract.
- 27.3 Having selected the Consultant on the basis of, among other things, an evaluation of the proposed key professional staff, the Procuring Entity expects to negotiate a contract on the basis of the experts named in the bid. Before contract negotiations, the Procuring Entity shall require assurances that the experts shall be actually available. The Procuring Entity shall not consider substitutions during contract negotiations except for justifiable reason as may be determined by the Procuring Entity, such as illness, death, or resignation, unless both parties agree that undue delay in the selection process makes such substitution unavoidable or that such changes are critical to meet the objectives of the Project. If this is not the case and if it is established that key staff were offered in the bid without confirming their availability, the Consultant may be disqualified. Once the contract has been awarded, no replacement shall be allowed until after fifty percent (50%) of the personnel's man-months have been served, except for justifiable reasons as may be determined by the Procuring Entity. Violators shall be fined an amount equal to the refund of the replaced personnel's basic rate, which should be at least fifty percent (50%) of the total basic rate for the duration of the engagement.



- 27.4 Negotiations shall include a discussion of the technical proposal, the proposed methodology (work plan), staffing and any suggestions made by the Consultant to improve the TOR. The Procuring Entity and Consultant shall then work out the final TOR, staffing, and bar charts indicating activities, staff, periods in the field and in the home office, staff-months, logistics, and reporting. The agreed work plan and final TOR shall then be incorporated in Appendix I and form part of the contract. Special attention shall be paid to getting the most the Consultant can offer within the available budget and to clearly defining the inputs required from the Procuring Entity to ensure satisfactory implementation of the Project.
- 27.5 The financial negotiations shall include a clarification of the Consultant's tax liability in the Philippines, if any, and the manner in which it shall be reflected in the contract; and shall reflect the agreed technical modifications in the cost of the services. The negotiations shall conclude with a review of the draft form of the contract. To complete negotiations, the Procuring Entity and the Consultant shall initial the agreed contract. If negotiations fail, the Procuring Entity shall invite the Consultant whose Bid received the second highest score to negotiate a contract. If negotiations still fail, the Procuring Entity shall repeat the process for the next-in-rank Consultant until the negotiation is successfully completed.

## 28 Post Qualification

- 28.1 The BAC shall determine to its satisfaction whether the Consultant that is evaluated as having submitted the Highest Rated Bid (HRB) complies with and is responsive to all the requirements and conditions specified in the Eligibility Documents and **ITB** Clauses 0 and 11.
- 28.2 Within a non-extendible period of five (5) calendar days from receipt by the Consultant of the notice from the BAC that it submitted the Highest Rated Bid, the Consultant shall submit its latest income and business tax returns filed and paid through the BIR Electronic Filing and Payment System (EFPS) and other appropriate licenses and permits required by law and stated in the **BDS**.

Failure to submit any of the post-qualification requirements on time, or a finding against the veracity thereof, shall disqualify the bidder for award. Provided, in the event that a finding against the veracity of any of the documents submitted is made, it shall cause the forfeiture of the bid security in accordance with Section 69 of the IRR of RA 9184.

- 28.3 The determination shall be based upon an examination of the documentary evidence of the Consultant's qualifications submitted pursuant to **ITB** Clauses 0 and 11, as well as other information as the Procuring Entity deems necessary and appropriate, using a non-discretionary "pass/fail" criterion, which shall be completed within a period of twelve (12) calendar days.
- 28.4 If the BAC determines that the Consultant with the Highest Rated Bid passes all the criteria for post-qualification, it shall declare the said bid as the Consultant with the HRRB, and recommend to the HoPE the award of contract

to the said Consultant at its submitted price or its calculated bid price, whichever is lower, subject to **ITB** Clause 30.3.

- 28.5 A negative determination shall result in rejection of the Consultant's bid, in which event the BAC shall proceed to the next Highest Rated Bid with a fresh period to make a similar determination of that Consultant's capabilities to perform satisfactorily. If the second Consultant, however, fails the post qualification, the procedure for post qualification shall be repeated for the Consultant with the next Highest Rated Bid, and so on until the HRRB is determined for recommendation of contract award.
- 28.6 Within a period not exceeding fifteen (15) calendar days from the determination by the BAC of the HRRB and the recommendation to award the contract, the HoPE or his duly authorized representative shall approve or disapprove the said recommendation.
- 28.7 In the event of disapproval, which shall be based on valid, reasonable, and justifiable grounds as provided for under Section 41 of the IRR of RA 9184, the HoPE shall notify the BAC and the Consultant in writing of such decision and the grounds for it. When applicable, the BAC shall conduct negotiations, and if successful, post-qualification of the Consultant with the next Highest Rated Bid. A request for reconsideration may be filed by the bidder with the HoPE in accordance with Section 37.1.3 of the IRR of RA 9184.

## 29 Reservation Clause

- 29.1 Notwithstanding the eligibility, short listing, or post-qualification of a Consultant, the Procuring Entity concerned reserves the right to review its qualifications at any stage of the procurement process if it has reasonable grounds to believe that a misrepresentation has been made by the said Consultant, or that there has been a change in the Consultant's capability to undertake this Project from the time it submitted its eligibility requirements. Should such review uncover any misrepresentation made in the eligibility and bidding requirements, statements or documents, or any changes in the situation of the Consultant which will affect its capability to undertake the project so that it fails the preset eligibility or bid evaluation criteria, the Procuring Entity shall consider the said Consultant as ineligible and shall disqualify it from submitting a bid or from obtaining an award or contract.
- 29.2 Based on the following grounds, the Procuring Entity reserves the right to reject any and all bids, declare a failure of bidding at any time prior to the contract award, or not to award the contract, without thereby incurring any liability, and make no assurance that a contract shall be entered into as a result of the bidding:
- (a) If there is *prima facie* evidence of collusion between appropriate public officers or employees of the Procuring Entity, or between the BAC and any of the bidders, or if the collusion is between or among the bidders themselves, or between a bidder and a third party, including any act which restricts, suppresses or nullifies or tends to restrict, suppress or nullify competition;



- (b) If the Procuring Entity's BAC is found to have failed in following the prescribed bidding procedures; or
  - (c) For any justifiable and reasonable ground where the award of the contract will not redound to the benefit of the GoP as follows:
    - (i) If the physical and economic conditions have significantly changed so as to render the project no longer economically, financially or technically feasible as determined by the HoPE;
    - (ii) If the project is no longer necessary as determined by the HoPE; and
    - (iii) If the source of funds for the project has been withheld or reduced through no fault of the Procuring Entity.
- 29.3 In addition, the Procuring Entity may likewise declare a failure of bidding when:
- (a) No bids are received;
  - (b) All prospective bidders are declared ineligible;
  - (c) All bids fail to comply with all the bid requirements or there is no successful negotiation, or fail post-qualification; or
  - (d) The bidder with the HRRB refuses, without justifiable cause to accept the award of contract, and no award is made in accordance with Section 40 of the IRR of RA 9184.

## **F. Award of Contract**

### **30. Contract Award**

- 30.1 Subject to **ITB** Clause 28, the HoPE or its authorized representative shall award the contract to the Bidder whose bid has been determined to be the HRRB.
- 30.2 Prior to the expiration of the period of bid validity, the Procuring Entity shall notify the successful Consultant in writing that its bid has been accepted, through a Notice of Award duly received by the Consultant or its authorized representative personally or by registered mail or electronically, receipt of which must be confirmed in writing within two (2) days by the Consultant with the HRRB and submitted personally or sent by registered mail or electronically to the Procuring Entity.
- 30.3 Notwithstanding the issuance of the Notice of Award, award of contract shall be subject to the following conditions:
- (a) Submission of the following documents within the (10) calendar days from receipt of the Notice of Award:
    - (i) Valid JVA, if applicable;

- (ii) In the case of procurement by a Philippine Foreign Service Office or Post, the PhilGEPS Registration Number of the winning foreign consultant; and/or
  - (iii) SEC Certificate of Registration of the foreign consulting firm, and/or the authorization or license issued by the appropriate GoP professional regulatory body of the foreign professionals engaging in the practice of regulated professions and allied professions, where applicable.
- (b) Posting of the performance security in accordance with **ITB** Clause 32;
  - (c) Signing of the contract as provided in **ITB** Clause 31; and
  - (d) Approval by higher authority, if required, as provided in Section 37.3 of the IRR of RA 9184.

### 31. Signing of the Contract

- 31.1 At the same time as the Procuring Entity notifies the successful Bidder that its bid has been accepted, the Procuring Entity shall send the Contract Form to the Bidder, which contract has been provided in the Bidding Documents, incorporating therein all agreements between the parties.
- 31.2 Within ten (10) calendar days from receipt of the Notice of Award, the successful Bidder shall post the required performance security and sign and date the contract and return it to the Procuring Entity.
- 31.3 The Procuring Entity shall enter into contract with the successful Bidder within the same ten (10) calendar day period provided that all the documentary requirements are complied with.

31.4 The following documents shall form part of the contract:

- (1) Contract Agreement;
- (2) Bidding Documents;
- (3) Winning bidder's bid, including the Technical and Financial Proposals, and all other documents/statements submitted (*e.g.*, bidder's response to request for clarifications on the bid), including corrections to the bid, if any, resulting from the Procuring Entity's bid evaluation;
- (4) Performance Security;
- (5) Notice of Award of Contract; and
- (6) Other contract documents that may be required by existing laws and/or specified in the **BDS**.

## 32. Performance Security

- 32.1 Unless otherwise provided in the **BDS**, to guarantee the faithful performance by the winning Consultant of its obligations under the contract, it shall post a performance security within a maximum period of ten (10) calendar days from the receipt of the Notice of Award from the Procuring Entity and in no case later than the signing of the contract.
- 32.2 The performance security shall be denominated in Philippine Pesos and posted in favor of the Procuring Entity in an amount not less than the percentage of the total contract price in accordance with the following schedule:

Form of Performance Security	Amount of Performance Security (Not less than the Percentage of the Total Contract Price)
(a) Cash or cashier's/manager's check issued by a Universal or Commercial Bank;	Goods and Consulting Services - Five percent (5%)  Infrastructure Projects – Ten percent (10%)
(b) Bank draft/guarantee or irrevocable letter of credit issued by a Universal or Commercial Bank: Provided, however, that it shall be confirmed or authenticated by a Universal or Commercial Bank, if issued by a foreign bank; and/or	
(c) Surety bond callable upon demand issued by a surety or insurance company duly certified by the Insurance Commission as authorized to issue such security.	Thirty percent (30%)

- 32.3 Failure of the successful Consultant to comply with the above-mentioned requirement shall constitute sufficient ground for the annulment of the award and forfeiture of the bid security, in which event the Procuring Entity shall have a fresh period to initiate negotiation and if successful, complete post-qualification of the second Highest Rated Bid. The procedure shall be repeated until the HRRB is identified and selected for recommendation of contract award. However if no Consultant had a successful negotiation or passed post-qualification, the BAC shall declare the bidding a failure and conduct a re-bidding with re-advertisement, if necessary.

## 33. Notice to Proceed

- 33.1 Within seven (7) calendar days from the date of approval of the contract by the appropriate government approving authority, the Procuring Entity shall issue

the Notice to Proceed together with copies of the approved contract to the successful Consultant. All notices called for by the terms of the contract shall be effective only at the time of receipt thereof by the successful Consultant.

- 33.2 The contract effectivity date shall be the date of contract signing. The Consultant shall commence performance of its obligations only upon receipt of the Notice to Proceed.

### **34. Protest Mechanism**

Decision of the Procuring Entity at any stage of the procurement process may be questioned in accordance with Section 55 of the IRR of RA 9184



### *Section III. Bid Data Sheet*

## **Bid Data Sheet**

<b>ITB Clause</b>	
1.1	The Procuring Entity is Department of Trade and Industry.  The evaluation procedure is Quality Cost Based Evaluation/Selection (QCBE/QCBS).
1.2	The Funding Source is:  The Government of the Philippines (GoP) through CY 2023 General Appropriations Act and/or continuing appropriations.  The name of the project is <b>Consulting Service for the Formulation of DTI Data Management Governance Framework and Enterprise Solution Architecture (Bidding No. 23-023)</b> .
1.3	<b>Consulting Service for the Formulation of DTI Data Management Governance Framework and Enterprise Solution Architecture (Bidding No. 23-023).</b>
1.4	The Project shall not be phased.
5	No further instructions.
6.1	Subcontracting is not allowed.
6.2	Not applicable.
7.1	The Procuring Entity will hold a pre-bid conference for this Project on <b>29 August 2023, 9:30 A.M.</b> through Zoom video conferencing, which shall be open to all shortlisted bidder/s.  <b>Zoom Meeting Details:</b> <b><u><a href="https://zoom.us/j/95714130228?pwd=azVMlIdEVk9EUzZuRVJsY1pFMW5VUT02">https://zoom.us/j/95714130228?pwd=azVMlIdEVk9EUzZuRVJsY1pFMW5VUT02</a></u></b>  Meeting ID: 957 1413 0228 Passcode: BAC2023
8.1	The Procuring Entity's address is:  <b>Mary Ann D. Yulas</b> DTI-BAC Secretariat Department of Trade and Industry G/F Trade & Industry Building

	361 Sen. Gil Puyat Avenue, Makati City  Or at <b><u>BACSecretariat@dti.gov.ph</u></b>
10.1(b)	Not applicable.
10.1(c)	The minimum required professional staff is as follows:  <b>1. At least 1 Project Team Lead; and</b> <b>2. At least 8 Team Members.</b>
11.5	Taxes: As prescribed by tax authorities.
11.7	The ABC is <b>Four Million Five Hundred Thousand Pesos (PhP4,500,000.00)</b> . Any bid with a financial component exceeding this amount shall not be accepted.
13.1	The bid prices shall be quoted in Philippine Pesos.
13.3	No further instructions.
14.1	Bids will be valid for <b>one hundred twenty (120) days from date of bid opening.</b>
15.1	The bid security shall be limited to a Bid Securing Declaration or any of the following forms and amounts:  1. The amount of not less than <b>Ninety Thousand Pesos (PhP90,000.00)</b> , if bid security is in cash, cashier's/manager's check, bank draft/guarantee or irrevocable letter of credit; or  2. The amount of not less than <b>Two Hundred Twenty-Five Thousand Pesos (PhP225,000.00)</b> , if bid security is in Surety Bond.
15.2	The bid security shall be valid for <b>one hundred twenty (120) days from date of bid opening.</b>
15.5(b)(iii)	No further instructions.
17.1	No further instructions.
17.3	Each Bidder shall submit <b>one (1)</b> original and <b>three (3)</b> copies of the first and second components of its bid as prescribed in Section II.17 of the Instructions to Bidders.
18	The address for submission of bids is  <b>Procurement Management Division</b> Human Resource and Administrative Service Department of Trade and Industry G/F Trade & Industry Building 361 Sen. Gil Puyat Avenue, Makati City

	<p><b>The bidders may submit their bids in any of the following form:</b></p> <ol style="list-style-type: none"> <li><b>1. Physical submission of the documents on the address stated above; or</b></li> <li><b>2. Electronic submission of bids with the following guidelines:</b> <ul style="list-style-type: none"> <li><b>• A. The Bidder shall submit three (3) set files of the same documents in PDF format, NOT EDITABLE, with different individual password before the set deadline. The Encryption Key and Password shall be submitted during the opening of the bids of the concerned bidder.</b></li> <li><b>• B. The Bidder shall have the full responsibility on securing the files submitted are not corrupted. The DTI-BAC shall have three (3) attempts to open the submitted files.</b> <ul style="list-style-type: none"> <li><b>• If the first file was successfully opened, the two (2) remaining files shall be disregarded.</b></li> <li><b>• If the first file was corrupted, the DTI-BAC shall open the second file. If the second file was successfully opened, the first and third file shall be disregarded.</b></li> <li><b>• If the first and second file were corrupted, the third file shall be opened.</b></li> <li><b>• If the third file was corrupted, the bidder shall be automatically disqualified.</b></li> </ul> </li> </ul> </li> </ol> <p>The deadline for submission of bids <b>11 September 2023 9:00 A.M.</b></p>
<p>21.2</p>	<p>The address for opening of bids:</p> <p><b><u>1. Physical Submission</u></b>  Center Conference Room  6/F Trade &amp; Industry Building  361 Sen. Gil Puyat Avenue, Makati City</p> <p><b><u>2. Electronic Submission</u></b></p> <p><b>BACSecretariat@dti.gov.ph</b></p> <p>The date and time for opening of bids is <b>11 September 2023, 9:30 A.M.</b></p> <p><b>The opening of bids can be attended via Zoom Video Meeting, if not physically present but submitted the document via physical submission or electronic mail.</b></p> <p><b>Below are the Zoom Meeting details:</b>  <b><u><a href="https://zoom.us/j/97870485873?pwd=Q1dvRGlOR2RHc3ZVUDQ4WDdQOVcwQT09">https://zoom.us/j/97870485873?pwd=Q1dvRGlOR2RHc3ZVUDQ4WDdQOVcwQT09</a></u></b></p> <p>Meeting ID: 978 7048 5873</p>



	Passcode: BAC2023
22.1	No further instructions.
25.1	<p>The following processes for the opening and evaluation of bids shall be adopted:</p> <ol style="list-style-type: none"> <li>The technical proposal together with the financial proposal shall be considered in the ranking of consultants. The technical proposals shall be evaluated first using the criteria in <b>ITB</b> Clause 25.2. The financial proposals of the consultants who meet the minimum technical score shall then be opened.</li> <li>The financial and technical proposals shall be given corresponding weights with the financial proposal given a minimum weight of fifteen percent (15%) up to a maximum of forty percent (40%). The weight of the technical criteria shall be adjusted accordingly such that their total weight in percent together with the weight given to the financial proposal shall add to one hundred percent (100%). The BAC shall rank the consultants in descending order based on the combined numerical ratings of their technical and financial proposals and identify the Highest Rated Bid.</li> <li>The HoPE shall approve or disapprove the recommendations of the BAC within two (2) calendar days after receipt of the results of the evaluation from the BAC.</li> <li>After approval by the HoPE of the Highest Rated Bid, the BAC shall, within three (3) calendar days, notify and invite the consultant with the Highest Rated Bid for negotiation in accordance with <b>ITB</b> Clause 27.</li> </ol>
25.3	<p>The numerical weight and the minimum required St for each criterion are as follows:</p> <p><b>The numerical weight of the St is eighty percent (80%), distributed as follows:</b></p> <ul style="list-style-type: none"> <li>– Applicable Experience and Capability of Consulting Firm;</li> <li>– Qualification of personnel who shall be assigned to the project; and</li> <li>– Methodology and Approach.</li> </ul> <p>The minimum St required to pass is <b>seventy percent (70%)</b>.</p> <p>The attention of the Consultant is drawn to Technical Proposal Forms – Bids must adhere to the maximum number of pages outlined in Clause 10.2(b).</p>
26.1	<p>The opening of Financial Proposals shall be on <b>18 September 2023, 9:30 AM</b>, at the Center Conference Room, 6/F Trade &amp; Industry Building, 361 Sen. Gil Puyat Avenue, Makati City.</p> <p>Financial Proposals <b>shall</b> be opened in public.</p>
26.2	<p>After the evaluation of quality is completed, the Procuring Entity shall notify those Consultants whose Bids did not meet the minimum qualifying mark or were considered non-responsive to the Bidding Documents and TOR, indicating that their Financial Proposals shall be returned unopened after completing the selection process. The</p>

Procuring Entity shall simultaneously notify the Consultants that have secured the minimum qualifying mark, indicating the date and time set for opening the Financial Proposals. The opening date shall not be sooner than two weeks after the notification date unless otherwise specified in **ITB** Clause 26.1. The notification may be sent by registered letter, facsimile, or electronic mail.

The Financial Proposals shall be opened publicly in the presence of the Consultants' representatives who choose to attend. The name of the Consultant, the quality scores, and the proposed prices shall be read aloud and recorded when the Financial Proposals are opened. The Procuring Entity shall prepare minutes of the public opening.

The BAC shall determine whether the Financial Proposals are complete, *i.e.*, whether all the documents mentioned in **ITB** Clause 11 are present and all items of the corresponding Technical Proposals that are required to be priced are so priced. If not, the Procuring Entity shall reject the proposal. The BAC shall correct any computational errors, and convert prices in various currencies to the Philippine Peso at the rate indicated in **ITB** Clause 13. The Financial Proposal shall not exceed the ABC and shall be deemed to include the cost of all taxes, duties, fees, levies, and other charges imposed under the applicable laws. The evaluation shall include all such taxes, duties, fees, levies, and other charges imposed under the applicable laws; where special tax privileges are granted to a particular class or nationality of Consultant by virtue of the GoP's international commitments, the amount of such tax privileges shall be included in the Financial Proposal for purposes of comparative evaluation of Bids.

The lowest Financial Proposal (Fl) shall be given a Financial Score (Sf) of 100 points. The Sf of other Financial Proposals shall be computed based on the formula indicated below:

$$Sf = 100 \times Fl/F$$

Where:

Sf is the financial score of the Financial Proposal under consideration,

Fl is the lowest Financial Proposal, and

F is the Financial Proposal under consideration.

Using the formula  $S = St \times T\% + Sf \times F\%$ , the Bids shall then be ranked according to their combined St and Sf using the weights (St is the technical score of the Technical Proposal under consideration; T = the weight given to the Technical Proposal; F = the weight given to the Financial Proposal; T + F = 1) indicated below:

T **0.80** and

F **0.20**;

	<p>provided that the total weights given to the Technical and Financial Proposals shall add up to 1.0.</p> <p>The BAC shall determine whether the Financial Proposals are complete, <i>i.e.</i>, whether all the documents mentioned in <b>ITB</b> Clause 11 are present and all items of the corresponding Technical Proposals that are required to be priced are so priced. If not, the Procuring Entity shall reject the Bid. The BAC shall correct any computational errors, and convert prices in various currencies to the Philippine Peso at the rate indicated in <b>ITB</b> Clause 13. The Financial Proposal shall be deemed to <b>include</b> the cost of all taxes, duties, fees, levies, and other charges imposed under the applicable laws. The evaluation shall <b>include</b> all such taxes, duties, fees, levies, and other charges imposed under the applicable laws; where special tax privileges are granted to a particular class or nationality of the Consultant by virtue of the GoP's international commitments, the amount of such tax privileges shall be included in the Financial Proposal for purposes of comparative evaluation of Bids.</p>
27.1	The address for negotiations is <b>Trade &amp; Industry Building, 361 Sen. Gil Puyat Avenue, Makati City.</b>
27.2(e)	No negotiations pertaining to the Financial Proposal shall be undertaken.
28.2	No additional requirement.
31.4.6	No additional requirement.
32.1	No further instructions.
33.2	The effective date of the contract is the date of signing of the contract.

## ***Section IV. General Conditions of Contract***

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## 1. Definitions

- 1.1 Unless the context otherwise requires, the following terms whenever used in this Contract have the following meanings:
- (a) “Applicable Law” means the laws and any other instruments having the force of law in the Philippines as they may be issued and enforced from time to time.
  - (b) “Consultant” refers to the short listed consultant with the HRRB determined by the Procuring Entity as such in accordance with the ITB.
  - (c) “Consulting Services” refer to services for Infrastructure Projects and other types of projects or activities of the Government of the Philippines (GoP) requiring adequate external technical and professional expertise that are beyond the capability and/or capacity of the Procuring Entity to undertake such as, but not limited to: (i) advisory and review services; (ii) pre-investment or feasibility studies; (iii) design; (iv) construction supervision; (v) management and related services; and (vi) other technical services or special studies.
  - (d) “Contract” means the agreement signed by the Parties, to which these General Conditions of Contract (GCC) and other sections of the Bidding Documents are attached.
  - (e) “Effective Date” means the date on which this Contract comes into full force and effect.
  - (f) “Foreign Currency” means any currency other than the currency of the Philippines.
  - (g) “Funding Source” means the entity indicated in the **SCC**.
  - (h) “GCC” means these General Conditions of Contract.
  - (i) “Government” means the Government of the Philippines (GoP).
  - (j) “Local Currency” means the Philippine Peso (Php).
  - (k) “Member,” in case the Consultant is a Joint Venture (JV) of two (2) or more entities, means any of these entities; and “Members” means all these entities.
  - (l) “Party” means the Procuring Entity or the Consultant, as the case may be, and “Parties” means both of them.
  - (m) “Personnel” means persons hired by the Consultant or by any Subconsultant as employees and assigned to the performance of the Services or any part thereof; “Foreign Personnel” means such persons who at the time of being so hired had their domicile outside the

Government's country; "Local Personnel" means such persons who at the time of being so hired had their domicile inside the Philippines; and "Key Personnel" means the Personnel referred to in **GCC** Clause 39.

- (n) "Procuring Entity" refers to any branch, constitutional commission or office, agency, department, bureau, office or instrumentality of the Government, including GOCC, GFI, SUC, LGU, and autonomous regional government procuring Goods, Consulting Services, and Infrastructure Projects.
- (o) "SCC" means the Special Conditions of Contract by which the GCC may be amended or supplemented.
- (p) "Services" means the work to be performed by the Consultant pursuant to this Contract, as described in Appendix I.
- (q) "Subconsultant" means any person or entity to whom/which the Consultant subcontracts any part of the Services in accordance with the provisions of **GCC** Clause 50.
- (r) "Third Party" means any person or entity other than the Government, the Procuring Entity, the Consultant or a Subconsultant.

## **2. Headings**

The headings shall not limit, alter or affect the meaning of this Contract.

## **3. Location**

The Services shall be performed at such locations as are specified in Appendix I and, where the location of a particular task is not so specified, at such locations, whether in the Philippines or elsewhere, as the Procuring Entity may approve.

## **4. Law Governing Contract and Services**

- 4.1 This Contract, its meaning and interpretation, and the relation between the Parties shall be governed by the Applicable Law.
- 4.2 The Consultant shall perform the Services in accordance with the Applicable Law and shall take all practicable steps to ensure that any Subconsultant, as well as the Personnel of the Consultant and any Subconsultant, complies with the Applicable Law. The Procuring Entity shall notify the Consultant in writing of relevant local customs, and the Consultant shall, after such notification, respect such customs.
- 4.3 If, after the date of this Contract, there is any change in the Applicable Law with respect to taxes and duties which increases or decreases the cost incurred by the Consultant in performing the Services, then the remuneration and reimbursable expenses otherwise payable to the Consultant under this Contract shall be increased or decreased on a no loss-no gain basis, and corresponding



adjustments shall be made to the ceiling amounts specified in GCC Clause 52, provided that the cost is within the Approved Budget for the Contract (ABC).

## **5. Language**

This Contract has been executed in the English language, which shall be the binding and controlling language for all matters relating to the meaning or interpretation of this Contract.

## **6. Consultants and Affiliates Not to Engage in Certain Activities**

- 6.1 The Consultant agrees that, during the term of this Contract and after its termination, the Consultant and any entity affiliated with the Consultant, as well as any Subconsultant and any entity affiliated with such Subconsultant, shall be disqualified from providing goods, works, or consulting services for any project resulting from or closely related to this Contract other than the Services and any continuation thereof provided there is no current or future conflict.
- 6.2 The Consultant shall not engage, and shall cause their Personnel as well as their Subconsultants and their Personnel not to engage, either directly or indirectly, in any of the following activities:
- (a) during the term of this Contract, any business or professional activities in the Government's country which would conflict with the activities assigned to them under this Contract; and
  - (b) after the termination of this Contract, such other activities as may be specified in the **SCC**.

## **7. Authority of Member in Charge**

In case the Consultant is a JV, the Members hereby authorize the entity specified in the **SCC** to act on their behalf in exercising all the Consultant's rights and obligations towards the Procuring Entity under this Contract, including without limitation the receiving of instructions and payments from the Procuring Entity.

## **8. Resident Project Manager**

If required by the **SCC**, the Consultant shall ensure that at all times during the Consultant's performance of the Services in the Government's country, a resident project manager, acceptable to the Procuring Entity, shall take charge of the performance of such Services.

## **9. Entire Agreement**

This Contract, including the documents specified in Section 37.2.3 of the IRR of RA 9184, contains all covenants, stipulations and provisions agreed by the Parties. No agent or representative of either Party has authority to make any statement, representation, promise, or agreement not set forth herein of which the Parties shall not be bound by or be liable for.

## **10. Modification**

Unless otherwise specified in the **SCC**, no modification of the terms and conditions of this Contract, including any modification of the scope of the Services shall be allowed. Pursuant to **GCC** Clause 14 hereof, however, each Party shall give due consideration to any proposal for modification made by the other Party.

## **11. Relationship of Parties**

11.1 Nothing contained herein shall be construed as establishing a relation of employer and employee or of principal and agent as between the Procuring Entity and the Consultant. The Consultant, subject to this Contract, has complete charge of its Personnel and Subconsultants, if any, performing the Services and shall be fully responsible for the Services performed by them or on their behalf hereunder.

11.2 The Consultant shall during the performance of the Services be an independent contractor, retaining complete control over its Personnel, conforming to all statutory requirements with respect to all its employees, and providing all appropriate employee benefits.

## **12. Authorized Representatives**

Any action required or permitted to be taken, and any document required or permitted to be executed, under this Contract by the Procuring Entity or the Consultant may be taken or executed by the officials specified in the **SCC**.

## **13. Good Faith**

The Parties undertake to act in good faith with respect to each other's rights under this Contract and to adopt all reasonable measures to ensure the realization of the objectives of this Contract.

## **14. Operation of the Contract**

The Parties recognize that it is impractical for this Contract to provide for every contingency which may arise during the life of this Contract, and the Parties hereby agree that it is their intention that this Contract shall operate fairly as between them, and without detriment to the interest of either of them; and that, if during the term of this Contract either Party believes that this Contract is operating unfairly, the Parties shall use their best efforts to agree on such action as may be necessary to remove the

cause or causes of such unfairness, but no failure to agree on any action pursuant to this Clause shall give rise to a dispute subject to arbitration in accordance with **GCC** Clause 34 hereof.

## **15. Notices**

- 15.1 Any notice, request or consent required or permitted to be given or made pursuant to this Contract shall be in writing. Any such notice, request or consent shall be deemed to have been given or made when received by the concerned party, either in person or through an authorized representative of the Party to whom the communication is addressed, or when sent by registered mail, telex, telegram or facsimile to such Party at the address specified in the **SCC**.
- 15.2 Notice shall be deemed to be effective as specified in the **SCC**.
- 15.3 A Party may change its address for notice hereunder by giving the other Party notice of such change pursuant to the provisions listed in the SCC with respect to **GCC** Clause 15.2.

## **16. Warranty as to Eligibility**

- 16.1 The Consultant represents, warrants, and confirms that it, as well as its Subconsultant, if any, is eligible, *i.e.*, has the legal personality to act as a consultant in accordance with Part I, Section II. Eligibility Documents issued for this project.
- 16.2 The Consultant shall fulfill its obligations under this Contract by using knowledge according to the best accepted professional standards. The Consultant shall exercise all reasonable skill, care and diligence in the discharge of duties agreed to be performed and shall work in the best interest of the GoP.

## **17. Confidentiality**

Except with the prior written consent of the Procuring Entity, the Consultant and the Personnel shall not at any time communicate to any person or entity any confidential information acquired in the course of the Services, nor shall the Consultant and the Personnel make public the recommendations formulated in the course of, or as a result of, the Services. For purposes of this clause, “confidential information” means any information or knowledge acquired by the Consultant and/or its Personnel arising out of, or in connection with, the performance of the Services under this Contract that is not otherwise available to the public.

## **18. Payment**

- 18.1 In consideration of the Services performed by the Consultant under this Contract, the Procuring Entity shall make to the Consultant such payments and in such manner as is provided by **GCC** Clause 53 of this Contract. However, the Procuring Entity may refuse to make payments when the terms and conditions of the contract are not satisfactorily performed by the Consultant.

18.2 Subject to the ceilings specified in **GCC** Clause 52 hereof, the Procuring Entity shall pay to the Consultant: (i) remuneration as set forth in **GCC** Clause 53.2; and (ii) reimbursable expenditures as set forth in **GCC** Clause 53.4. Said remuneration shall not be subject to price adjustment.

18.3 All payments under this Contract shall be made to the account of the Consultant specified in the **SCC**.

## **19. Currency of Payment**

Unless otherwise specified in the **SCC**, all payments shall be made in Philippine Pesos.

## **20. Liability of the Consultant**

Subject to additional provisions, if any, set forth in the **SCC**, the Consultant's liability under this Contract shall be as provided by the laws of the Republic of the Philippines.

## **21. Insurance to be Taken Out by the Consultant**

21.1 The Consultant, at its own cost, shall be responsible for taking out or maintaining any insurance policy against any risk related to the project.

21.2 The Procuring Entity undertakes no responsibility in respect of life, health, accident, travel or any other insurance coverage for the Personnel or for the dependents of any such Personnel.

## **22. Effectivity of Contract**

The contract effectivity date shall be the date of contract signing, provided that the effectiveness of the conditions, if any, listed in the **SCC** have been met.

## **23. Commencement of Services**

The Consultant shall begin carrying out the Services starting from the effectivity date of this Contract, as mentioned in **GCC** Clause 22.

## **24. Expiration of Contract**

Unless sooner terminated pursuant to **GCC** Clauses 27 or 28 hereof, this Contract shall terminate at the end of such time period after the effectivity date as shall be specified in the **SCC**.

## **25. Force Majeure**

- 25.1 For purposes of this Contract the terms “force majeure” and “fortuitous event” may be used interchangeably. In this regard, a fortuitous event or force majeure shall be interpreted to mean an event which the Consultant could not have foreseen, or which though foreseen, was inevitable. It shall not include ordinary unfavorable weather conditions; and any other cause the effects of which could have been avoided with the exercise of reasonable diligence by the Consultant.
- 25.2 The failure of a Party to fulfill any of its obligations hereunder shall not be considered to be a breach of, or default under, this Contract insofar as such inability arises from an event of force majeure, provided that the Party affected by such an event has taken all reasonable precautions, due care and reasonable alternative measures, all with the objective of carrying out the terms and conditions of this Contract.
- 25.3 Unless otherwise agreed herein, force majeure shall not include:
- (a) any event which is caused by the negligence or intentional action of a Party or such Party’s Subconsultants or agents or employees;
  - (b) any event which a diligent Party could reasonably have been expected to both take into account at the time of the conclusion of this Contract and avoid or overcome in the carrying out of its obligations hereunder;
  - (c) insufficiency of funds or failure to make any payment required hereunder; or
  - (d) the Procuring Entity’s failure to review, approve or reject the outputs of the Consultant beyond a reasonable time period.
- 25.4 A Party affected by an event of force majeure shall take all reasonable measures to remove such Party’s inability to fulfill its obligations hereunder immediately or within a reasonable time.
- 25.5 A Party affected by an event of force majeure shall notify the other Party of such event as soon as possible, and in any event not later than fifteen (15) days following the occurrence of such event, providing evidence of the nature and cause of such event, and shall similarly give notice of the restoration of normal conditions as soon as possible.
- 25.6 The Parties shall take all reasonable measures to minimize the consequences of any event of force majeure.
- 25.7 Any period within which a Party shall, pursuant to this Contract, complete any action or task, shall be extended for a period equal to the time during which such Party was unable to perform such action as a direct and proximate result of force majeure.

- 25.8 During the period of their inability to perform the Services as a direct and proximate result of an event of force majeure, the Consultant shall be entitled to continue receiving payment under the terms of this Contract as well as to be reimbursed for additional costs reasonably and necessarily incurred by it during such period for the purposes of the Services and in reactivating the Services after the end of such period, provided that such costs are still within the total contract price. However, the foregoing provision shall not apply if the Procuring Entity suspends or terminates this Contract in writing, notice thereof duly received by the Consultant, pursuant to GCC Clauses 26 and 27 hereof with the exception of the direct and proximate result of force majeure.
- 25.9 Not later than fifteen (15) days after the Consultant, as the direct and proximate result of an event of force majeure, has become unable to perform a material portion of the Services, the Parties shall consult with each other with a view to agreeing on appropriate measures considering the circumstances.
- 25.10 In the case of disagreement between the parties as to the existence, or extent of force majeure, the matter shall be submitted to arbitration in accordance with GCC Clause 34 hereof.

## **26. Suspension**

- 26.1 The Procuring Entity shall, by written notice of suspension to the Consultant, suspend all payments to the Consultant hereunder if the Consultant fail to perform any of their obligations due to their own fault or due to force majeure or other circumstances beyond the control of either party (*e.g.* suspension of civil works being supervised by the consultant) under this Contract, including the carrying out of the Services, provided that such notice of suspension:
- (a) shall specify the nature of the failure; and
  - (b) shall request the Consultant to remedy such failure within a period not exceeding thirty (30) days after receipt by the Consultant of such notice of suspension.
- 26.2 The Consultant may, without prejudice to its right to terminate this Contract pursuant to GCC Clause 28, by written notice of suspension, suspend the Services if the Procuring Entity fails to perform any of its obligations which are critical to the delivery of the Consultant's services such as, non-payment of any money due the Consultant within forty-five (45) days after receiving notice from the Consultant that such payment is overdue.

## **27. Termination by the Procuring Entity**

- 27.1 The Procuring Entity shall terminate this Contract when any of the following conditions attends its implementation:
- (a) Outside of force majeure, the Consultant fails to deliver or perform the Outputs and Deliverables within the period(s) specified in the Contract,

or within any extension thereof granted by the Procuring Entity pursuant to a request made by the Consultant prior to the delay;

- (b) As a result of force majeure, the Consultant is unable to deliver or perform a material portion of the Outputs and Deliverables for a period of not less than sixty (60) calendar days after the Consultant's receipt of the notice from the Procuring Entity stating that the circumstance of force majeure is deemed to have ceased;
- (c) In whole or in part, at any time for its convenience, the HoPE may terminate the Contract for its convenience if he has determined the existence of conditions that make Project Implementation economically, financially or technically impractical and/or unnecessary, such as, but not limited to, fortuitous event(s) or changes in law and National Government policies;
- (d) If the Consultant is declared bankrupt or insolvent as determined with finality by a court of competent jurisdiction; in which event, termination will be without compensation to the Consultant, provided that such termination will not prejudice or affect any right of action or remedy which has accrued or will accrue thereafter to the Procuring Entity and/or the Consultant;
- (e) In case it is determined prima facie that the Consultant has engaged, before or during the implementation of this Contract, in unlawful deeds and behaviors relative to contract acquisition and implementation, such as, but not limited to, the following: corrupt, fraudulent, collusive, coercive, and obstructive practices; drawing up or using forged documents; using adulterated materials, means or methods, or engaging in production contrary to rules of science or the trade; and any other act analogous to the foregoing. For purposes of this clause, corrupt, fraudulent, collusive, coercive, and obstructive practices shall have the same meaning as that provided in **ITB** Clause 3.1(a):
- (f) The Consultant fails to remedy a failure in the performance of their obligations hereunder, as specified in a notice of suspension pursuant to GCC Clause 15.2 hereinabove, within thirty (30) days of receipt of such notice of suspension or within such further period as the Procuring Entity may have subsequently approved in writing;
- (g) The Consultant's failure to comply with any final decision reached as a result of arbitration proceedings pursuant to GCC Clause 34 hereof; or
- (h) The Consultant fails to perform any other obligation under the Contract.

27.2 In case of termination, written notice shall be understood to mean fifteen (15) days for short term contracts, *i.e.*, four (4) months or less, and thirty (30) days for long term contracts.

## **28. Termination by the Consultant**



The Consultant must serve a written notice to the Procuring Entity of its intention to terminate this Contract at least thirty (30) calendar days before its intended termination. This Contract is deemed terminated if no action has been taken by the Procuring Entity with regard to such written notice within thirty (30) calendar days after the receipt thereof by the Procuring Entity. The Consultant may terminate this Contract through any of the following events:

- (a) The Procuring Entity is in material breach of its obligations pursuant to this Contract and has not remedied the same within sixty (60) calendar days following its receipt of the Consultant's notice specifying such breach;
- (b) The Procuring Entity's failure to comply with any final decision reached as a result of arbitration pursuant to **GCC** Clause 34 hereof
- (c) As the direct and proximate result of force majeure, the Consultant is unable to perform a material portion of the Services for a period of not less than sixty (60) days; or
- (d) The Procuring Entity fails to pay any money due to the Consultant pursuant to this Contract and not subject to dispute pursuant to **GCC** Clause 32 hereof within eighty-four (84) days after receiving written notice from the Consultant that such payment is overdue.

## **29. Procedures for Termination of Contracts**

The following provisions shall govern the procedures for the termination of this Contract:

- (a) Upon receipt of a written report of acts or causes which may constitute ground(s) for termination as aforementioned, or upon its own initiative, the Procuring Entity shall, within a period of seven (7) calendar days, verify the existence of such ground(s) and cause the execution of a Verified Report, with all relevant evidence attached;
- (b) Upon recommendation by the Procuring Entity, the HoPE shall terminate this Contract only by a written notice to the Consultant conveying such termination. The notice shall state:
  - (i) that the contract is being terminated for any of the ground(s) aforementioned, and a statement of the acts that constitute the ground(s) constituting the same;
  - (ii) the extent of termination, whether in whole or in part;
  - (iii) an instruction to the Consultant to show cause as to why the contract should not be terminated; and
  - (iv) special instructions of the Procuring Entity, if any.

The Notice to Terminate shall be accompanied by a copy of the Verified Report;

- (c) Within a period of seven (7) calendar days from receipt of the Notice of Termination, the Consultant shall submit to the HoPE a verified position paper stating why this Contract should not be terminated. If the Consultant fails to show cause after the lapse of the seven (7) day period, either by inaction or by default, the HoPE shall issue an order terminating this Contract;
- (d) The Procuring Entity may, at anytime before receipt of the Consultant's verified position paper to withdraw the Notice to Terminate if it is determined that certain services subject of the notice had been completed or performed before the Consultant's receipt of the notice;
- (e) Within a non-extendible period of ten (10) calendar days from receipt of the verified position paper, the HoPE shall decide whether or not to terminate this Contract. It shall serve a written notice to the Consultant of its decision and, unless otherwise provided, this Contract is deemed terminated from receipt of the Consultant of the notice of decision. The termination shall only be based on the ground(s) stated in the Notice to Terminate; and
- (f) The HoPE may create a Contract Termination Review Committee (CTRC) to assist him in the discharge of this function. All decisions recommended by the CTRC shall be subject to the approval of the HoPE.

### 30. Cessation of Services

Upon termination of this Contract by notice of either Party to the other pursuant to **GCC** Clauses 27 or 28 hereof, the Consultant shall, immediately upon dispatch or receipt of such notice, take all necessary steps to bring the Services to a close in a prompt and orderly manner and shall make every reasonable effort to keep expenditures for this purpose to a minimum. With respect to documents prepared by the Consultant and equipment and materials furnished by the Procuring Entity, the Consultant shall proceed as provided, respectively, by **GCC** Clauses 35 or 36 hereof.

### 31. Payment Upon Termination

Upon termination of this Contract pursuant to **GCC** Clauses 27 or 28 hereof, the Procuring Entity shall make the following payments to the Consultant:

- (a) remuneration pursuant to **GCC** Clause 53 hereof for Services satisfactorily performed prior to the effective date of termination;
- (b) reimbursable expenditures pursuant to **GCC** Clause 53 hereof for expenditures actually incurred prior to the effective date of termination; and
- (c) in the case of termination pursuant to **GCC** Clause 27(b) hereof, reimbursement of any reasonable cost incident to the prompt and orderly termination of this Contract including the cost of the return travel of the Personnel and their eligible dependents.

### 32. Disputes about Events of Termination

If either Party disputes whether an event specified in **GCC** Clause 27.1 or in **GCC** Clause 28 hereof has occurred, such Party may refer the matter to arbitration pursuant to **GCC** Clause 34 hereof, and this Contract shall not be terminated on account of such event except in accordance with the terms of any resulting arbitral award.

### **33. Cessation of Rights and Obligations**

Upon termination of this Contract pursuant to **GCC** Clauses 27 or 28 hereof, or upon expiration of this Contract pursuant to **GCC** Clause 24, all rights and obligations of the Parties hereunder shall cease, except:

- (a) such rights and obligations as may have accrued on the date of termination or expiration;
- (b) the obligation of confidentiality set forth in **GCC** Clause 17 hereof; and
- (c) the Consultant's obligation to permit inspection, copying and auditing of their accounts and records set forth in **GCC** Clauses 51(b) and 51(c) hereof, any right which a Party may have under the Applicable Law.

### **34. Dispute Settlement**

- 34.1 If any dispute or difference of any kind whatsoever shall arise between the Parties in connection with the implementation of this Contract, the Parties shall make every effort to resolve amicably such dispute or difference by mutual consultation.
- 34.2 Any and all disputes arising from the implementation of this Contract shall be submitted to arbitration in accordance with the rules of procedure specified in the **SCC**.

### **35. Documents Prepared by the Consultant and Software Developed to be the Property of the Procuring Entity**

- 35.1 All plans, drawings, specifications, designs, reports, other documents and software prepared by the Consultant for the Procuring Entity under this Contract shall become and remain the property of the Procuring Entity, and the Consultant shall, prior to termination or expiration of this Contract, deliver all such documents to the Procuring Entity, together with a detailed inventory thereof. The Consultant may retain a copy of such documents and software. The plans, drawings, specifications, designs, reports, other documents and software, including restrictions on future use of such documents and software, if any, shall be specified in the **SCC**.
- 35.2 All computer programs developed by the Consultant under this Contract shall be the sole and exclusive property of the Procuring Entity; provided, however, that the Consultant may use such programs for its own use with prior written approval of the Procuring Entity. If license agreements are necessary or appropriate between the Consultant and third parties for purposes of development of any such computer programs, the Consultant shall obtain the

Procuring Entity's prior written approval to such agreements. In such cases, the Procuring Entity shall be entitled at its discretion to require recovering the expenses related to the development of the program(s) concerned.

### **36. Equipment and Materials Furnished by the Procuring Entity**

Equipment and materials made available to the Consultant by the Procuring Entity, or purchased by the Consultant with funds provided by the Procuring Entity, shall be the property of the Procuring Entity and shall be marked accordingly. Upon termination or expiration of this Contract, the Consultant shall make available to the Procuring Entity an inventory of such equipment and materials and shall dispose of such equipment and materials in accordance with the Procuring Entity's instructions. While in possession of such equipment and materials, the Consultant, unless otherwise instructed by the Procuring Entity in writing, shall insure it at the expense of the Procuring Entity in an amount equal to their full replacement value.

### **37. Services, Facilities and Property of the Procuring Entity**

The Procuring Entity shall make available to the Consultant and the Personnel, for the purposes of the Services and free of any charge, the services, facilities and property described in Appendix V at the terms and in the manner specified in said appendix, provided that if such services, facilities and property shall not be made available to the Consultant as and when so specified, the Parties shall agree on:

- (a) any time extension that it may be appropriate to grant to the Consultant for the performance of the Services;
- (b) the manner in which the Consultant shall procure any such services, facilities and property from other sources; and
- (c) the additional payments, if any, to be made to the Consultant as a result thereof pursuant to GCC Clause 52 hereinafter which should be within the agreed contract ceiling.

### **38. Consultant's Actions Requiring Procuring Entity's Prior Approval**

The Consultant shall obtain the Procuring Entity's prior approval in writing before taking any of the following actions:

- (a) appointing such members of the Personnel as are listed in Appendix III merely by title but not by name;
- (b) entering into a subcontract for the performance of any part of the Services, it being understood that:
  - (i) the selection of the Subconsultant and the terms and conditions of the subcontract shall have been approved in writing by the Procuring Entity prior to the execution of the subcontract; and

- (ii) the Consultant shall remain fully liable for the performance of the Services by the Subconsultant and its Personnel pursuant to this Contract;
- (c) replacement, during the performance of the contract for any reason, of any Personnel as listed in Appendix III of this Contract requiring the Procuring Entity's prior approval; and
- (d) any other action that may be specified in the **SCC**.

### **39. Personnel**

- 39.1 The Consultant shall employ and provide such qualified and experienced Personnel and Subconsultants as are required to carry out the Services.
- 39.2 The title, agreed job description, minimum qualification and estimated period of engagement in the carrying out of the Services of each of the Consultant's Key Personnel are described in Appendix III.
- 39.3 The Key Personnel and Subconsultants listed by title as well as by name in Appendix III are hereby approved by the Procuring Entity. In respect of other Key Personnel which the Consultant proposes to use in the carrying out of the Services, the Consultant shall submit to the Procuring Entity for review and approval a copy of their biographical data and, in the case of Key Personnel to be assigned within the GoP, a copy of a satisfactory medical certificate attached as part of Appendix III. If the Procuring Entity does not object in writing; or if it objects in writing but fails to state the reasons for such objection, within twenty-one (21) calendar days from the date of receipt of such biographical data and, if applicable, such certificate, the Key Personnel concerned shall be deemed to have been approved by the Procuring Entity.
- 39.4 The Procuring Entity may request the Consultants to perform additional services not covered by the original scope of work but are determined by the Procuring Entity to be critical for the satisfactory completion of the Services, subject to **GCC Clause 55.6**.
- 39.5 No changes shall be made in the Key Personnel, except for justifiable reasons as may be determined by the Procuring Entity, as indicated in the **SCC**, and only upon prior approval of the Procuring Entity. If it becomes justifiable and necessary to replace any of the Personnel, the Consultant shall forthwith provide as a replacement a person of equivalent or better qualifications. If the Consultant introduces changes in Key Personnel for reasons other than those mentioned in the **SCC**, the Consultant shall be liable for the imposition of damages as described in the **SCC**.
- 39.6 Any of the Personnel provided as a replacement under **GCC Clauses 39.5 and 39.7**, the rate of remuneration applicable to such person as well as any reimbursable expenditures the Consultant may wish to claim as a result of such replacement, shall be subject to the prior written approval by the Procuring Entity. Except as the Procuring Entity may otherwise agree, the Consultant

shall bear all additional travel and other costs arising out of or incidental to any removal and/or replacement, and the remuneration to be paid for any of the Personnel provided as a replacement shall not exceed the remuneration which would have been payable to the Personnel replaced.

- 39.7 If the Procuring Entity finds that any of the Personnel has committed serious misconduct or has been charged with having committed a criminal action as defined in the Applicable Law, or has reasonable cause to be dissatisfied with the performance of any of the Personnel, then the Consultant shall, at the Procuring Entity's written request specifying the grounds therefore, forthwith provide as a replacement a person with qualifications and experience acceptable to the Procuring Entity.

#### **40. Working Hours, Overtime, Leave, etc.**

- 40.1 Working hours and holidays for Key Personnel are set forth in Appendix III. Any travel time prior to and after contract implementation shall not be considered as part of the working hours.
- 40.2 The Key Personnel shall not be entitled to claim payment for overtime work, sick leave, or vacation leave from the Procuring Entity since these items are already covered by the Consultant's remuneration. All leaves to be allowed to the Personnel are included in the staff-months of service set forth in Appendix III. Taking of leave by any Personnel should not delay the progress and adequate supervision of the Services.
- 40.3 If required to comply with the provisions of **GCC** Clause 43.1 hereof, adjustments with respect to the estimated periods of engagement of Key Personnel set forth in Appendix III may be made by the Consultant by prior written notice to the Procuring Entity, provided that:
- (a) such adjustments shall not alter the originally estimated period of engagement of any individual by more than ten percent (10%); and
  - (b) the aggregate of such adjustments shall not cause payments under this Contract to exceed the ceilings set forth in **GCC** Clause 52.1 of this Contract. Any other such adjustments shall only be made with the Procuring Entity's prior written approval.

#### **41. Counterpart Personnel**

- 41.1 If so provided in Appendix III hereto, the Procuring Entity shall make available to the Consultant, as and when provided in such Appendix III, and free of charge, such Counterpart Personnel to be selected by the Procuring Entity, with the Consultant's advice, as shall be specified in such Appendix III. Counterpart Personnel shall work with the Consultant. If any member of the Counterpart Personnel fails to perform adequately any work assigned to such member by the Consultant which is consistent with the position occupied by such member, the Consultant may request the replacement of such member, and the Procuring Entity shall not unreasonably refuse to act upon such request.



- 41.2 The responsibilities of the Counterpart Personnel shall be specified in Appendix III, attached hereto, and the Counterpart Personnel shall not perform any work beyond the said responsibilities.
- 41.3 If Counterpart Personnel are not provided by the Procuring Entity to the Consultant as and when specified in Appendix III, and or if the Counterpart Personnel lack the necessary training, experience or authority to effectively undertake their responsibilities, the Procuring Entity and the Consultant shall agree on how the affected part of the Services shall be carried out, and the additional payments, if any, to be made by the Procuring Entity to the Consultant as a result thereof pursuant to **GCC** Clause 52 hereof.

## **42. Performance Security**

- 42.1 Unless otherwise specified in the **SCC**, within ten (10) calendar days from receipt of the Notice of Award from the Procuring Entity but in no case later than the signing of the contract by both parties, the Consultant shall furnish the performance security in any the forms prescribed in the **ITB** Clause 32.2.
- 42.2 The performance security posted in favor of the Procuring Entity shall be forfeited in the event it is established that the Consultant is in default in any of its obligations under the contract.
- 42.3 The performance security shall remain valid until issuance by the Procuring Entity of the Certificate of Final Acceptance.
- 42.4 The performance security may be released by the Procuring Entity and returned to the Consultant after the issuance of the Certificate of Final Acceptance subject to the following conditions:
- (a) There are no pending claims against the Consultant or the surety company filed by the Procuring Entity;
  - (b) The Consultant has no pending claims for labor and materials filed against it; and
  - (c) Other terms specified in the **SCC**.
- 42.5 In case of a reduction of the contract value, the Procuring Entity shall allow a proportional reduction in the original performance security, provided that any such reduction is more than ten percent (10%) and that the aggregate of such reductions is not more than fifty percent (50%) of the original performance security.

## **43. Standard of Performance**

- 43.1 The Consultant shall perform the Services and carry out their obligations hereunder with all due diligence, efficiency and economy, in accordance with generally accepted professional techniques and practices, and shall observe



sound management practices, and employ appropriate advanced technology and safe and effective equipment, machinery, materials and methods.

43.2 The Consultant shall always act, in respect of any matter relating to this Contract or to the Services, as faithful advisers to the Procuring Entity, and shall at all times support and safeguard the Procuring Entity's legitimate interests in any dealings with Subconsultants or third parties.

43.3 The Consultant shall furnish to the Procuring Entity such information related to the Services as the Procuring Entity may from time to time reasonably request.

43.4 The Consultant shall at all times cooperate and coordinate with the Procuring Entity with respect to the carrying out of its obligations under this Contract.

#### **44. Consultant Not to Benefit from Commissions, Discounts, etc.**

The remuneration of the Consultant pursuant to **GCC** Clause 53 hereof shall constitute the Consultant's sole remuneration in connection with this Contract or the Services and, subject to **GCC** Clause 45 hereof, the Consultant shall not accept for their own benefit any trade commission, discount or similar payment in connection with activities pursuant to this Contract or to the Services or in the discharge of their obligations hereunder, and the Consultant shall use its best efforts to ensure that any Subconsultants, as well as the Personnel and agents of either of them, similarly shall not receive any such additional remuneration.

#### **45. Procurement by the Consultant**

If the Consultant, as part of the Services, has the responsibility of advising or procuring goods, works or services, for the Procuring Entity, the Consultant shall comply with any applicable procurement guidelines of the Funding Source, and shall at all times exercise such responsibility in the best interest of the Procuring Entity. Any discounts or advantages obtained by the Consultant in the exercise of such procurement responsibility shall be for the benefit of the Procuring Entity.

#### **46. Specifications and Designs**

46.1 The Consultant shall prepare all specifications and designs using the metric system and shall embody the best design criteria applicable to Philippine conditions. The Consultant shall specify standards which are accepted and well-known among industrial nations.

46.2 The Consultant shall ensure that the specifications and designs and all documentation relating to procurement of goods and services for this Contract are prepared on an impartial basis so as to promote national and international competitive bidding.

#### **47. Reports**

The Consultant shall submit to the Procuring Entity the reports, deliverables and documents in English, in the form, in the numbers, and within the time periods set forth in Appendix II.

#### **48. Assistance by the Procuring Entity on Government Requirements**

48.1 The Procuring Entity may assist the Consultant, Subconsultants, and Personnel in the payment of such taxes, duties, fees and other impositions as may be levied under the Applicable Law by providing information on the preparation of necessary documents for payment thereof.

48.2 The Procuring Entity shall use its best efforts to ensure that the Government shall:

- (a) provide the Consultant, Subconsultants, and Personnel with work permits and such other documents as shall be necessary to enable the Consultant, Subconsultants, or Personnel to perform the Services;
- (b) arrange for the foreign Personnel and, if appropriate, their eligible dependents to be provided promptly with all necessary entry and exit visas, residence permits, and any other documents required for their stay in the Philippines for the duration of the Contract;
- (c) facilitate prompt clearance through customs of any property required for the Services and of the necessary personal effects of the foreign Personnel and their eligible dependents;
- (d) issue to officials, agents and representatives of the Government all such instructions as may be necessary or appropriate for the prompt and effective implementation of the Services; and
- (e) grant to foreign Consultant, any foreign Subconsultant and the Personnel of either of them the privilege, pursuant to the Applicable Law, of bringing into the Government's country reasonable amounts of foreign currency for purposes of the Services or for the personal use of the foreign Personnel and their dependents.

#### **49. Access to Land**

The Procuring Entity warrants that the Consultant shall have, free of charge, unimpeded access to all lands in the Philippines in respect of which access is required for the performance of the Services. The Procuring Entity shall be responsible for any damage to such land or any property thereon resulting from such access and shall indemnify the Consultant and each of the Personnel in respect of liability for any such damage, unless such damage is caused by the default or negligence of the Consultant or any Subconsultant or the Personnel of either of them.

#### **50. Subcontract**

- 50.1 Subcontracting of any portion of the Consulting Services, if allowed in the **BDS**, does not relieve the Consultant of any liability or obligation under this Contract. The Consultant will be responsible for the acts, defaults, and negligence of any subconsultant, its agents, servants or workmen as fully as if these were the Consultant's own acts, defaults, or negligence, or those of its agents, servants or workmen.
- 50.2 Subconsultants disclosed and identified during the bidding may be changed during the implementation of this Contract, subject to compliance with the required qualifications and the approval of the Procuring Entity.

## **51. Accounting, Inspection and Auditing**

### **51.1 The Consultant shall:**

- (a) keep accurate and systematic accounts and records in respect of the Services hereunder, in accordance with internationally accepted accounting principles and in such form and detail as shall clearly identify all relevant time changes and costs, and the bases thereof;
- (b) permit the Procuring Entity or its designated representative and or the designated representative of the Funding Source at least once for short-term Contracts, and annually in the case of long-term Contracts, and up to one year from the expiration or termination of this Contract, to inspect the same and make copies thereof as well as to have them audited by auditors appointed by the Procuring Entity; and
- (c) permit the Funding Source to inspect the Consultant's accounts and records relating to the performance of the Consultant and to have them audited by auditors approved by the Funding Source, if so required.

51.2 The basic purpose of this audit is to verify payments under this Contract and, in this process, to also verify representations made by the Consultant in relation to this Contract. The Consultant shall cooperate with and assist the Procuring Entity and its authorized representatives in making such audit. In the event the audit discloses that the Consultant has overcharged the Procuring Entity, the Consultant shall immediately reimburse the Procuring Entity an amount equivalent to the amount overpaid. If overpayment is a result of the Consultant having been engaged in what the Procuring Entity (or, as the case may be, the Funding Source) determines to constitute corrupt, fraudulent, or coercive practices, as defined in **GCC** Clause 27(e) and under the Applicable Law, the Procuring Entity shall, unless the Procuring Entity decides otherwise, terminate this Contract.

51.3 The determination that the Consultant has engaged in corrupt, fraudulent, coercive practices shall result in the Procuring Entity and/or the Funding Source seeking the imposition of the maximum administrative, civil and criminal penalties up to and in including imprisonment.

## **52. Contract Cost**

- 52.1 Except as may be otherwise agreed under **GCC** Clause 10, payments under this Contract shall not exceed the ceiling specified in the **SCC**. The Consultant shall notify the Procuring Entity as soon as cumulative charges incurred for the Services have reached eighty percent (80%) of this ceiling.
- 52.2 Unless otherwise specified in the **SCC**, the cost of the Services shall be payable in Philippine Pesos and shall be set forth in the Appendix IV attached to this Contract.

### **53. Remuneration and Reimbursable Expenditures**

- 53.1 Payments of Services do not relieve the Consultant of any obligation hereunder.
- 53.2 Remuneration for the Personnel shall be determined on the basis of time actually spent by such Personnel in the performance of the Services including other additional factors indicated in the **SCC** after the date determined in accordance with **GCC** Clause 22, or such other date as the Parties shall agree in writing, including time for necessary travel via the most direct route, at the rates referred to, and subject to such additional provisions as are set forth, in the **SCC**.
- 53.3 Remuneration for periods of less than one month shall be calculated on an hourly basis for time spent in home office (the total of 176 hours per month shall apply) and on a calendar-day basis for time spent away from home office (1 day being equivalent to 1/30th of a month).
- 53.4 Reimbursable expenditures actually and reasonably incurred by the Consultants in the performance of the Services are provided in the **SCC**.
- 53.5 Billings and payments in respect of the Services shall be made as follows:
- (a) The Procuring Entity shall cause to be paid to the Consultant an advance payment as specified in the **SCC**, and as otherwise set forth below. The advance payment shall be due after the Consultant provides an irrevocable standby letter of credit in favor of the Procuring Entity issued by an entity acceptable to the Procuring Entity in accordance with the requirements provided in the **SCC**.
  - (b) As soon as practicable and not later than fifteen (15) days after the end of each calendar month during the period of the Services, the Consultant shall submit to the Procuring Entity, in duplicate, itemized statements, accompanied by copies of receipted invoices, vouchers and other appropriate supporting materials, of the amounts payable pursuant to **GCC** Clauses 52.1 and 53 for such month. Separate monthly statements shall be submitted in respect of amounts payable in foreign currency and in local currency. Each separate monthly statement shall distinguish that portion of the total eligible costs which pertains to remuneration from that portion which pertains to reimbursable expenditures.
  - (c) The Procuring Entity shall cause the payment of the Consultant's monthly statements within sixty (60) days after the receipt by the

Procuring Entity of such statements with supporting documents. Only such portion of a monthly statement that is not satisfactorily supported may be withheld from payment. Should any discrepancy be found to exist between actual payment and costs authorized to be incurred by the Consultant, the Procuring Entity may add or subtract the difference from any subsequent payments. Interest shall be paid for delayed payments following the rate provided in the **SCC**.

## **54. Final Payment**

- 54.1 The final payment shall be made only after the final report and a final statement, identified as such, shall have been submitted by the Consultant and approved as satisfactory by the Procuring Entity. The Services shall be deemed completed and finally accepted by the Procuring Entity and the final report and final statement shall be deemed approved by the Procuring Entity as satisfactory ninety (90) calendar days after receipt of the final report and final statement by the Procuring Entity unless the Procuring Entity, within such ninety (90)-day period, gives written notice to the Consultant specifying in detail deficiencies in the Services, the final report or final statement. The Consultant shall thereupon promptly make any necessary corrections within a maximum period of ninety (90) calendar days, and upon completion of such corrections, the foregoing process shall be repeated.
- 54.2 Any amount which the Procuring Entity has paid or caused to be paid in accordance with this clause in excess of the amounts actually payable in accordance with the provisions of this Contract shall be reimbursed by the Consultant to the Procuring Entity within thirty (30) days after receipt by the Consultant of notice thereof. Any such claim by the Procuring Entity for reimbursement must be made within twelve (12) calendar months after receipt by the Procuring Entity of a final report and a final statement approved by the Procuring Entity in accordance with the above.

## **55. Lump Sum Contracts**

- 55.1 For Lump Sum Contracts when applicable, notwithstanding the terms of **GCC** Clauses 10, 18.1, 31(c), 41.3, 53.2, 53.3, 53.4, 53.5, and 54.1, the provisions contained hereunder shall apply.
- 55.2 Personnel - Any replacement approved by the Procuring Entity in accordance with **ITB** Clause 27.3 shall be provided by the Consultant at no additional cost.
- 55.3 Staffing Schedule - Should the rate of progress of the Services, or any part hereof, be at any time in the opinion of the Procuring Entity too slow to ensure that the Services are completed in accordance with the Staffing Schedule, the Procuring Entity shall so notify the Consultant in writing and the Consultant shall at its sole cost and expense, thereupon take such steps as necessary, subject to the Procuring Entity's approval, or as reasonably required by the Procuring Entity, to expedite progress so as to ensure that the Services are completed in accordance with the Staffing Schedule.

- 55.4 Final payment pursuant to the Payment Schedule in Appendices IV and V shall be made by the Procuring Entity after the final report has been submitted by the Consultant and approved by the Procuring Entity.
- 55.5 Termination - Upon the receipt or giving of any notice referred to in **GCC** Clause 29 and if the Consultant is not in default under this Contract and has partly or substantially performed its obligation under this Contract up to the date of termination and has taken immediate steps to bring the Services to a close in prompt and orderly manner, there shall be an equitable reduction in the maximum amount payable under this Contract to reflect the reduction in the Services, provided that in no event shall the Consultant receive less than his actual costs up to the effective date of the termination, plus a reasonable allowance for overhead and profit.
- 55.6 Unless otherwise provided in the **SCC**, no additional payment for variation order, if any, shall be allowed for this Contract.

## **56. Liquidated Damages for Delay**

If the Consultant fails to deliver any or all of the Services within the period(s) specified in this Contract, the Procuring Entity shall, without prejudice to its other remedies under this Contract and under the Applicable Law, deduct from the contract price, as liquidated damages, a sum equivalent to one-tenth of one percent of the price of the unperformed portion of the Services for each day of delay based on the approved contract schedule up to a maximum deduction of ten percent (10%) of the contract price. Once the maximum is reached, the Procuring Entity may consider termination of this Contract pursuant to **GCC** Clause 27.

PHILIPPINES

## *Section V. Special Conditions of Contract*

### Special Conditions of Contract

GCC Clause	
1.1(g)	<p>The Funding Source is:</p> <p>The Government of the Philippines (GoP).</p>
6.2(b)	<p>For a period of two years after the expiration of this Contract, the Consultant shall not engage, and shall cause its Personnel as well as their Subconsultants and its Personnel not to engage, in the activity of a purchaser (directly or indirectly) of the assets on which they advised the Procuring Entity under this Contract nor in the activity of an adviser (directly or indirectly) of potential purchasers of such assets. The Consultant also agrees that their affiliates shall be disqualified for the same period of time from engaging in the said activities.</p>
7	<p>The Member in Charge is <i>[name of member, address, and other necessary contact information]</i>.</p> <p><b>NOTE:</b> <i>If the Consultant consists only of one entity, state “Not applicable”.</i></p>
8	<p><i>If there is a resident project manager, state here:</i></p> <p>The person designated as resident project manager in Appendix III shall serve in that capacity, as specified in <b>GCC Clause 8</b>.</p> <p><i>If there is no such manager, state:</i> Not Applicable.</p>
10	No further instructions.
12	<p>The Authorized Representatives are as follows:</p> <p>For the Procuring Entity:</p> <p>_____</p> <p>For the Consultant: _____</p> <p><b>NOTE:</b> <i>Name of authorized representative to be filled out by winning consultant prior to contract signing.</i></p>
15.1	<p>The addresses are:</p> <p>Procuring Entity: <b>Department of Trade and Industry</b> 361 Sen. Gil Puyat Avenue, Makati City</p>



	<p>Attention: _____</p> <p>Consultants: <i>[insert name of the Consultant]</i></p> <p>Attention: <i>[insert name of the Consultant's authorized representative]</i></p> <p>Address: _____</p> <p>Facsimile: _____</p> <p>Email Address: _____</p> <p><b>NOTE:</b> <i>Contact details to be filled out by winning consultant prior to contract signing.</i></p>
15.2	<p>Notice shall be deemed to be effective as follows:</p> <p>(a) in the case of personal delivery or registered mail, on delivery;</p> <p>(b) in the case of facsimiles, within <b>twenty-four (24) hours</b> following confirmed transmission; or</p> <p>(c) in the case of telegrams, within <b>twenty-four (24) hours</b> following confirmed transmission.</p>
18.3	<p><i>State here Consultant's account where payment may be made.</i></p> <p><b>NOTE:</b> <i>Details of account to be filled out by winning consultant prior to contract signing.</i></p>
19	No further instructions.
20	No additional provision.
22	<p>The effectiveness conditions are the following:</p> <p>None.</p>
24	<p>The time period shall be <i>[insert length of time]</i> or such other time period as the parties may agree in writing.</p> <p><b>NOTE:</b> <i>Fill out the time period, e.g., "four (4) months after the submission of the Consultant final report"</i></p>
34.2	Any and all disputes arising from the implementation of this contract shall be submitted to arbitration in the Philippines according to the provisions of Republic Acts 876 and 9285, as required in Section 59 of the IRR of RA 9184.



35.1	The drawings, specifications, designs, reports, other documents and software prepared by the Consultant for the Procuring Entity under this Contract that shall become and remain the property of the Procuring Entity are as follows:  <i>[List here documents and software, stating restrictions on future use if any.]</i>
38.1(d)	The Consultant's actions requiring the Procuring Entity's prior approval are:  <i>[List here actions of the Consultant that require the Procuring Entity's approval]</i>
39.5	The Consultant may change its Key Personnel only for justifiable reasons as may be determined by the Procuring Entity, such as death, serious illness, incapacity of an individual Consultant, resignation, among others, or until after fifty percent (50%) of the Personnel's man-months have been served.  Violators will be fined an amount equal to the refund of the replaced Personnel's basic rate, which should be at least fifty percent (50%) of the total basic rate for the duration of the engagement.
42.1	No further instructions.
42.4(c)	No further instructions.
52.1	The total ceiling amount in Philippine Pesos is <i>[insert amount]</i> .  <b>NOTE:</b> The contract amount is to be filled out by the BAC Secretariat prior to contract signing.
52.2	No further instructions.
53.2	No additional instructions.
53.4	Not applicable.
53.5(a)	No advance payment is allowed.
(c)	The interest rate is: <b>zero (0)</b> .
55.6	No further instructions.

## ***Section VI. Terms of Reference***

### **I. PROJECT DESCRIPTION/RATIONALE:**

The Department of Trade and Industry (DTI) functions as the primary catalyst for economic advancement and assumes a critical role in propelling the growth and development of the Philippines. Its core objective is to facilitate an environment that enables business and consumer empowerment, which is pivotal to the country's economic expansion and development. DTI is charged with promoting innovation, enhancing competitiveness, generating employment opportunities, and prioritizing consumer protection. It is committed to developing policies and programs that support sustainable economic progress and safeguard the rights and interests of consumers.

DTI's goals included in the Secretary's 10-point agenda include:

- Upgrade, Upskill, and Upsize MSMEs
- Promote and Support Regional Development
- Reconfigure PH Export Sectors into Industry Clusters
- Improve the Ease of Doing Business
- Protect and Empower Consumers
- Foster Industries geared to domestic demand
- Ensure the Availability of Accessible and Affordable Food
- Enable Jobs-skills Matching and Skills Upgrading
- Attract Investments and Expand Exports, and
- Transform DTI into an Agile Organization

A comprehensive and high-quality data system is essential for monitoring the progress of the DTI's Key Performance Indicators (KPIs) toward their goals and strategies. The DTI has a wealth of business and trade-related data, including achievement reports, program statistics, service coverage, human resource allocations, supply chain, procurement plans, and other data sets. Unfortunately, these data sets are often stored in different databases, spreadsheets, or presentation slides that are used during program implementation reviews and related activities.

To achieve the DTI's objectives, these disparate data sets must be structured, processed, and integrated comprehensively. The DTI recognizes the need to establish a comprehensive Data Management and Governance Framework that will effectively acquire, integrate, manage, and regulate these diverse data sets. The framework should consolidate the department's data to ensure accuracy, security, and privacy.

Moreover, leveraging data analytics and visualization tools can help the DTI gain a better understanding of industry trends, consumer behavior, and business performance. By providing more accurate and timely information, the agency can generate valuable insights and make data-driven decisions that will facilitate the achievement of its goals.

The department also strives to implement its declared information system projects in the three-year Information Systems Strategic Plan (ISSP) that are aligned with the department's standards - particularly the data management and governance framework. Furthermore, a clearly defined solution architecture must be created to guide all IT development and implementation efforts. A solution architecture ensures that all development efforts align with the set department goals and objectives and identifies and mitigates potential risks by conducting a thorough analysis of the solution design.

The creation of the data management framework and governance and the subsequent solution architecture of the ISSP-declared projects aims to monitor and control future implementations and align all existing information systems and data sets.

## II. OBJECTIVES:

### ***Overall Objective:***

The main objective of this project is two-pronged

1. To create a unified approach to data management model and practice throughout the DTI organization, by developing a Data Management and Governance Framework;
2. To create an Enterprise Solution Architecture for the department's ISSP-declared information systems.

The framework will promote transparency, accountability, and collaboration, and ensure that data is secure, accurate, and compliant with regulations. The data management and governance process will encompass all aspects of data management, including collection, storage, analysis, sharing, and disposal, and define the roles and responsibilities of stakeholders involved.

The implementation of the pilot program will serve as the basis for a comprehensive data management strategy that enables the organization to leverage data effectively in achieving its objectives.

The Enterprise Solution Architecture serves as a structured and holistic approach to designing and implementing the planned information systems to address the problems and needs of the department. It is the blueprint or plans that guide the development process so that the solution aligns with the department's strategic goals, objectives and requirements outlined in the ISSP 2023-2026. The solution architecture needs to be consistent with each other and the overall plans and standards of DTI.

### **Specific Objectives:**

#### **Data Management and Framework**

- Develop a comprehensive data management and governance framework based on industry best practices such as the Data Management Body of Knowledge (DMBOK) and The Open Group Architecture Framework (TOGAF) that is aligned with the DTI's strategic goals and objectives in support of the Secretary's 10-point agenda.
- Define and recommend clear guidelines and protocols for data collection, storage, analysis, and dissemination, to ensure accuracy, consistency, and reliability.
- Develop policies and procedures for data access, use, sharing, and security, to ensure privacy and confidentiality are respected and protected.
- Engage with relevant stakeholders to develop an effective communication plan that promotes transparency and accountability in the management and governance of data.

- Conduct workshops, surveys, rapid assessments, and technical reviews across Data Management areas such as Data Governance, Data Architecture, and Master Data of DTI and DTI Core Information Systems.
- Identify capacity building and training programs to enhance the data-related skills of DTI staff and build a culture of data-driven decision-making.
- Develop an implementation roadmap that outlines the steps required to operationalize the data management and governance framework, and identifies the necessary resources, timelines, and responsibilities.
- Develop a monitoring and evaluation framework to assess the effectiveness of the data management and governance framework and to identify areas for improvement.
- Capture the critical Data needed by the DTI to achieve its strategic goals and objectives. Capture for each of the data the work needed in relation to the standards, policies and procedures.

#### **Enterprise Solution Architecture**

- Document the department's goals, drivers, mandates, objectives, and problems of each solution area through stakeholder holder consultations.
- Create comprehensive process maps that capture the current (as-is) and target (to-be) processes that are within the scope of each of the information systems. The process maps should contain at minimum: process flows, business rules (preferred), data/ reports, applications, and stakeholders;
- Develop the appropriate data, application, and technology architectures
- Adhere to the existing policies of the department as well as laws that apply to this including but not limited to RA 11032 (Ease of Doing Business)
- Create an options analysis of possible acquisition methods (bespoke or commercial off-the-shelf) and recommended platforms supporting information systems projects.
- Ensure that integration design is incorporated in the solution architecture when data is needed to be shared. This should follow integration standards in the business sector and/or from the DICT.

### **III. SCOPE OF WORK AND DELIVERABLES**

The Consultant is required to provide services for the following scope of work:

#### **A. Develop the Project Methodology and Design.**

This work involves formulation of the inception report with a work plan within the first 15 days detailing the consultant's proposed approach, methodology, schedule with milestones and key performance indicators, and incidental budget requirements to implement the TOR.

**B. FORMULATE DATA MANAGEMENT GOVERNANCE FRAMEWORK**

**B.1) Conduct a Comprehensive Assessment of the Current State of DTI's Data Management and Governance Practices:**

- Assess data governance and accountability structures within the DTI by considering:
  - a. Internal and external stakeholders
  - b. Identification of gaps and areas for improvement
- Includes review of policies, procedures, and guidelines and conduct of interviews and surveys with key stakeholders
- Analyze the current state of data collection, processing, integration, reporting analysis, visualization, and dissemination
- Evaluate the existing DTI information systems, databases, and data source, aligning them with current requirements for performance reporting and monitoring

**B.2) Build End-User Capacity for Data Management and Governance**

- Assess end-user readiness and capacity for DTI's Data Management and Governance
- Provide recommendations for capacity building and training programs to enhance the data-related skills of DTI staff.
- Develop training plan, recommend and conduct basic training sessions within the project duration (4 months) to enhance the data related skills of the DTI staff. Training method will be a combination of face-to-face and online (hybrid).
- Conduct Trainor's training for selected offices/bureaus staff on advanced training on data management and governance.
- Conduct end-user training on basic data management and governance to at most 25 DTI staff. *(Note: Food, accommodations, and transportation of DTI participants will be shouldered by DTI, as well as other expenses needed during the training.)*

**B.3) Develop a Roadmap for the Implementation of the Data Management Framework.**

- Develop an appropriate architecture and roadmap for the improvement of the data warehouse.
- Outline the roadmap of near-term data warehouse solutions over a one-year period. This will include solutions that will span beyond the project duration. The roadmap should define the prioritization and phasing strategies, activities, and milestones in solution implementation appropriate according to DTI reporting priorities, project cost requirements, and overall capacities and readiness of DTI support resources to implement priority solutions.

- Define the implementation framework based on best data warehouse practices and the realities of the DTI state of data and reporting/analysis and visualization requirements.
- Alignment with the DTI the minimum set of data management processes.
- Develop the Terms of Reference for the acquisition or development of the Data Warehouse tool (2024 project) for DTI.

#### **B.4) Develop a Data Governance Framework**

Develop a Data Governance Framework that contains the following main components:

- **Data Governance** Set up policies and procedures for data management.
- **Data Architecture** Define the structure of data in DTI.
- **Data Modeling** Create a conceptual representation of data structures, relationships, and rules.
- **Data Quality Management** Identify or define the procedures and processes.
- **Data Integration** Identify the data that can be combined or joined from multiple sources into a single, unified view.
- **Data Security** Set up policies and procedures to protect data from unauthorized access, disclosure, modification, or destruction.
- **Master Data Management** Set up policies for creating and maintaining data definitions, rules, and standards, as well as managing data ownership and stewardship.
- **Implementation Plan** Provide a detailed plan for implementing the data management framework, including timelines, resource requirements, and milestones.
- **Monitoring and Evaluation** Describe the mechanisms that will be used to monitor and evaluate the effectiveness of the data management framework, including performance metrics, reporting requirements, and feedback mechanisms.

Other deliverables that need to be included in the data governance framework are:

- **Data Governance Charter.** This is a high-level document that outlines the scope, objectives, and governance structure of the data governance framework. It establishes the authority and responsibilities of the data governance team and provides a framework for decision-making and oversight.
- **Data Governance Policies and Procedures.** These are detailed documents that provide guidance on specific aspects of data governance, such as data quality management, data privacy, data security, and data lifecycle management. They define the rules and standards that must be followed when managing data within the organization.

- **Data Stewardship Roles and Responsibilities.** This document outlines the roles and responsibilities of data stewards, who are responsible for managing the quality, accuracy, and security of specific data assets. It defines their authority, accountability, and reporting requirements, and establishes processes for data stewardship.
- **Metadata Registry/Data Dictionary/Business Glossary.** This is a central repository of metadata that describes the data assets managed by the organization. It provides a comprehensive view of the data landscape, including data sources, data definitions, data relationships, and data lineage.
- **Data Quality Scorecards.** These are reports that measure the quality of data against predefined quality criteria. They provide a snapshot of the data quality across different data domains and help identify areas that require improvement.
- **Data Governance Performance Metrics.** These are quantitative measures of the effectiveness and efficiency of the data governance framework. They provide feedback on the performance of the data governance team and help identify opportunities for improvement.
- **Data Governance Training and Communication Materials.** These are materials that support the training and communication of data governance policies and procedures to stakeholders within the organization. They may include training manuals, user guides, and communication templates.

## C. ENTERPRISE SOLUTION ARCHITECTURE

### C.1) Information Systems in Scope

Creation of Solution Architecture for the following proposed information systems:

- a. DTI Unified CRM PROJECT for Ease of Doing Business and Consumer Empowerment
- b. Data Integration Service – Application Programming Interfaces (APIs)

The details of these proposed information systems are in Appendix A.

### C.2) Assessment of Existing Information Systems:

- Conduct stakeholder consultations on the department's goals, drivers, mandates, objectives, and problems in relation to the planned information systems.
- Engage with stakeholders, including DTI personnel and users, to gather their input and understand their needs.
- Identify and document the specific requirements for the proposed Information Systems Project.



- Conduct a comprehensive review and analysis of the existing information systems, processes, and data requirements within the organization.
- Identify the strengths, weaknesses, and gaps in the current systems.
- Assess the usability, functionality, and compatibility of the systems with the organization's requirements.

### **C.3) Creation of detailed current and target process designs of the operations**

- The intended process design must illustrate the process flows, document the data generated and used throughout the processes, and record the applications and technologies supporting the processes.
- Create current state (as-is) process maps of the processes in the scope of the terms of reference.
- Conduct data gathering, literature review, and stakeholder interviews to support the creation of process maps.
- Assess and analyze pain points and opportunities for improvement in the current processes for each of the information systems.
- Create target state (to-be) processes of the processes in-scope of the terms of reference considering the identified pain points and opportunities for improvement..
- Regularly consult process owners and relevant stakeholders of the captured current state processes, documented analysis, and proposed redesign. The list of internal and external stakeholders will be included and finalized in the inception report.
- Get approval for the current and target state process maps from the DTI representative
- Document the processes using the Business Process Management Notation (BPMN) standard, Business Research Document as one of the output.

### **C.4) Designing the Solution Architecture for the Information Systems**

- Develop a detailed plan and architecture for each of the planned information systems.
- Define the data structures, database schema, and information flow within the system.
- Develop a comprehensive and detailed design for the enterprise-wide solution.
- Define the architecture, components, and modules of the proposed solution.
- Ensure interoperability and integration with existing systems and infrastructure.
- Propose options on the technical requirements, including hardware, software, and network infrastructure, for the implementation of the system.
- Assess viable applications and platforms for each of the projects taking into consideration the approved process maps
- Incorporate security measures and data protection protocols to ensure the confidentiality and integrity of the information.



- Create the terms of reference for the development and implementation of Phase 1 for each of the planned information systems containing the requirements coming out of the BPMN exercise.
- Regularly consult process owners and relevant stakeholders of the proposed options analysis. The internal and external stakeholders list will be included and finalized in the inception report.

#### C.5 End-User Training for Agile Software Project Management

- Conduct Trainor's training for 5 DTI-ISMS staff on the principles, practices, and methodologies of Agile project management in the context of software development.

### SELECTION PROCEDURE

#### 1. Selection Criteria for Shortlisting

The cut-off for the shortlisting is **three (3) bidders**.

CRITERIA	SCORE
<p>1. Applicable experience and capability of consulting firm</p> <p>a. Years of experience in the field of IT consulting service</p> <ul style="list-style-type: none"> <li>• <i>More than 3 years</i></li> <li>• <i>3 years</i></li> <li>• <i>Below 5 years / No applicable experience</i></li> </ul> <p>b. Data Management Governance Framework Development Projects Clientele or other undertaking similar to this project</p> <ul style="list-style-type: none"> <li>• <i>More than 3 years</i></li> <li>• <i>3 years</i></li> <li>• <i>Below 3 years / No applicable experience</i></li> </ul> <p>c. Strong experience in analyzing and developing ICT Enterprise solution architecture for public sector</p> <ul style="list-style-type: none"> <li>• <i>experience in analyzing and developing ICT Infrastructure solutions for public sector</i></li> <li>• <i>No experience in analyzing and developing ICT Infrastructure solutions for public sector</i></li> </ul>	50%
<p>Qualification of personnel who shall be assigned to the project</p> <ul style="list-style-type: none"> <li>• Project Manager / Team Lead <ul style="list-style-type: none"> <li>• Graduate of Information Technology, Information Science or Computer Engineering.</li> <li>• Will assign assistant Project Manager / Team Lead Graduate of Information Technology, Information Science or Computer Engineering.</li> </ul> </li> <li>• Team Members <ul style="list-style-type: none"> <li>• Will assign at least 8 Graduate of Information Technology, Information Science or Computer Engineering. (20)</li> </ul> </li> </ul>	45%

<ul style="list-style-type: none"> <li>Will assign More than 8 Graduate of Information Technology, Information Science or Computer Engineering.</li> </ul>	
Current workload relative to capacity	5%
<ul style="list-style-type: none"> <li>Handling less than 4 projects</li> <li>Handling 5-10 projects</li> <li>Handling more than 11 projects</li> </ul>	
<b>GRAND TOTAL</b>	<b>100%</b>
<b>PASSING RATE</b>	<b>70%</b>

2. The Consulting Firm shall be selected using the Quality-Cost Based Selection/Evaluation procedure as prescribed under Section 24.5.3 of the Revised IRR of RA No. 9184, and using the following weights:

<b>Evaluation Criteria</b>
<b>A. Technical Proposal (80%)</b>
<p><b>1. Applicable experience and capability of consulting firm</b></p> <p><b>a. Years of experience in the field of IT consulting service</b></p> <ul style="list-style-type: none"> <li>More than 3 years</li> <li>3 years</li> <li>Below 3 years / No applicable experience</li> </ul> <p><b>b. Data Management Governance Framework Projects Clientele or other undertaking similar to this project</b></p> <ul style="list-style-type: none"> <li>More than 3 years</li> <li>3 years</li> <li>Below 3 years / No applicable experience</li> </ul> <p><b>c. Strong experience in analyzing and developing ICT Enterprise Solution Architecture for public sector</b></p> <ul style="list-style-type: none"> <li>experience in analyzing and developing ICT enterprise solution architecture for public sector</li> <li>No experience in analyzing and developing ICT Infrastructure solutions for public sector</li> </ul>
<p><b>2. Qualification of personnel who shall be assigned to the project</b></p> <p><b>a. Project Manager/ Team Lead</b></p> <p>a.1 Education</p> <ul style="list-style-type: none"> <li>Post Graduate</li> <li>Graduate of Information Technology, Information Science or Computer Engineering</li> <li>Not graduate of Information Technology, Information Science or Computer Engineering</li> </ul> <p>a.2 Training</p> <ul style="list-style-type: none"> <li>Data Management</li> <li>ICT governance and Enterprise Solution Architecture</li> </ul>

<ul style="list-style-type: none"> <li>• <i>IT Project management</i></li> </ul> <p>a.3 Professional Work Experience</p> <ul style="list-style-type: none"> <li>• <i>3 years of documented professional experience on Data Management Governance Framework</i></li> <li>• <i>3 years of documented professional experience on Government Enterprise Solution Architecture</i></li> <li>• <i>minimum of two (2) years' working experience as a project manager.</i></li> <li>• <i>Experience in business process modeling and change management.</i></li> </ul> <p><b>b. Team Members / 8 members</b></p> <p>b.1 Education / 8 members</p> <ul style="list-style-type: none"> <li>• <i>Graduate of Information Technology, Information Science or Computer Engineering</i></li> <li>• <i>Not graduate of Information Technology, Information Science or Computer Engineering</i></li> </ul> <p>b.2 Training / 8 members</p> <ul style="list-style-type: none"> <li>• <i>Data Management, Data Governance and Solution Architecture</i></li> <li>• <i>business process modeling and change management</i></li> </ul> <p>b.3 Professional Work Experience / 8 members</p> <ul style="list-style-type: none"> <li>• <i>Documented professional experience on Data Management, Data Governance</i></li> <li>• <i>Documented professional experience on Solution Architecture</i></li> <li>• <i>Experience in business process modeling and change management</i></li> </ul>	<p><b>3. Methodology and Approach</b></p> <p>a. Presentation of Enterprise Architecture Framework to be used</p> <ul style="list-style-type: none"> <li>• Presentation of Enterprise Architecture Framework to be used</li> <li>• Work Plan and Schedule</li> </ul> <p>b. Work Plan and Schedule</p>
<p><b>B. Financial Proposal (20%)</b></p>	

### Technical Proposal Content

The technical proposal should be prepared considering Objective, Scope, Approach & Methodology, Activity Schedule & Deliverables as well as other information given in this document. It must include the following information:

- a. Brief description of the Consulting Firm;
- b. Consulting Firm experience: In addition to Applicable Experience of Consulting Firm, details of specific consultancy projects undertaken may be provided including Assignment/job name, description of services provided, appx. value of the assignment, location, duration of assignment, name of the client, starting & completion dates, and names of associates (other than employees), if any.
- c. Detailed resume of to be assigned Project lead and members clearly indicating the details of educational attainment, training, certifications and professional work experience (Note: Changing of team members from the start, during the project

implementation up to project completion should be subject to the approval of the DTI-ISMS Technical Working Group. Qualifications of team member replacement should be more or equal to the team member to be substituted);

- d. Approach and Methodology;
- e. Work Plan and Schedule

### Consultant Competency

	Qualification / Minimum Requirements
<b>Consulting Firm</b>	<b>Applicable Experience:</b> <ul style="list-style-type: none"> <li>• The consulting firm must have at least five (5) years of experience in the field of IT consulting services particularly on Data Management and Governance Framework projects and Enterprise Solutions Architecture Design.</li> <li>• Must have completed at least two (2) Data Management and Governance Framework projects and Enterprise Solutions Architecture Design or other undertaking similar to this project.</li> <li>• Strong experience in analyzing and developing Data Management solutions and Enterprise Solutions Architecture Design for the public sector.</li> </ul>
<b>Project Team Lead</b>  <i>(At least 1 Team Lead)</i>	<b>Educational Attainment:</b> <ul style="list-style-type: none"> <li>• Completed Bachelor Degree in Information Technology, Information Science, or Computer Engineering.</li> </ul>
	<b>Training:</b> <ul style="list-style-type: none"> <li>• At least 1 (one) training and/or certification in the Certified Information Security Manager (CISM), Data Governance and Stewardship, Data Privacy Officer (DPO), Data Management.</li> </ul>
	<b>Professional Work Experience:</b> <ul style="list-style-type: none"> <li>• Must have at least three (3) years of documented professional experience in IT Governance and Data Management.</li> <li>• Should have a minimum of two (2) years of working experience as a project manager.</li> <li>• Experience in information management practices including information lifecycle management, data modeling, and master data management.</li> <li>• Excellent knowledge and experience in Data Management and Governance Framework.</li> <li>• Knowledge in Data Privacy Act of 2012 or R.A. 10173, Information Technology Infrastructure Library (ITIL), Control Objectives for Information Technologies (COBIT), Data Management Body of Knowledge (DMBOK), and The Open Group Architecture Framework (TOGAF).</li> </ul>

	<ul style="list-style-type: none"> <li>• Knowledge in Monitoring and Evaluation (M&amp;E) methods and approaches and working experience in planning and implementation of M&amp;E systems.</li> <li>• Understanding of Agile principles and practices for managing software development projects.</li> </ul>
<b>Team Members</b>  <i>(Minimum of 8 Team Members)</i>	<b>Educational Attainment:</b> <ul style="list-style-type: none"> <li>• Graduate of Information Technology, Information Science, or Computer Engineering.</li> </ul>
	<b>Training:</b> <ul style="list-style-type: none"> <li>• Preferably has training and/or certification in</li> <li>• Training on industry-standard frameworks like TOGAF (The Open Group Architecture Framework).</li> <li>• Training on any major cloud platforms like AWS, Azure, and GCP, including services related to compute, storage, networking, and security.</li> <li>• Training on designing and building applications using microservices architecture and containerization technologies like Docker and Kubernetes.</li> <li>• Training on cybersecurity best practices, access control, identity and access management (IAM), and data security to ensure enterprise-wide protection.</li> <li>• Training on Agile, Scrum, or other software development methodologies relevant to enterprise-level projects.</li> <li>• Technical training on ERP (Enterprise Resource Planning) and CRM (Customer Relationship Management).</li> </ul>
	<b>Professional Work Experience:</b> <ul style="list-style-type: none"> <li>• Must have at least one (1) documented professional experience in IT Governance and Data Management, Solutions Enterprise Architecture.</li> <li>• Experience in business process modeling and change management.</li> <li>• Knowledge in Data Privacy Act of 2012 or R.A. 10173, Information Technology Infrastructure Library (ITIL), Control Objectives for Information Technologies (COBIT), Data Management Body of Knowledge (DMBOK), and The Open Group Architecture Framework (TOGAF).</li> <li>• Knowledge in various database systems, both SQL and NoSQL. Examples include MySQL, PostgreSQL, MongoDB, and DynamoDB.</li> <li>• Familiarity with data analytics tools and technologies for processing and analyzing large datasets.</li> <li>• Excellent knowledge and experience in Data Management and Governance Framework.</li> <li>• In-depth knowledge of major cloud platforms like AWS, Azure, and GCP, including services related to compute, storage, networking, and security. Understanding cloud services, serverless architecture, and scalability options is essential.</li> <li>• Understanding of cybersecurity best practices, including encryption, access control, identity and access management (IAM), and threat mitigation.</li> </ul>

	<ul style="list-style-type: none"> <li>• Expertise in designing scalable, reliable, and secure systems. Understanding of microservices architecture, containers (e.g., Docker), and container orchestration (e.g., Kubernetes) is valuable.</li> <li>• Experience with RESTful APIs, SOAP, and other web services (Knowledge of API design and integration is important).</li> <li>• Knowledge of integration patterns and technologies like Enterprise Service Bus (ESB), message queues, and event-driven architectures.</li> <li>• Understanding of ERP systems and their integration into the overall enterprise architecture.</li> <li>• Understanding the underlying architecture of the CRM system, including its database, application server, and user interface.</li> </ul>
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#### IV. PAYMENT SCHEME AND DELIVERABLES

**Total Project Cost: PhP4,500,000.00** inclusive of all applicable taxes and charges.

Activity	Scope of Work	Deliverables (within the project duration: 3 months)	Deadline of Submission	Payment Due
				% of Total Contract Cost
	Develop the Project Methodology and Design	<ul style="list-style-type: none"> <li>• Approved Project Management Plan and Work Plan: <i>Detailed plan outlining project milestones, activities, and timelines.</i></li> <li>• Approved Inception Report (submission and approval within first 15 days of the project)</li> </ul>	15 days after receipt of Notice to Proceed (NTP)	5%
	Assess Current Data Management Policies, Processes, and Solutions	<ul style="list-style-type: none"> <li>• Approved Data Management Rapid Assessment Report.</li> <li>• Approved Data Quality Report</li> </ul>	1 Month after receipt of Notice to Proceed (NTP)	5%
	Assessment of Existing Information Systems	<ul style="list-style-type: none"> <li>• Approved Information System Assessment Report</li> </ul>	1 Month after receipt of Notice to Proceed (NTP)	10%
	Creation of detailed current and target process designs of the operations	<ul style="list-style-type: none"> <li>• Approved current state process maps of in-scope processes</li> </ul>	2 Months after receipt of	10%

		<ul style="list-style-type: none"> <li>• Approved Gap Analysis documentation</li> <li>• Approved target state processes maps of in-scope processes</li> </ul>	Notice to Proceed (NTP)	
	Build Data Capacity for Data Management and Governance	<ul style="list-style-type: none"> <li>• Approved Capacity building and training program document that outlines the skills and competencies required to implement the framework effectively.</li> <li>• Approved Capacity assessment report; Training design/plan and training reports for the indicated capacity building activities.</li> <li>• Approved Data Governance Training and Communication Materials</li> </ul>	2 Months after receipt of Notice to Proceed (NTP)	10%
	Develop an Appropriate Architecture and Roadmap for the Improvement of the DTI Data Warehouse	<ul style="list-style-type: none"> <li>• Approved Data Warehouse Architecture Roadmap document that outlines the key activities, timelines, and resources required for the successful implementation of the framework.</li> <li>• Data Warehouse Architecture which includes the following sections:               <ol style="list-style-type: none"> <li>a) Approved Data Architecture for the in-scope elements (including but not limited to the data requirements)</li> <li>b) Approved Solutions Architecture, including functional</li> </ol> </li> </ul>	3 Months after receipt of Notice to Proceed (NTP)	10%



		<p>and technical design.</p> <ul style="list-style-type: none"> <li>c) Approved Architectural standards</li> <li>d) Approved Prioritization and phasing strategies with activities and milestones</li> <li>e) Approved Data management processes relating to operations.</li> <li>f) Approved Infrastructure and costing requirements.</li> <li>g) Approved Implementation framework</li> <li>• Approved Terms of Reference document capturing details of the Data Warehouse Architecture including the development of at least 2 standard dashboards and reports to be generated.</li> </ul>		
E	Develop a Data Management and Governance Framework	<ul style="list-style-type: none"> <li>• Approved Data Management and governance framework document that includes guidelines, policies, and procedures for effective data management and governance: <ul style="list-style-type: none"> <li>a) Data Governance</li> <li>b) Data Architecture</li> <li>c) Data Modelling</li> <li>d) Data Quality Management</li> <li>e) Data Integration</li> <li>f) Data Security</li> <li>g) Reference and Master Data Management</li> </ul> </li> </ul>	3 Months after receipt of Notice to Proceed (NTP)	20%

		<ul style="list-style-type: none"> <li>h) Implementation Plan</li> <li>i) Monitoring and Evaluation</li> <li>• Other deliverables: <ul style="list-style-type: none"> <li>a) Approved Data Governance Charter</li> <li>b) Approved Data Governance Policies and Procedures</li> <li>c) Approved Data Stewardship Roles and Responsibilities</li> <li>d) Approved Data Catalog</li> <li>e) Approved Data Quality Scorecards</li> <li>f) Approved Data Governance Performance Metrics</li> </ul> </li> <li>• Approved Monitoring and evaluation framework document that outlines key performance indicators and targets for assessing the effectiveness of the framework.</li> </ul>		
	Designing the Solution Architecture for the Information Systems	<ul style="list-style-type: none"> <li>• Approved Detailed documentation of the enterprise-wide solution design, including architecture, components, and interfaces</li> <li>• Approved Technical requirements and options analysis, including hardware, software, and network infrastructure, for the implementation of the system.</li> </ul>	3 Months after receipt of	30%

		<ul style="list-style-type: none"> <li>• Approved Data management and security strategies and protocols.</li> <li>• Approved Security and data protection plan</li> <li>• Approved Terms of reference for Phase 1 of information systems</li> <li>• Approved Enterprise Solution Architecture Implementation recommendation: <ul style="list-style-type: none"> <li>✓ Recommendations for the implementation approach, including project timeline, resource requirements, and dependencies.</li> <li>✓ Identification of potential risks and mitigation strategies.</li> <li>✓ End-User Training for Agile Software Project Management</li> </ul> </li> </ul>	Notice to Proceed (NTP)	
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**Ownership of Deliverables:**

All deliverables developed during the project will be owned by the DTI, and the consultant will transfer all rights to the DTI upon completion of the project. Likewise, any intellectual property developed during the project will be owned by the DTI. The consultant will transfer all rights to any such intellectual property to the DTI upon completion of the project.

**V. CONFIDENTIALITY CLAUSE:**

- The Consultant undertakes to treat in the strictest confidence and not make use of or divulge to third parties any information or document which are linked to the performance of the project subject to the Data Privacy Act of 2012. The Consultant shall continue to be bound by this undertaking even after the completion of the project. A non-disclosure agreement upon the start of the development will be signed by both parties.
- Information about the proponent/s and its operations in this document are considered proprietary and confidential and must be treated as such by the recipients of this TOR. In the same manner,

the responses to this document, which shall be specified as confidential, shall not be disclosed to other Parties.

- Likewise, the Consultant shall take all the necessary measures to prevent any situation that could compromise the impartial and objective performance of the project. Such conflict of interest could arise in particular as a result of business interest, personal, or any other relevant connection or shared interest.
- Moreover, the Consultant shall abstain from any contact likely to compromise his independence, and the Consultant declares: that he has not made and will not make any offer of any type whatsoever from which an advantage can be derived from the project, and has not accepted and will not accept, any advantage, financial or in kind, to or from any party whatsoever, where such advantage constitutes an illegal practice or involves corruption, either directly or indirectly.

## **VI. OTHER PROVISIONS**

- This TOR shall form part of the final contract of services. Any contradictory provision in the final contract will be deemed void and non-enforceable.
- The DTI shall be entitled to pre-termination of the contract with no penalty fees if the Service Provider fails to deliver any of the required components within a reasonable period of time, or when service is deemed consistently poor by project stakeholders. Both parties shall agree on a criteria list as to when the contract may be pre-terminated.
- Pursuant to a directive from the DTI Legal Service, no penalty fees will be charged to DTI for late payments, as proper accounting procedures have to be duly observed in the preparation of check payments.
- The DTI shall be entitled to impose contract penalty fees or payment rebates for any delay in implementation that is not attributable to constraints and limitations on the end of DTI. Penalty cost shall be one-tenth of one percent of the remaining balance due per day of delay.
- It is understood that DTI reserves the right to reject any or all proposals, waive any defect/s or informality/ies therein, or accept the proposal which is most advantageous to DTI.
- It is understood by all qualified Service Providers that all quotations must incorporate the terms and conditions indicated in this TOR document.
- Response documents will be the property of DTI and will no longer be returned to the prospective Service Providers.

## APPENDIX A

**Description of the Planned Information Systems****1. PROJECT 1: DTI Unified CRM PROJECT for Ease of Doing Business and Consumer Empowerment**

A system to manage delivery of services to citizens, businesses by creating automated workflows or processes - integrating different processes from different bureaus or agencies without compromising quality and efficiency. Capable to monitor and evaluate Service Levels as well as provide On-Demand Reporting, Performance Reporting and Insights. Manual Processes existent in the Agency will be on-boarded on this tool and all the processes - inputs, processes, and outputs will be via this System.

**1.1. DTI Business Development Information Systems****1.1.1. Conduct of Trade Fair**

This deals with the provision of information services and business development services:

- National Trade Fair Sales Monitoring and Events Registration will help MSME transition their participation from physical trade events to virtual trade events.
- E-commerce website is an online platform that offers tools, resources, and guidance to support businesses in their e-commerce activities. The website also provides training on e-commerce best practices, regulatory requirements, and consumer protection laws. It will include features such as online ordering, payment systems, marketing tools, and logistics support. The goal is to help businesses increase their online visibility, grow their online sales, and compete in the digital marketplace.
- OTOP website an online venue for MSME to place or promote products or services that are rooted in its local culture, community resource, creativity, connection, and competitive advantage. It will help MSME build their knowledge and experience in online selling, responding to inquiries over various communication channels, and linking with domestic and international buyers through digital B2B transactions.

**1.1.2. Consumer Advocacy**

Consumer advocacy information system is a platform or network that provides consumers with access to information and resources to help them make informed decisions about products, services, and businesses. The proposed enhanced DTI Website will provide consumers with access to the overall communication objectives of the Department, such as information on consumer rights and regulations, while Online Price Monitoring/e-Presyo serves as consumer guides for price monitoring.

**1.1.3. Business Counseling**

Business Counseling system ensures the efficient provision of business counseling services in the form of business information, advisories and advocacies to MSMEs.

The Tradeline Philippines offers trade statistics, market intelligence, and trade policy information to help exporters make informed decisions and expand market reach.

The Client Profile Monitoring System, on the other hand, contains the profile of clients assisted (existing and potential entrepreneurs), and monitor interventions provided by DTI HO/Regional/Provincial Offices. It

serves as a tool to provide the management with the profile of DTI-assisted clients and identify program interventions based on the needs of the sector.

The Business Matching is a free business intelligence platform that aims to deliver timely and relevant information to assist existing and potential exporters to enhance capabilities and competitiveness as suppliers of quality goods and services to global markets matching the national markets needs with our local exporters/manufacturers.

1.1.4. Conduct of Training

The Business Counseling Kapatid Mentor ME (KMME) aims to strengthen the mentorship program for the micro and small entrepreneurs, the system will provide online tutorials and eLearning materials for MSMEs and link them to value chain of medium and large corporations through inclusive business models.

1.2. DTI Integrated Consumer Welfare Information System

1.2.1. Contact Center

DTI Contact Center and DTI Chatbot provide support for stakeholders to facilitate quality customer service in a timely and efficient manner, classified under four major categories, namely: (a) business name, (b) consumer protection, (c) MSME, and (d) other DTI services. DTI Chatbot is a know-it-all Artificial Intelligent (AI) for DTI that will help users be aware of the different services offered by the DTI bureaus/offices and tap as necessary, the assistance required.

1.2.2. Consumer Complaints Handling

The Consumer Complaints-Handling System will help in more efficient, expedient, and convenient handling of the procedures in mediation and adjudication of cases with the agency based on the "Revised Rules of Mediation and Adjudication of Consumer Complaints". This system will capacitate mediation officers to achieve the target percentage of Consumer Complaints resolved within the prescribed time.

Online Dispute Resolution System (ODRS) will be a system that will support conducting, carrying out and resolving of disputes received from DTI's clientele who are in distant places. It will include the initial filing, the neutral appointment, evidentiary processes, oral hearings if needed, discussions, and even the rendering of binding settlements via the Internet and use of any mobile communication devices. The ODRS is another medium to resolve disputes, from beginning to end, respecting due process principles and location of each party.

1.2.3. Monitoring and Enforcement of Fair Trade Laws

Monitoring and Enforcement of Fair-Trade Laws will be a web-based system that will support the monitoring and enforcement activities of DTI in various business establishments to enable a better marketplace and safeguard the interest and safety of consumers. The system will store establishments with violations and non-violations, trade-related issuances, and other information needed for the monitoring and enforcement of activities.

1.3. DTI Integrated Registration, Accreditation, and Certification System

1.3.1. Registration of Business Name

The Registration of Business Name is a web-based portal that allows end-to-end registration of business name (BN) for sole proprietors. To further

promote greater efficiency in rendering BN-related services to the business sector in the new normal, the Registration of Business Name seeks to improve its system to keep up with evolving phase of technology and embrace current industry standards and best practices. It also seeks to institute policy changes that will allow the delivery of all BN services completely online by adopting innovative solutions or through the implementation of digital transformation processes. The DTI is pushing for the implementation of 100% completely online BN registration which promotes the use of the BNRS portal anytime, anywhere as long as they have mobile phone that have access to internet.

1.3.2. PAB-ACAS (Laboratory Accreditation)

PAB-ACAS will be an online information system, with an offline capability, that support the PAB in processing, monitoring and management of Application for Accreditation, from the receipt up to the approval or denial of the applications of the Conformity Assessment Bodies. The issuance, printing and website posting of the Certificate of Accreditation will be done using the system.

Laboratory Accreditation module will automate the accreditation schemes for inspection bodies, testing including medical Laboratories and Calibration Laboratories. This will aid the department in assessing the testing laboratory and inspection body based on different international standards, which sets out the basic requirements for the competence to carry out specific type of tests, inspection, measurement and/or calibrations.

1.3.3. Issuance of BMBE Certificate

The Issuance of BMBE Certificate System is part of the DTI commitment to improve ease of doing business by streamlining and automating the Department's key services which is pursuant to the Republic Act No. 11032 or the Ease of Doing Business Law it will be integrated with the Registration of Business Name. It is a web-based mechanism which allow remote application and automated processing for BMBEs. The portal aims to: make available the BMBE registration and renewal process online 24/7; provide a more convenient application process and ensure faster processing of application.

1.3.4. Exporters and Buyers Registration

It will be an automated system for the issuance of Certificate of Accreditation to exporters and buyers. It will start from online filing of application up to approval of the exporter's accreditation. The system will aid in the generation of Certificate of Accreditation and its issuance via email or online access through the system.

1.3.5. IRegIS

The Integrated Registration Information System (IRegIS) is a sub-system of DTI Integrated Registration, Accreditation, and Certification System. IRegIS is created to align with the government's Ease of Doing Business/Streamlining programs and health-related precautionary measures.

1.3.5.1. IRegIS (Accreditation of Service and Repair Shop)

Accreditation of Service and Repair Shop is a module for online application for accreditation of service and repair shops. There are four main categories for an accredited service enterprise or repair shop. These are the Automotive and Heavy Equipment; Engine



- 
- and Engineering Works and Machine Shops; Electronics, Electrical, Air-conditioning, and Refrigeration Service; and Repairs and Office and Data Processing Equipment.
  - 1.3.5.2. IRegIS (Issuance of Sales Promo Permit)  
Issuance of Sales Promo Permit will enable online application for Sales Promotion Permit. From application, processing, payment and to printing of approved documents can be done online.
  - 1.3.5.3. IRegIS (Sea Freight Forwarder)  
Sea Freight Forwarder is a module for accreditation of sea freight forwarders which aims to upgrade the quality of services, capabilities, resources, and expertise of the covered firms, and curtail acts and practices inimical to the fast growth of the freight forwarding industry and prejudicial to the interests of Philippine shippers.
  - 1.3.5.4. IRegIS (Import Regulation)  
Import Regulation module enables online application and approval of various Import Accreditation Clearances. To ensure that request for import procedure and other government agencies' import regulations are timely provided with accurate, updated and effective information. From application, processing, payment and up to printing of approved documents can be done online.
  - 1.3.6. Product Certification  
Product Certification will be an end-to-end online and paperless processing of the Philippine Standard (PS) Quality and/or Safety Certification Mark License and the Import Commodity Clearance (ICC). The system is a tool for accreditation and certification of products, both locally manufactured and imported, giving the consumers access to quality and safe products conforming to the relevant Philippine National Standards (PNS).
  - 1.3.7. Tracking and Monitoring of Strategic Goods  
Tracking and Monitoring of Strategic Goods is a one-stop-shop e-licensing platform for all strategic trade management-related services accessible 24/7 by all stakeholders, making transactions more efficient, transparent, and secure. It aims to strengthen interagency cooperation and information sharing with integration with Bureau of Customs (BOC), Philippine Nuclear Research Institute, National Security Council (NSC), and Philippine National Police (PNP).
  - 1.3.8. Domestic Bidder Certification  
The Domestic Bidder Certification System will be a web-based certification system of domestic bidders for purposes of claiming domestic preference in government procurement.

## **2. Data Integration Service – Application Programming Interfaces (APIs)**

The Department of Trade and Industry (DTI) data integration services refer to the processes, technologies, and tools used by the DTI to combine, transform, and analyze data from multiple sources. These services can help automate the data integration process, saving time and reducing errors. By integrating data from various sources, the DTI can gain insights into market trends, consumer behavior, and other factors that impact the industry.

### **2.1. Enterprise Service Bus & API and Web Services (Integration)**

## ***Checklist of Eligibility, Technical, and Financial Documents***

×/✓	<b>A.</b>	<b>ELIGIBILITY COMPONENT ENVELOPE</b>
		<b>ELIGIBILITY REQUIREMENTS (For the Short Listing)</b>
	<b>A.1</b>	<b>Eligibility Documents</b>
	1.	Eligibility Documents Submission Form (see Section VII, Bidding Forms in the bidding documents). (Must be Signed)
	<b>A.2</b>	<b>Legal Documents</b>
	1.	PhilGEPS Certificate of Registration and Membership under Platinum category with updated/valid of Eligibility Documents under Annex "A".
	2.	Statement of the prospective bidder of all its ongoing and completed government and private contracts, including contracts awarded but not yet started, if any, whether similar or not similar in nature and complexity to the contract to be bid, within the required relevant period. (Must be Signed)
	3.	Statement of the consultant specifying its nationality and confirming that those who will actually perform the service are registered professionals authorized by the appropriate regulatory body to practice those professions and allied professions in accordance with Clause 1.2. (Must be Signed)
	4.	Curriculum Vitae of Organic Personnel. (Must be Signed)
	5.	Joint Venture Agreement, if applicable.
	<b>B.</b>	<b>TECHNICAL COMPONENT ENVELOPE</b>
	<b>B.1</b>	<b>TECHNICAL REQUIREMENTS</b>
	1.	Bid security in the following prescribed form, amount and validity period:
	a.	Cash or cashier's/manager's check issued by a universal or commercial bank, bank draft/guarantee or irrevocable letter of credit issued by a universal or commercial bank = Two percent (2%) of the ABC; or
	b.	Surety bond callable upon demand issued by a surety or insurance company duly certified by the Insurance Commission as authorized to issue such surety = Five percent (5%) of the ABC. Certification by Insurance Commission should be attached to the surety bond; or
	c.	Notarized Bid Securing Declaration.
	2.	TPF 1 - Technical Proposal Submission Form shall be the cover letter of the Technical Proposal, using the form prescribed in Section VII. Bidding Forms. (Must be Signed)
	3.	TPF 2 - Consultant's References. (Must be Signed)
	4.	TPF 3 - Comments and Suggestions of Consultant on the Terms of Reference and on Data, Services, and Facilities to be provided by the Procuring Entity. (Must be Signed)
	5.	TPF 4 - Description of the Methodology and Work Plan for Performing the Project. (Must be Signed)
	6.	TPF 5 - Team Composition and Task. (Must be Signed)
	7.	TPF 6 - Format of Curriculum Vitae (CV) for Proposed Professional Staff (Must be Signed and Notarized).

	8.	TPF 7 - Time Schedule for Professional Personnel. (Must be Signed)
	9.	TPF 8 - Activity (Work) Schedule. (Must be Signed)
	10.	Notarized Omnibus Sworn Statement executed by the bidder or its duly authorized representative.
	11.	Notarized Secretary's Certificate for Corporation; or Notarized Special Power of Attorney for Sole Proprietorship.
	<b>C. FINANCIAL COMPONENT ENVELOPE</b>	
	1.	FPF 1 - Financial Submission Form (Must be Signed)
	2.	FPF 2 - Summary of Cost (Must be Signed)
	3.	FPF 3 - Breakdown of Price per Activity (Must be Signed)
	4.	FPF 4 - Breakdown of Renumeration per Activity (Must be Signed)
	5.	FPF 5 - Reimbursable per Activity (Must be Signed)
	6.	FPF 6 - Miscellaneous Expenses (Must be Signed)

**Disclaimer:** The CHECKLIST only serves as a guide in the preparation of the bidding documents/requirements. In case of discrepancy between the requirements indicated in the BIDDING DOCUMENT and the CHECKLIST, the SECTION II, ITB, EDS and BDS of this bidding document shall prevail.



## ***Section VII. Bidding Forms***

Eligibility Documents Submission Form.....	<b>103</b>
Technical Proposal Forms.....	<b>104</b>
Financial Proposal Forms .....	<b>114</b>
Form of Contract Agreement .....	<b>128</b>
Appendices .....	<b>130</b>



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## ELIGIBILITY DOCUMENTS SUBMISSION FORM

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*[Date]*

*[Name and address of the Procuring Entity]*

Ladies/Gentlemen:

In connection with your Request for Expression of Interest dated *[insert date]* for *[Title of Project]*, *[Name of Consultant]* hereby expresses interest in participating in the eligibility and short listing for said Project and submits the attached eligibility documents in compliance with the Eligibility Documents therefor.

In line with this submission, we certify that:

- a) *[Name of Consultant]* is not blacklisted or barred from bidding by the GoP or any of its agencies, offices, corporations, LGUs, or autonomous regional government, including foreign government/foreign or international financing institution; and
- b) Each of the documents submitted herewith is an authentic copy of the original, complete, and all statements and information provided therein are true and correct.

We acknowledge and accept the Procuring Entity's right to inspect and audit all records relating to our submission irrespective of whether we are declared eligible and short listed or not.

We further acknowledge that failure to sign this Eligibility Document Submission Form shall be a ground for our disqualification.

Yours sincerely,

Signature  
Name and Title of Authorized Signatory  
Name of Consultant  
Address

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## TECHNICAL PROPOSAL FORMS

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### Notes for Consultants

The following summarizes the content and maximum number of pages permitted for the Technical Proposal. A page is considered to be one printed side of A4 or letter sized paper.

#### Cover Letter

Use TPF 1. Technical Proposal Submission Form.

#### Experience of the Firm

Maximum of *[insert acceptable number of pages]* introducing the background and general experience of the Consultant, including its partner(s) and subconsultants, if any.

Maximum of *[insert acceptable number of pages]* completed projects in the format of TPF 2. Consultant's References illustrating the relevant experience of the Consultant, including its partner and subconsultants, if any. No promotional material should be included.

#### General approach and methodology, work and staffing schedule

Use TPF 4. Description of the Methodology and Work Plan for Performing the Project, TPF 5. Team Composition and Task, TPF 7. Time Schedule for Professional Personnel, and TPF 8. Activity (Work) Schedule.

*If subcontracting is allowed, add the following:* If the Consultant will engage a subconsultant for the portions of the Consulting Services allowed to be subcontracted, the Consultant shall indicate which portions of the Consulting Services will be subcontracted, identify the corresponding subconsultant, and include the legal eligibility documents of such subconsultant.

#### Curriculum Vitae (CV)

Use TPF 6. Format of Curriculum Vitae (CV) for Proposed Professional Staff.

#### Comments on the terms of reference and data and facilities to be provided by the Procuring Entity

Not more than *[insert acceptable number of pages]* using TPF 3. Comments and Suggestions of Consultant on the Terms of Reference and on Data, Services, and Facilities to be Provided by the

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TPF 1. TECHNICAL PROPOSAL SUBMISSION FORM

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[Date]

[Name and address of the Procuring Entity]

Ladies/Gentlemen:

We, the undersigned, offer to provide the consulting services for [Title of Project] in accordance with your Bidding Documents dated [insert date] and our Bid. We are hereby submitting our Bid, which includes this Technical Proposal, and a Financial Proposal sealed under a separate envelope.

In accordance with **ITB** Clause 21.1, we confirm that the information contained in the eligibility documents submitted earlier together with the Expression of Interest remain correct as of the date of bid submission.

If negotiations are held during the period of bid validity, *i.e.*, before [insert date], we undertake to negotiate on the basis of the proposed staff. Our Bid is binding upon us and subject to the modifications resulting from contract negotiations.

In accordance with **GCC** Clause 51, we acknowledge and accept the Procuring Entity's right to inspect and audit all records relating to our Bid irrespective of whether we enter into a contract with the Procuring Entity as a result of this Bid or not.

We understand you are not bound to accept any Bid received for the selection of a consultant for the Project.

We acknowledge that failure to sign this Technical Proposal Submission Form and the abovementioned Financial Proposal Submission Form shall be a ground for the rejection of our Bid.

We remain,

Yours sincerely,

Authorized Signature:  
Name and Title of Signatory:  
Name of Firm:  
Address:



## TPF 2. CONSULTANT'S REFERENCES

### Relevant Services Carried Out in the Last Five Years That Best Illustrate Qualifications

Using the format below, provide information on each project for which your firm/entity, either individually, as a corporate entity, or as one of the major companies within an association, was legally contracted.

Project Name:		Country:
Location within Country:		Professional Staff Provided by Your Firm/Entity(profiles):
Name of Client:		Nº of Staff:
Address:		Nº of Staff-Months; Duration of Project:
Start Date (Month/Year):	Completion Date (Month/Year):	Approx. Value of Services (in Current US\$):
Name of Associated Consultants, if any:		Nº of Months of Professional Staff Provided by Associated Consultants:
Name of Senior Staff (Project Director/Coordinator, Team Leader) Involved and Functions Performed:		
Narrative Description of Project:		
Description of Actual Services Provided by Your Staff:		

Consultant's Name:

**TPF 3. COMMENTS AND SUGGESTIONS OF CONSULTANT ON THE TERMS OF  
REFERENCE AND ON DATA, SERVICES, AND FACILITIES TO BE PROVIDED BY THE  
PROCURING ENTITY**

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On the Terms of Reference:

- 1.
- 2.
- 3.
- 4.
- 5.

On the data, services, and facilities to be provided by the Procuring Entity:

- 1.
- 2.
- 3.
- 4.
- 5.



## **TPF 4. DESCRIPTION OF THE METHODOLOGY AND WORK PLAN FOR PERFORMING THE PROJECT**

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### TPF 5. TEAM COMPOSITION AND TASK

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<b>1. Technical/Managerial Staff</b>		
Name	Position	Task

<b>2. Support Staff</b>		
Name	Position	Task

- 3 Where applicable, indicate relationships among the Consultant and any partner and/or subconsultant, the Procuring Entity, the Funding Source and other parties or stakeholders.

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**TPF 6. FORMAT OF CURRICULUM VITAE (CV) FOR PROPOSED PROFESSIONAL STAFF**

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Proposed Position: \_\_\_\_\_

Name of Firm: \_\_\_\_\_

Name of Staff: \_\_\_\_\_

Profession: \_\_\_\_\_

Date of Birth: \_\_\_\_\_

Years with Firm/Entity: \_\_\_\_\_ Nationality: \_\_\_\_\_

Membership in Professional Societies: \_\_\_\_\_

Detailed Tasks Assigned: \_\_\_\_\_

**Key Qualifications:**

*[Give an outline of staff member's experience and training most pertinent to tasks on project. Describe degree of responsibility held by staff member on relevant previous projects and give dates and locations. Use about half a page.]*

**Education:**

*[Summarize college/university and other specialized education of staff members, giving names of schools, dates attended, and degrees obtained. Use about one quarter of a page.]*

**Employment Record:**

*[Starting with present position, list in reverse order every employment held. List all positions held by staff member since graduation, giving dates, names of employing organizations, titles of positions held, and locations of projects. For experience in last ten years, also give types of activities performed and client references, where appropriate. Use about two pages.]*

**Languages:**

*[For each language, indicate proficiency: excellent, good, fair, or poor in speaking, reading, and writing.]*

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**Certification:**

I, the undersigned, certify that to the best of my knowledge and belief, these data correctly describe me, my qualifications, and my experience.

**Commitment:**

I also commit to work for the Project in accordance with the time schedule as indicated in the contract once the firm is awarded the Project.

\_\_\_\_\_  
*[Signature of staff member and authorized representative of the firm]* Date: \_\_\_\_\_  
*Day/Month/Year*

Full name of staff member: \_\_\_\_\_  
Full name of authorized representative: \_\_\_\_\_

**SUBSCRIBED AND SWORN** to before me this \_\_\_\_ day of [month] [year] at [place of execution], Philippines. Affiant/s is/are personally known to me and was/were identified by me through competent evidence of identity as defined in the 2004 Rules on Notarial Practice (A.M. No. 02-8-13-SC). Affiant/s exhibited to me his/her [insert type of government identification card used], with his/her photograph and signature appearing thereon, with no. \_\_\_\_\_.

Witness my hand and seal this \_\_\_\_ day of [month] [year].

**NAME OF NOTARY PUBLIC**

**Serial No. of Commission** \_\_\_\_\_  
**Notary Public for** \_\_\_\_\_ **until** \_\_\_\_\_  
**Roll of Attorneys No.** \_\_\_\_\_  
**PTR No.** \_\_, [date issued], [place issued]  
**IBP No.** \_\_, [date issued], [place issued]  
**Doc. No.** \_\_\_\_  
**Page No.** \_\_\_\_  
**Book No.** \_\_\_\_  
**Series of** \_\_\_\_\_.

### TPF 7. TIME SCHEDULE FOR PROFESSIONAL PERSONNEL

			Months (in the Form of a Bar Chart)												
Name	Position	Reports Due/Activities	1	2	3	4	5	6	7	8	9	10	11	12	Number of Months
															Subtotal (1)
															Subtotal (2)
															Subtotal (3)
															Subtotal (4)

Full-time: \_\_\_\_\_

Reports Due: \_\_\_\_\_

Activities Duration: \_\_\_\_\_

Location: \_\_\_\_\_

Part-time: \_\_\_\_\_

 Signature: \_\_\_\_\_  
 (Authorized representative)

Full Name: \_\_\_\_\_

Title: \_\_\_\_\_

Address: \_\_\_\_\_



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**TPF 8. ACTIVITY (WORK) SCHEDULE**


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**A. Field Investigation and Study Items**

	<i>[1st, 2nd, etc. are months from the start of project.]</i>												
	1st	2nd	3rd	4th	5th	6th	7th	8th	9th	10th	11th	12th	
Activity (Work)													
_____													
_____													
_____													
_____													

**B. Completion and Submission of Reports**

Reports	Date
1. Inception Report	
2. Interim Progress Report (a) First Status Report (b) Second Status Report	
3. Draft Report	
4. Final Report	

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## FINANCIAL PROPOSAL FORMS

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### Notes for Consultants

The following summarizes the content of the Financial Proposal.

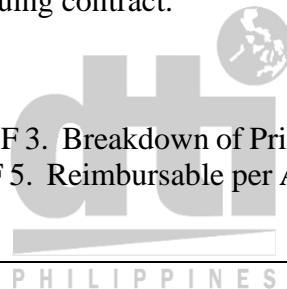
#### Cover Letter

Use FPF 1. Financial Proposal Submission Form, which is an acknowledgement that, in preparation and submission of the Technical and Financial Proposals, Consultants have:

- (a) followed the applicable rules and guidelines indicated in this ITB;
- (b) not taken any action which is or constitutes a corrupt, fraudulent, or coercive practice as defined in the applicable rules and guidelines; and
- (c) agrees to allow the Procuring Entity and the Funding Source, at their option, to inspect and audit all accounts, documents, and records relating to the its Bid and to the performance of the ensuing contract.

#### Costs of Consulting Services

Use FPF 2. Summary of Costs; FPF 3. Breakdown of Price per Activity; FPF 4. Breakdown of Remuneration per Activity; FPF 5. Reimbursable per Activity; and FPF 6. Miscellaneous Expenses.



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## FPF 1. FINANCIAL PROPOSAL SUBMISSION FORM

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*[Date]*

*[Name and address of the Procuring Entity]*

Ladies/Gentlemen:

We, the undersigned, offer to provide the consulting services for *[Title of Project]* in accordance with your Bidding Documents dated *[insert date]* and our Bid (Technical and Financial Proposals). Our attached Financial Proposal is for the sum of *[amount in words and figures]*. This amount is exclusive of the local taxes, which we have estimated at *[amount(s) in words and figures]*.

Our Financial Proposal shall be binding upon us subject to the modifications resulting from Contract negotiations, up to expiration of the bid validity period, *i.e.*, *[Date]*.

In accordance with GCC Clause 51, we acknowledge and accept the Procuring Entity's right to inspect and audit all records relating to our Bid irrespective of whether we enter into a contract with the Procuring Entity as a result of this Bid.

We confirm that we have read, understood and accept the contents of the Instructions to Bidders (ITB), the Bid Data Sheet (BDS), General Conditions of Contract (GCC), Special Conditions of Contract (SCC), Terms of Reference (TOR), the provisions relating to the eligibility of Consultant and the applicable guidelines for the procurement rules of the Funding Source, any and all Bid bulletins issued and other attachments and inclusions included in the Bidding Documents sent to us.

We understand you are not bound to accept any Bid you receive.

We remain,

Yours sincerely,

Authorized Signature:

Name and Title of Signatory:

Name of Firm:

Address:

## FPF 2. SUMMARY OF COSTS

Costs	Currency(ies) <sup>2</sup>	Amount in Philippine Peso
Subtotal		
Local Taxes		
Total Amount of Financial Proposal		_____




<sup>2</sup> In cases of contracts involving foreign consultants, indicate the exchange rate used.

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 FPF 3. BREAKDOWN OF PRICE PER ACTIVITY
 

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Activity No.:_____	Activity No.:_____	Description:_____
Price Component	Currency(ies) <sup>3</sup>	Amount in Philippine Peso
Remuneration		
Reimbursables		
Miscellaneous Expenses		
Subtotal		_____


---

<sup>3</sup> In cases of contracts involving foreign consultants, indicate the exchange rate used.

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**FPF 4. BREAKDOWN OF REMUNERATION PER ACTIVITY**


---

Activity No. _____		Name: _____		
Names	Position	Input <sup>4</sup>	Remuneration Currency(ies) Rate	Amount
Regular staff				
Local staff				
Consultants				
Grand Total				_____

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<sup>4</sup> Staff months, days, or hours as appropriate.

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**FPF 5. REIMBURSABLE PER ACTIVITY**


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Activity No: \_\_\_\_\_

Name: \_\_\_\_\_

No.	Description	Unit	Quantity	Unit Price In	Total Amount In
1.	International flights _____	Trip			
2.	Miscellaneous travel expenses	Trip			
3.	Subsistence allowance	Day			
4.	Local transportation costs <sup>5</sup>				
5.	Office rent/accommodation/ clerical assistance				
	Grand Total				_____

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<sup>5</sup> Local transportation costs are not included if local transportation is being made available by the Entity. Similarly, in the project site, office rent/accommodations/clerical assistance costs are not to be included if being made available by the Entity.



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**FPF 6. MISCELLANEOUS EXPENSES**


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Activity No. \_\_\_\_\_

Activity Name: \_\_\_\_\_

No.	Description	Unit	Quantity	Unit Price	Total Amount
1.	Communication costs between _____ and (telephone, telegram, telex)				
2.	Drafting, reproduction of reports				
3.	Equipment: vehicles, computers, etc.				
4.	Software				
	Grand Total				





## Statement of Ongoing and Completed Contract/s

This is to certify that \_\_\_\_\_ (Name of Company) \_\_\_\_\_ has the following ongoing and completed contract/s:

Name and Location of Contract	Date of award of Contract	Type and brief description of consulting services	Consultant's role (whether main consultant, subconsultant, or partner in a JV)	Amount of contract	Contract duration	Date of Delivery	Certificate of satisfactory completion or equivalent document issued by the client, in the case of a completed contract <i>(if applicable)</i>

PHILIPPINES

\_\_\_\_\_  
Name of Company/Bidder

\_\_\_\_\_  
Signature over printed Name of  
Authorized Representative

\_\_\_\_\_  
Date

## **Bid Securing Declaration Form**

*[shall be submitted with the Bid if bidder opts to provide this form of bid security]*

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REPUBLIC OF THE PHILIPPINES)  
CITY OF \_\_\_\_\_) S.S.

### **BID SECURING DECLARATION Project Identification No.: *[Insert number]***

To: *[Insert name and address of the Procuring Entity]*

I/We, the undersigned, declare that:

1. I/We understand that, according to your conditions, bids must be supported by a Bid Security, which may be in the form of a Bid Securing Declaration.
2. I/We accept that: (a) I/we will be automatically disqualified from bidding for any procurement contract with any procuring entity for a period of two (2) years upon receipt of your Blacklisting Order; and, (b) I/we will pay the applicable fine provided under Section 6 of the Guidelines on the Use of Bid Securing Declaration, within fifteen (15) days from receipt of the written demand by the procuring entity for the commission of acts resulting to the enforcement of the bid securing declaration under Sections 23.1(b), 34.2, 40.1 and 69.1, except 69.1(f), of the IRR of RA No. 9184; without prejudice to other legal action the government may undertake.
3. I/We understand that this Bid Securing Declaration shall cease to be valid on the following circumstances:
  - a. Upon expiration of the bid validity period, or any extension thereof pursuant to your request;
  - b. I am/we are declared ineligible or post-disqualified upon receipt of your notice to such effect, and (i) I/we failed to timely file a request for reconsideration or (ii) I/we filed a waiver to avail of said right; and
  - c. I am/we are declared the bidder with the Lowest Calculated Responsive Bid, and I/we have furnished the performance security and signed the Contract.

IN WITNESS WHEREOF, I/We have hereunto set my/our hand/s this \_\_\_\_ day of *[month]* *[year]* at *[place of execution]*.

*[Insert NAME OF BIDDER OR ITS AUTHORIZED  
REPRESENTATIVE]*

*[Insert signatory's legal capacity]*

Affiant

**SUBSCRIBED AND SWORN** to before me this \_\_\_\_ day of \_\_\_\_\_ at \_\_\_\_\_, Philippines. Affiant is personally known to me and was identified by me through competent evidence of identity as defined in the 2004 Rules in Notarial Practice (A.M.

No. 02-8-13-SC). Affiant exhibit to me his/her \_\_\_\_\_ with his/her photograph and signature appearing thereon, with no. \_\_\_\_\_ issued by \_\_\_\_\_.

Witness my hand and seal this \_\_\_\_ day of \_\_\_\_\_.

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## Omnibus Sworn Statement (Revised)

*[shall be submitted with the Bid]*

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REPUBLIC OF THE PHILIPPINES )  
CITY/MUNICIPALITY OF \_\_\_\_\_ ) S.S.

### AFFIDAVIT

I, [Name of Affiant], of legal age, [Civil Status], [Nationality], and residing at [Address of Affiant], after having been duly sworn in accordance with law, do hereby depose and state that:

1. *[Select one, delete the other:]*

*[If a sole proprietorship:]* I am the sole proprietor or authorized representative of [Name of Bidder] with office address at [address of Bidder];

*[If a partnership, corporation, cooperative, or joint venture:]* I am the duly authorized and designated representative of [Name of Bidder] with office address at [address of Bidder];

2. *[Select one, delete the other:]*

*[If a sole proprietorship:]* As the owner and sole proprietor, or authorized representative of [Name of Bidder], I have full power and authority to do, execute and perform any and all acts necessary to participate, submit the bid, and to sign and execute the ensuing contract for [Name of the Project] of the [Name of the Procuring Entity], as shown in the attached duly notarized Special Power of Attorney;

*[If a partnership, corporation, cooperative, or joint venture:]* I am granted full power and authority to do, execute and perform any and all acts necessary to participate, submit the bid, and to sign and execute the ensuing contract for [Name of the Project] of the [Name of the Procuring Entity], as shown in the attached [state title of attached document showing proof of authorization (e.g., duly notarized Secretary's Certificate, Board/Partnership Resolution, or Special Power of Attorney, whichever is applicable)];

3. [Name of Bidder] is not "blacklisted" or barred from bidding by the Government of the Philippines or any of its agencies, offices, corporations, or Local Government Units, foreign government/foreign or international financing institution whose blacklisting rules have been recognized by the Government Procurement Policy Board, **by itself or by relation, membership, association, affiliation, or controlling interest with another blacklisted person or entity as defined and provided for in the Uniform Guidelines on Blacklisting;**

4. Each of the documents submitted in satisfaction of the bidding requirements is an authentic copy of the original, complete, and all statements and information provided therein are true and correct;

5. [Name of Bidder] is authorizing the Head of the Procuring Entity or its duly authorized representative(s) to verify all the documents submitted;

6. *[Select one, delete the rest:]*

*[If a sole proprietorship:]* The owner or sole proprietor is not related to the Head of the Procuring Entity, members of the Bids and Awards Committee (BAC), the Technical Working Group, and the BAC Secretariat, the head of the Project Management Office or the end-user unit, and the project consultants by consanguinity or affinity up to the third civil degree;

*[If a partnership or cooperative:]* None of the officers and members of *[Name of Bidder]* is related to the Head of the Procuring Entity, members of the Bids and Awards Committee (BAC), the Technical Working Group, and the BAC Secretariat, the head of the Project Management Office or the end-user unit, and the project consultants by consanguinity or affinity up to the third civil degree;

*[If a corporation or joint venture:]* None of the officers, directors, and controlling stockholders of *[Name of Bidder]* is related to the Head of the Procuring Entity, members of the Bids and Awards Committee (BAC), the Technical Working Group, and the BAC Secretariat, the head of the Project Management Office or the end-user unit, and the project consultants by consanguinity or affinity up to the third civil degree;

7. *[Name of Bidder]* complies with existing labor laws and standards; and8. *[Name of Bidder]* is aware of and has undertaken the responsibilities as a Bidder in compliance with the Philippine Bidding Documents, which includes:

- a. Carefully examining all of the Bidding Documents;
- b. Acknowledging all conditions, local or otherwise, affecting the implementation of the Contract;
- c. Making an estimate of the facilities available and needed for the contract to be bid, if any; and
- d. Inquiring or securing Supplemental/Bid Bulletin(s) issued for the *[Name of the Project]*.

9. *[Name of Bidder]* did not give or pay directly or indirectly, any commission, amount, fee, or any form of consideration, pecuniary or otherwise, to any person or official, personnel or representative of the government in relation to any procurement project or activity.

**10. In case advance payment was made or given, failure to perform or deliver any of the obligations and undertakings in the contract shall be sufficient grounds to constitute criminal liability for Swindling (Estafa) or the commission of fraud with unfaithfulness or abuse of confidence through misappropriating or converting any payment received by a person or entity under an obligation involving the duty to deliver certain goods or services, to the prejudice of the public and the government of the Philippines pursuant to Article 315 of Act No. 3815 s. 1930, as amended, or the Revised Penal Code.**

IN WITNESS WHEREOF, I have hereunto set my hand this \_\_\_\_ day of \_\_\_\_, 20\_\_ at \_\_\_\_\_, Philippines.



*[Insert NAME OF BIDDER OR ITS AUTHORIZED  
REPRESENTATIVE]*

*[Insert signatory's legal capacity]*

Affiant

**SUBSCRIBED AND SWORN** to before me this \_\_\_\_ day of \_\_\_\_\_ at \_\_\_\_\_, Philippines. Affiant is personally known to me and was identified by me through competent evidence of identity as defined in the 2004 Rules in Notarial Practice (A.M. No. 02-8-13-SC). Affiant exhibit to me his/her \_\_\_\_\_ with his/her photograph and signature appearing thereon, with no. \_\_\_\_\_ issued by \_\_\_\_\_.

Witness my hand and seal this \_\_\_\_ day of \_\_\_\_\_.

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## FORM OF CONTRACT AGREEMENT

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THIS AGREEMENT, made this *[insert date]* day of *[insert month]*, *[insert year]* between *[name and address of Procuring Entity]* (hereinafter called the “Entity”) and *[name and address of Consultant]* (hereinafter called the “Consultant”).

WHEREAS, the Entity is desirous that the Consultant execute *[name and identification number of contract]* (hereinafter called “the Works”) and the Entity has accepted the bid for *[insert the amount in specified currency in numbers and words]* by the Consultant for the execution and completion of such Consulting Services and the remedying of any defects therein.

### NOW THIS AGREEMENT WITNESSETH AS FOLLOWS:

1. In this Agreement, words and expressions shall have the same meanings as are respectively assigned to them in the Conditions of Contract hereinafter referred to.
2. The following documents shall be attached, deemed to form, and be read and construed as part of this Agreement, to wit:
  - (a) General and Special Conditions of Contract;
  - (b) Terms of Reference
  - (c) Request for Expression of Interest;
  - (d) Instructions to Bidders;
  - (e) Bid Data Sheet;
  - (f) Addenda and/or Supplemental/Bid Bulletins, if any;
  - (g) Bid forms, including all the documents/statements contained in the Bidder’s bidding envelopes, as annexes, and all other documents/statements submitted (e.g., bidder’s response to request for clarifications on the bid), including corrections to the bid, if any, resulting from the Procuring Entity’s bid evaluation;
  - (h) Eligibility requirements, documents and/or statements;
  - (i) Performance Security;
  - (j) Notice of Award of Contract and the Bidder’s conforme thereto;
  - (k) Other contract documents that may be required by existing laws and/or the Entity.
3. In consideration of the payments to be made by the Entity to the Consultant as hereinafter mentioned, the Consultant hereby covenants with the Entity to execute and complete the Consulting Services and remedy any defects therein in conformity with the provisions of this Consultant in all respects.

4. The Entity hereby covenants to pay the Consultant in consideration of the execution and completion of the Consulting Services, the Contract Price or such other sum as may become payable under the provisions of this Contract at the times and in the manner prescribed by this Contract.

IN WITNESS whereof the parties thereto have caused this Agreement to be executed the day and year first before written.

Binding Signature of Procuring Entity

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Binding Signature of Consultant

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*[Addendum showing the corrections, if any, made during the bid evaluation should be attached with this agreement]*



## ***Section VIII. Appendices***

### **I. Description of Services**

Give detailed descriptions of the Services to be provided, dates for completion of various tasks, place of performance for different tasks, specific tasks to be approved by Client, etc.

### **II. Reporting Requirements**

List format, frequency, and contents of reports; persons to receive them; dates of submission; etc. If no reports are to be submitted, state here “Not applicable.”

### **III. Key Personnel and Subconsultants**

List under:

1. Titles [and names, if already available], detailed job descriptions and minimum qualifications, and staff-months of service, and estimated periods of engagement for each, including a copy of a satisfactory medical certificate.
2. Same information as in no. 1 for Key foreign Personnel to be assigned to work outside the Government’s country.
3. Same information as in no.1 for Key Local Personnel.
4. List of approved Subconsultants (if already available) and Counterpart personnel (if allowed); same information with respect to their Personnel as in no.’s 1 and 2.

### **IV. Breakdown of Contract Price**

List here the elements of cost, including expenditures in foreign currency(ies) denominated and payable in Philippine Peso, used to arrive at the itemized breakdown of the contract price:

1. Monthly rates for Personnel (Key Personnel and other Personnel)
2. Reimbursable expenditures
3. Applicable taxes

### **V. Services and Facilities Provided by the Client**

Give detailed description of the services and facilities made available to the Consultant, and the time and manner of its availment.

## **VI. Consultant's Representations Regarding Costs and Charges**

Breakdown of Remuneration Rates, WB funded projects using Quality Based Selection, Selection Based on the Consultant's Qualifications and Single Source Selection.

### **1. Review of Remuneration Rates**

1.1 The remuneration rates for staff are made up of salary, social costs, overheads, fee that is profit, and any premium or allowance paid for projects away from headquarters. To assist the Consultant in preparing for financial negotiations, a sample form giving a breakdown of rates is attached (no financial information should be included in the Technical Proposal). Agreed breakdown sheets shall form part of the negotiated contract.

1.2 The Procuring Entity is charged with the custody of Government funds and is expected to exercise prudence in the expenditure of these funds. The Procuring Entity is, therefore, concerned with the reasonableness of the firm's Financial Proposal, and, during negotiations, it expects to be able to review audited financial statements backing up the Consultant's remuneration rates, certified by an independent auditor. The Consultant shall be prepared to disclose such audited financial statements for the last three years, to substantiate its rates, and accept that its proposed rates and other financial matters are subject to scrutiny. Rate details are discussed below.

#### **(i) Salary**

This is the gross regular cash salary paid to the individual in the Consultant's home office. It shall not contain any premium for work away from headquarters or bonus (except where these are included by law or government regulations).

#### **(ii) Bonus**

Bonuses are normally paid out of profits. Because the Procuring Entity does not wish to make double payments for the same item, staff bonuses shall not normally be included in the rates. Where the Consultant's accounting system is such that the percentages of social costs and overheads are based on total revenue, including bonuses, those percentages shall be adjusted downward accordingly. Where national policy requires that thirteen (13) months' pay be given for twelve (12) months' work, the profit element need not be adjusted downward. Any discussions on bonuses shall be supported by audited documentation, which shall be treated as confidential.

#### **(iii) Social Costs**

Social costs are the costs to the Consultant of staff's non-monetary benefits. These items include, *inter alia*, pension, medical and life insurance costs, and the cost of a staff member being sick or on vacation. In this regard, the cost of leave for public holidays is not an acceptable social cost nor is the cost of leave taken during the Contract if no additional staff replacement has been provided. Additional leave taken at the end of the Contract in accordance with the Consultant's leave policy is acceptable as a social cost.

**(iv) Cost of Leave**

The principles of calculating the cost of total days leave per annum as a percentage of basic salary shall normally be as follows:

$$\text{Leave cost as percentage of salary}^6 = \frac{\text{total days leave} \times 100}{[365 - w - ph - v - s]}$$

It is important to note that leave can be considered a social cost only if the Procuring Entity is not charged for the leave taken.

**(v) Overheads**

Overhead expenses are the firm's business costs that are not directly related to the execution of the project and shall not be reimbursed as separate items under the Contract. Typical items are home office costs (partner's time, non-billable time, time of senior staff monitoring the project, rent, support staff, research, staff training, marketing, etc.), the cost of staff not currently employed on revenue-earning projects, and business promotion costs. During negotiations, audited financial statements, certified as correct by an independent auditor and supporting the last three years' overheads, shall be available for discussion, together with detailed lists of items making up the overheads and the percentage by which each relates to basic salary. The Procuring Entity does not accept an add-on margin for social charges, overhead expenses, etc., for staff who are not permanent employees of the firm. In such case, the firm shall be entitled only to administrative costs and fee on the monthly payments charged for subcontracted staff.

**(vi) Fee or Profit**

The fee or profit shall be based on the sum of the salary, social costs, and overhead. If any bonuses paid on a regular basis are listed, a corresponding reduction in the profit element shall be expected. Fee or profit shall not be allowed on travel or other reimbursable expenses, unless in the latter case an unusually large amount of procurement of equipment is required. The Consultant shall note that payments shall be made against an agreed estimated payment schedule as described in the draft form of the Contract.

**(vii) Away from Headquarters Allowance or Premium**

Some consultants pay allowances to staff working away from headquarters. Such allowances are calculated as a percentage of salary and shall not draw overheads or profit. Sometimes, by law, such allowances may draw social costs. In this case, the amount of this social cost shall still be shown under social costs, with the net allowance shown separately. For concerned staff, this allowance, where paid, shall cover home education, etc.; these and similar items shall not be considered as reimbursable costs.

**(viii) Subsistence Allowances**

Subsistence allowances are not included in the rates, but are paid separately and in local currency. No additional subsistence is payable for dependents — the subsistence rate shall be the same for married and single team members.

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<sup>6</sup> Where w = weekends, ph = public holidays, v = vacation, and s = sick leave.

UNDP standard rates for the particular country may be used as reference to determine subsistence allowances.

## **2. Reimbursables**

2.1 The financial negotiations shall further focus on such items as out-of-pocket expenses and other reimbursables. These costs may include, but are not restricted to, cost of surveys, equipment, office rent, supplies, international and local travel, computer rental, mobilization and demobilization, insurance, and printing. These costs may be either fixed or reimbursable in foreign or local currency.

## **3. Bank Guarantee**

3.1 Payments to the Consultant, including payment of any advance based on cash flow projections covered by a bank guarantee, shall be made according to an agreed estimated schedule ensuring the firm regular payments in local and foreign currency, as long as the services proceed as planned.



**VII. BREAKDOWN OF AGREED FIXED RATES<sup>7</sup>**[Currencies: \_\_\_\_\_<sup>8</sup>]

Consultants		1	2	3	4	5	6	7	8
Name	Position	Basic Rate <sup>9</sup>	Social Charge (__% of 1)	Overhead (__% of 1)	Subtotal	Fee (__% of 4)	Away from Headquarters Allowance (__% of 1)	Total Agreed Fixed Rate	Agreed Fixed Rate (__% of 1)
Philippines									
Home Office									

Signature of Consultant: \_\_\_\_\_

Date: \_\_\_\_\_

Authorized Representative: \_\_\_\_\_

Name: \_\_\_\_\_

Title: \_\_\_\_\_

<sup>7</sup> This model form is given for negotiation purposes only. It is not part of the proposals (technical or financial).

<sup>8</sup> If different currencies, a different table for each currency should be used.

<sup>9</sup> Per month, day, or hour as appropriate.



